

# Old and new in compensated compactness

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18 July 2022

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Today: Weak convergence effects.

Joint with André Guerra, Jan Kristensen, Matthew Schrecker.

## The basic question

When do we have

$$v_j \rightharpoonup v \text{ in } L^p \implies F(v_j) \overset{*}{\rightharpoonup} F(v) \text{ in } \mathcal{D}'?$$

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Ball, JL Lions, Morrey, Murat, Reshetnyak, Tartar:

Assume  $v_j$  have linear differential structure.

Find the restrictions on the nonlinearity  $F$ .

## Two examples

Ball/Morrey/Reshetnyak:

$$Du_j \rightharpoonup Du \text{ in } L^n(\mathbb{R}^n) \implies \det Du_j \xrightarrow{*} \det Du \text{ in } \mathcal{M}(\mathbb{R}^n).$$

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JL Lions–Murat–Tartar:

$$\left. \begin{array}{l} Du_j \rightharpoonup Du \quad \text{in } L^2(\mathbb{R}^n, \mathbb{R}^n) \\ v_j \rightharpoonup v \quad \text{in } L^2(\mathbb{R}^n, \mathbb{R}^n) \\ \operatorname{div} v_j = 0 \end{array} \right\} \implies v_j \cdot Du_j \xrightarrow{*} v \cdot Du \text{ in } \mathcal{M}(\mathbb{R}^n).$$

This is (a variant of) the **div-curl lemma**.

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This is (a variant of) the **div-curl lemma**.

Extraordinarily far-reaching: these come up in elasticity, fluids, electromagnetism, hyperbolic conservation laws, geometric analysis (harmonic maps between manifolds).

## Murat's framework

For which nonlinearities  $F$  do we have

$$\left. \begin{array}{l} v_j \rightharpoonup v \quad \text{in } L^p \\ \mathcal{A}v_j \rightarrow \mathcal{A}v \quad \text{in } W^{-\ell,q} \end{array} \right\} \implies F(v_j) \overset{*}{\rightharpoonup} F(v) \text{ in } \mathcal{D}'?$$

where  $\mathcal{A}$  is an  $\ell$ -homogeneous linear differential operator

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We refer to such occurrences of weak sequential continuity as instances of *compensated compactness*. Could also include lower semi-continuity.



Very rough answer: for  $\mathcal{A}$ -quasiaffine (polynomials)  $F$ , i.e.,

$$F\left(\int_{[0,1]^n} v(x) \, dx\right) = \int_{[0,1]^n} F(v(x)) \, dx \quad (\mathcal{A}\text{-q})$$

for all  $[0, 1]^n$ -*periodic* fields  $v$  with  $\boxed{\mathcal{A}v = 0}$  (for terminology, compare with Dacorogna '81-'82, Fonseca–Müller '99).

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$s = q = p = 2$ : answer is rigorous (Murat–Tartar '70s).

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All these examples have **constant rank**, i.e.,

$$\text{rank } \mathcal{A}(\xi) \text{ is independent of } \xi \in \mathbb{R}^n \setminus \{0\},$$

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In what follows,  $\mathcal{A}$  has **constant rank**.

## Results

Set-up: Let  $\Omega \subset \mathbb{R}^n$  be open and bounded,  $\mathcal{A}$  have **constant rank**,  $F$   $\mathcal{A}$ -quasiaffine,  $s$ -homogeneous,  $s \geq 2$ , and  $q \geq s$ .

We examine:

$$\left. \begin{array}{l} v_j \rightharpoonup v \quad \text{in } L^q(\Omega) \\ \mathcal{A}v_j \rightarrow \mathcal{A}v \quad \text{in } W^{-\ell,s}(\Omega) \end{array} \right\} \implies F(v_j) \xrightarrow{*} F(v) \text{ in } \mathcal{D}'(\Omega).$$

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- ▶  $q = s$  (Guerra–R. '19).

Main difference: For us,  $\{F(v_j)\}_j$  is not uniformly integrable.



# Potentials for constant rank operators

Theorem (R. '18)

*$\mathcal{A}$  has constant rank if and only if there exist a homogeneous  $\mathcal{B}$  such that*

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$\mathcal{B}$  is explicitly **computable** by linear algebra techniques only. Also purely commutative algebra proof (Härkönen–Nicklasson–R. '21).

## What about weak continuity?

Look at lower semi-continuity:

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Bonus: **Computation** of all  $\mathcal{A}$ -quasiaffine functions is reduced to linear algebraic systems (uses Ball–Currie–Olver '81).

## Surprising Hardy space regularity

Elasticity setting: Müller '91:

$$Du \in L_{\text{loc}}^n(\mathbb{R}^n, \mathbb{R}^{n \times n}) \implies \det Du \in L \log L_{\text{loc}}(\mathbb{R}^n),$$

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Further developed in Coifman–PL Lions–Meyer–Semmes '93:

$$Du \in L^n(\mathbb{R}^n, \mathbb{R}^{n \times n}) \implies \det Du \in \mathcal{H}^1(\mathbb{R}^n).$$

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$$Du \in L^n_{\text{loc}}(\mathbb{R}^n, \mathbb{R}^{n \times n}) \implies \det Du \in L \log L_{\text{loc}}(\mathbb{R}^n),$$

provided that  $\det Du \geq 0$ .

Further developed in Coifman–PL Lions–Meyer–Semmes '93:

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CLMS ask whether “nonlinear weakly continuous quantities” and “nonlinear quantities that belong to  $\mathcal{H}^1$ ” coincide.

## Weak continuity vs. Hardy space regularity

Comparing to the CLMS interpretation, here we say  $F$  is a:

(WC) *weakly continuous quantity* iff

$$\left. \begin{array}{l} v_j \rightharpoonup v \quad \text{in } L^s(\mathbb{R}^n) \\ \mathcal{A}v_j = 0 \end{array} \right\} \implies F(v_j) \xrightarrow{*} F(v) \text{ in } \mathcal{D}'(\mathbb{R}^n);$$

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**Theorem (Guerra–R. '19)**

*If  $F$  is an  $s$ -homogeneous polynomial then (WC)  $\iff$  (HQ).*



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Theorem (Guerra–R.–Schrecker '20)

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$$v_j \rightharpoonup v \text{ in } L_{\text{loc}}^q, \quad \mathcal{A}v_j \rightarrow \mathcal{A}v \text{ in } W_{\text{loc}}^{-\ell, r}.$$

1. If  $r \geq s$ , we have  $F(v_j) \xrightarrow{*} F(v)$  in  $\mathcal{M}_{\text{loc}}$ ;
2. If  $q > s$ , we have  $F(v_j) \rightharpoonup F(v)$  in  $L_{\text{loc}}^1$ ;
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For  $q < s$ : distributional quantities,  $\mathcal{H}^p$  bounds,  $p = q/s < 1$ .

Below the differentiability parameter

Below the integrability parameter:

CLMS:  $u \in W^{1,q}(\mathbb{R}^n, \mathbb{R}^n) \implies \det Du \in \mathcal{H}^{q/n}(\mathbb{R}^n)$ .

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Theorem (Guerra–R.–Schrecker '20)

Let  $\alpha \in (0, 1)$ . Then

$$\|\det Du\|_{(C^{0,\alpha})^*} \leq c \|u\|_{W^{1-\frac{\alpha}{n},n}}^n \quad \text{for } u \in C_c^\infty(\mathbb{R}^n, \mathbb{R}^n).$$

Here  $\alpha = 1$  due to Brezis–Nguyen '11; disjoint methods.

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Refinement of the weak convergence of Jacobians.

## Oscillation and concentration

Vitali's Convergence Theorem:

$v_j \rightarrow v$  in  $L^p \iff$  both

1.  $v_j \rightarrow v$  in measure (no oscillation);
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Examples of  $v_j \rightarrow 0$  in  $L^p$  when exactly one of these fails:

1.  $v_j(x) = \sin(jx)$  (oscillation);
2.  $v_j(x) = j^{1/p} \mathbf{1}_{(0,1/j)}$  ( $L^p$ -concentration).

## Decomposition lemma for $\mathcal{A}$ -free sequences

Origins: Kristensen '94, Fonseca–Müller–Pedregal '98, Fonseca–Müller '99.

Lemma (R. '19, Guerra–R.–Kristensen '20)

Let  $1 < p < \infty$  and

$$v_j \rightharpoonup v \text{ in } L^p, \quad \mathcal{A}v_j \rightarrow \mathcal{A}v \text{ in } W^{-\ell,p}.$$

Then there exist  $u_j, \tilde{u}_j \in C_c^\infty$  such that  $\mathcal{B}u_j, \mathcal{B}\tilde{u}_j \rightarrow 0$  in  $L^p$  s.t.

$$v_j = v + \mathcal{B}u_j + \mathcal{B}\tilde{u}_j$$

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For  $1 < p < \infty$  both oscillation and concentration effects of  $\mathcal{A}$ -free sequences have  $\mathcal{A}$ -free structure!

## A word on another concentration phenomenon

For  $p = 1$ , look at  $v_j \xrightarrow{*} v$  in  $\mathcal{M}$ ,  $\mathcal{A}v \rightarrow \mathcal{A}v$  in  $W^{-1,2}$ .

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- ▶ Kristensen–R. '21:  
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Thank you for the attention  
and the invitation!