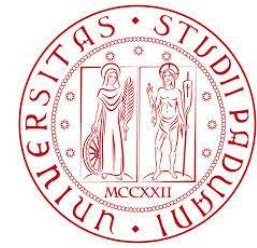




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Clermont-Fd, FRANCE



<sup>2</sup> **Politecnico di Milano**  
Milano, ITALY



<sup>3</sup> **Universita' di Padova**  
Padova, ITALY

# Strain intermittency in shape memory alloys

N. Barrera<sup>1,2</sup>, X. Balandraud<sup>1</sup>, M. Grédiac<sup>1</sup>, P. Biscari<sup>2</sup>, G. Zanzotto<sup>3</sup>

# Outline

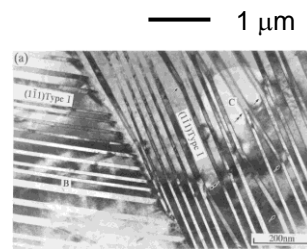
1. Background
2. Experimental setup
3. Comparison with earlier tests
4. Results
5. Conclusions

1. **Background**
2. Experimental setup
3. Comparison with earlier tests
4. Results
5. Conclusions

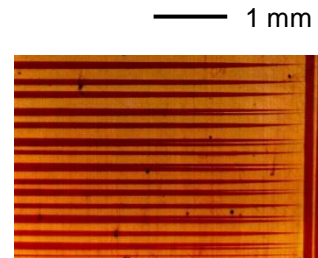
# 1. Background

- SMA crystals exhibit microstructures at many scales during reversible martensitic phase transformation

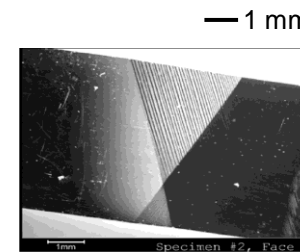
Morphologies largely constrained by crystallographic compatibility between phases and variants



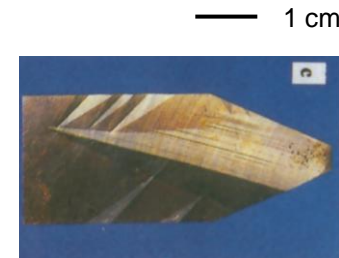
[Nishida et al., Acta Mat 97]



[Chu & James, Phase Trans 09]



[Seiner et al., Phase Trans 09]



[Tan et al., Cont Mech Thermodyn 90]

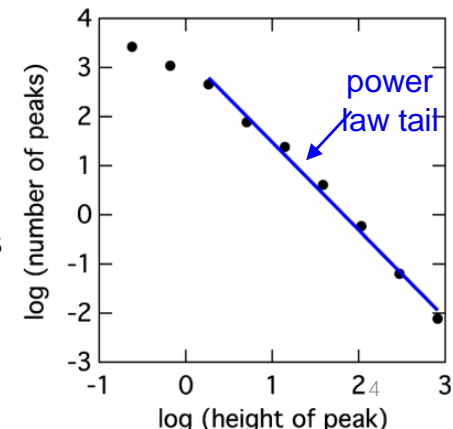
- How do the microstructures evolve with the loading?

the phase transformation is in general not a continuous process – space and time **intermittency** can be observed under both thermal and mechanical driving

- Jerky dynamics through avalanches, shown for instance by acoustic emission studies

- in typical cases, avalanches follow statistical distributions with heavy tails, often power laws → absence of characteristic scale

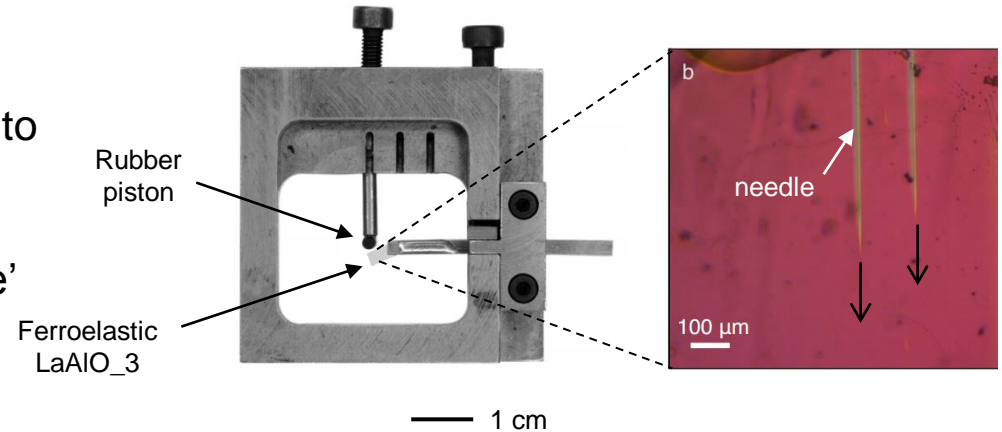
[Carrillo et al., Phys Rev Lett 98, Vives et al. Phys Rev B 09, Planes et al., J All Comp 11, Harrison & Salje 2014]



- Some recent work on evolution of spatial features of phase transformation:

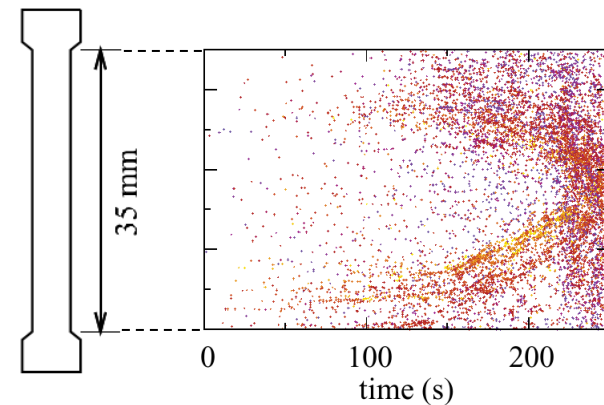
optical microscopy + AE + specific device to get small stress rate  
→ Local analysis of intermittency in a needle progression → ‘noise of the needle’

[Harrison & Salje, Appl Phys Lett 10]



AE analysis with 2 transducers to localize transformation events  
→ imaging of (1-d) dynamics of temperature-driven martensitic transformation over SMA CuZnAl sample

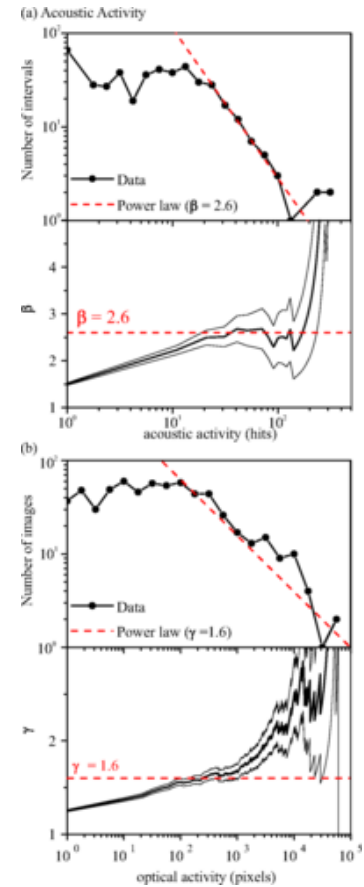
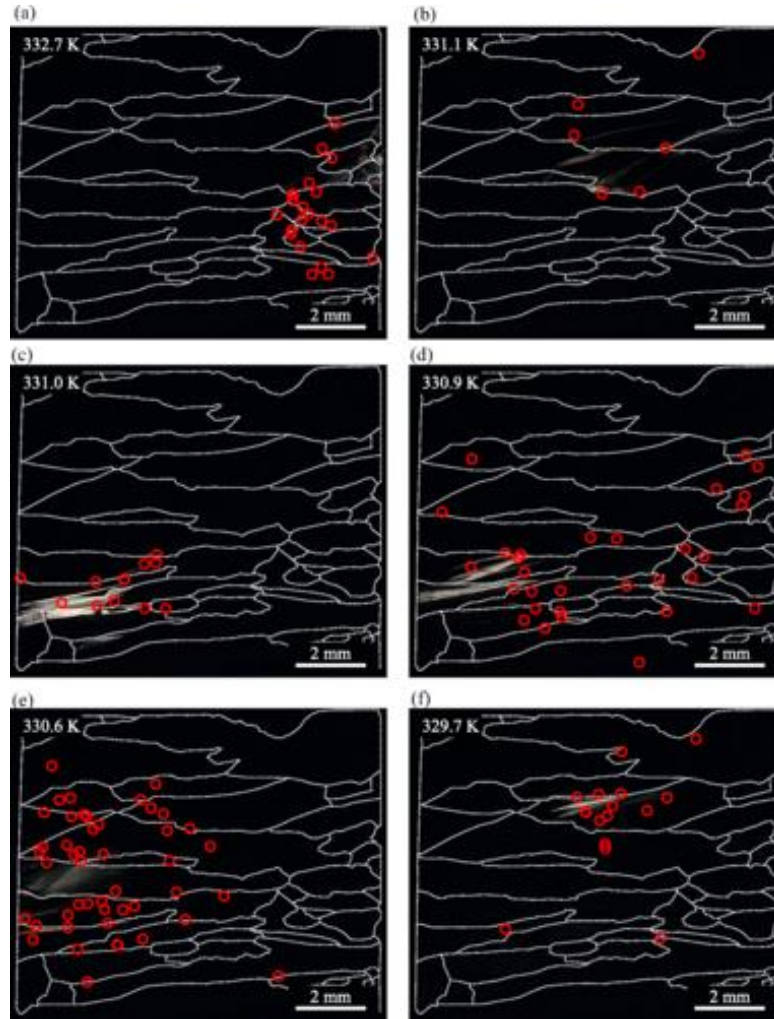
[Vives et al, Phys Rev B 11]



[Niemann et al,  
Phys Rev B 14]

monitoring AE with 4 transducers +  
optical analysis  
→ Localization of AE sources during  
martensitic transformation across  
sample + relation to microstructural  
changes

[R. Niemann, et al. PRB 2014]



→ lack of systematic sample-wide strain data about intermittent progress of phase transformation

## Aims of present study:

- strain field measurement made using the grid method, suitable for investigation of strain bursts
  - loading device:
    - capable of imposing a constant and small stress rate to the specimen (obtain monotonic loading)
    - with minimal imposition of BC: crystal capable to freely adjust orientation in relation to loading to get the 'least complex' microstructures, developed in the absence of effects such as friction, plastification
- try to investigate transformation strain intermittency occurring in the crystal in its most elementary and basic form

1. Background
- 2. Experimental setup**
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5. Conclusions

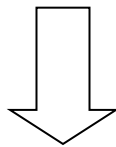


## 2. Experimental setup

- Specimen

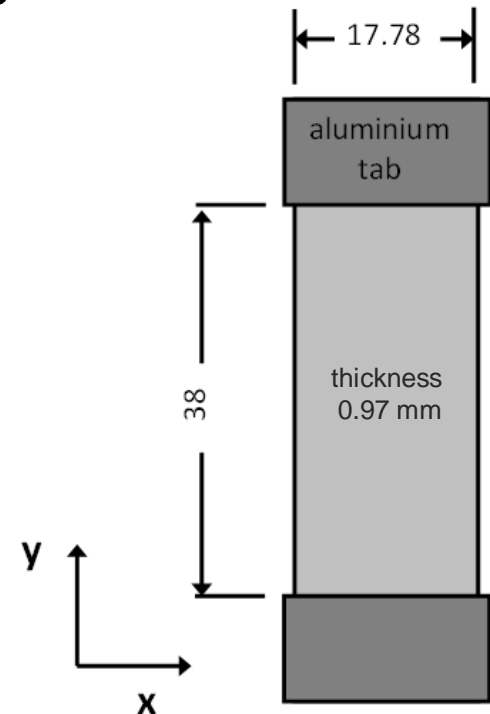
- Cu Al<sub>11.4</sub> Be<sub>0.5</sub> (wt.%)
- single crystal
- martensite start  $M_s = 2^\circ\text{C} \rightarrow$  austenitic at ambient temperature
- superelastic behavior at ambient temperature

- austenite: cubic (DO3 structure)
- martensite: monoclinic (M18R structure)



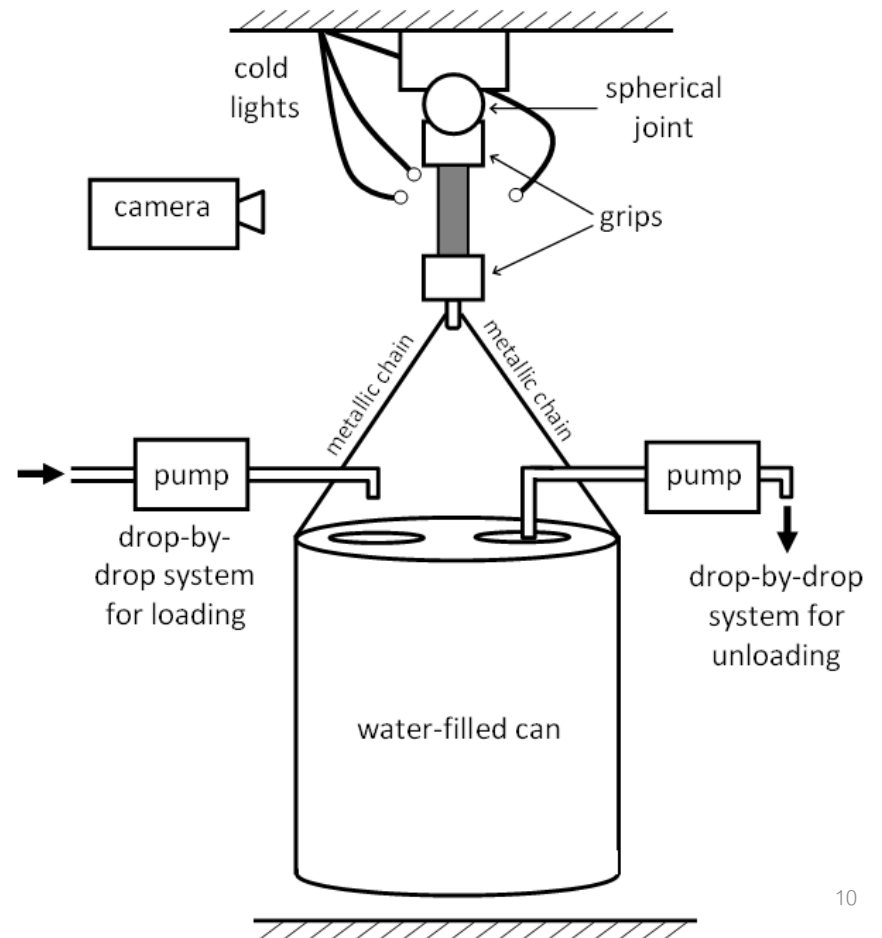
**martensite compatible with austenite**  
(no need of martensite twinning for phase coexistence)

[James & Hane, Acta Mat 00]



- Loading apparatus

mechanical device based on gravity – water-filled tank hung to specimen and system of electronic pumps controls a constant very low water flow



Earlier dead loading tests on SMA (acoustic emission):

[Carrillo et al., Phys Rev B 97]

[Bonnot et al., Phys Rev B 07]

[Vives et al., Phys Rev B 09]

## Advantages

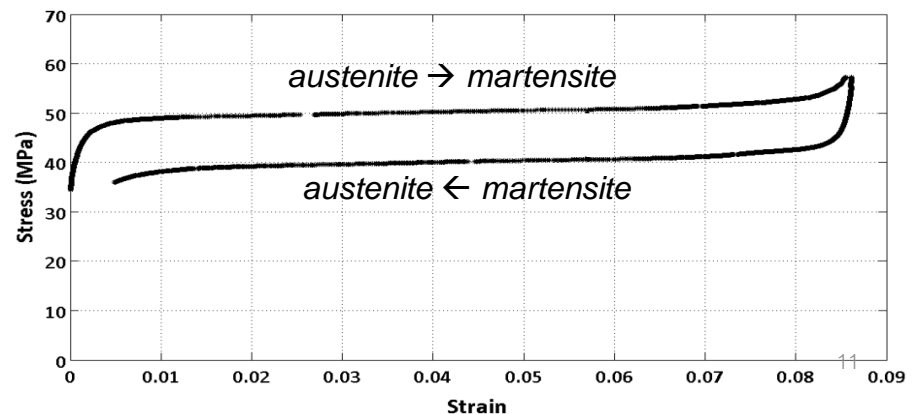
- loading conditions not achievable with conventional testing machines (no feedback loop)
- very small load increments
- perfectly monotonic stress-controlled loading
- ball joints, minimal boundary conditions

## Rates in present test

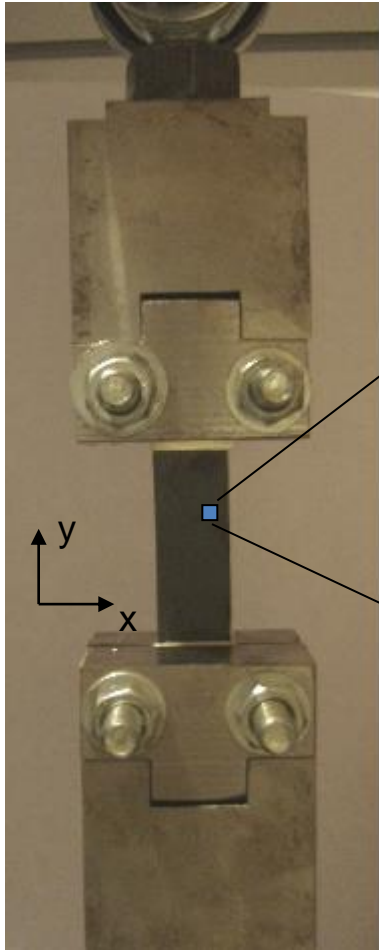
- Step 1: preload (up to 60 MPa) → elastic regime, no phase transition
- Step 2: loading rate of **1.055 MPa/h** ( $\approx 17$  N/h  $\approx 5$  mN/s) up to 57.29 MPa (lasted about 22 h)
- Step 3: unloading rate of **-0.915 MPa/h** ( $\approx -16$  N/h  $\approx -4.4$  mN/s) down to 35.95 MPa (lasted about 23 h)

test duration:  $\approx 45$  h

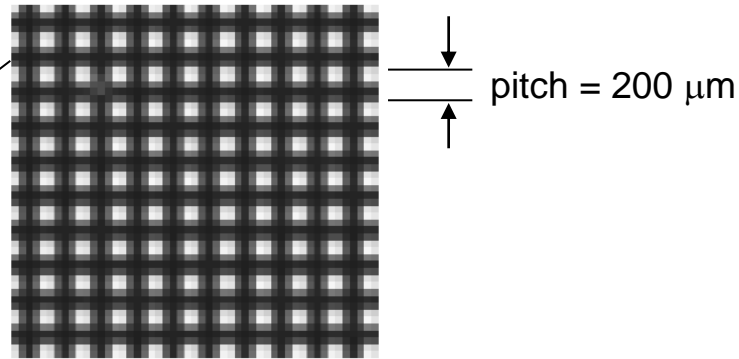
Specific attention to maintain constant ambient temperature over test duration



## • Measuring strain with the grid method



- Square grid transferred onto specimen, encoded with 5 pixels/period
- Sensicam QE camera featuring 12-bit/1040×1376 pixel sensor and 105 mm Sigma lens



→ Method gives  $\approx 600,000$  strain gauges bonded onto sample

[Piro et al, **Exp Tech** 2004, Badulescu, et al, **Exp Mech**, 2009, Badulescu et al, **Meas Sci and Tech**, 2009]

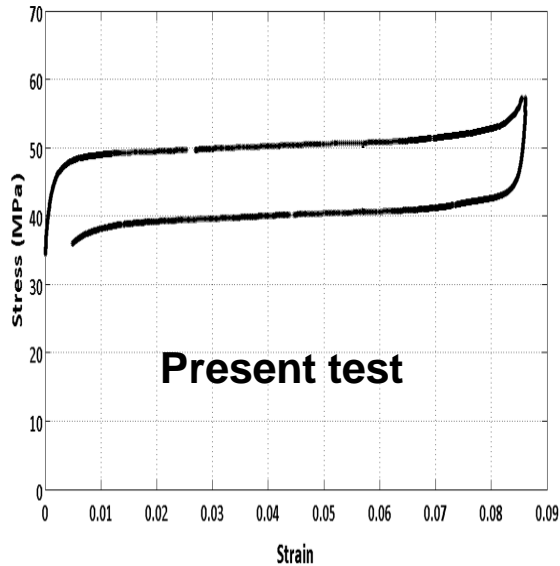
- images of the grid captured during entire loading process give the **three in-plane strain components** and the **local rotation about the z-axis**, one value per pixel
- one grid image every **8 s**,  $\approx 2.2$  kPa or  $\approx 0.038$  N increase between consecutive images; also  $\approx 10$ -min break every 100 min for data recording and filling reservoirs

→ in total  $\approx 20,000$  images obtained along loading/unloading path

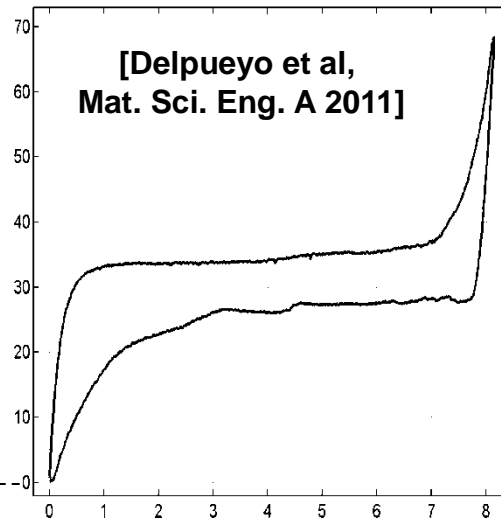
1. Context
2. Experimental set up
- 3. Comparison with earlier tests**
4. Results
5. Conclusions

# 3. Comparison with earlier tests

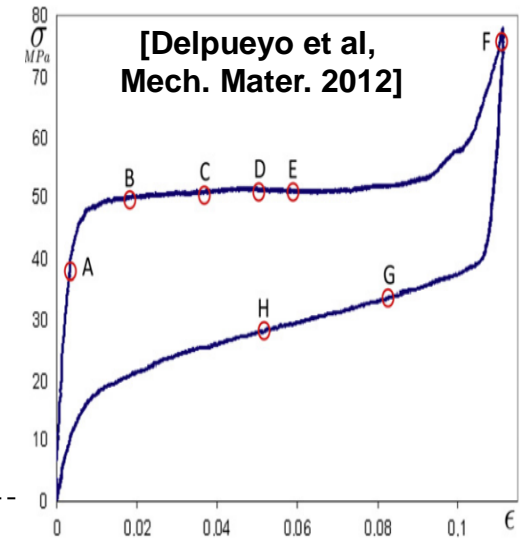
- stress-strain curve under different loading conditions (**same specimen**)



- present loading system
- ambient  $\approx 27\text{ }^{\circ}\text{C}$
- plateau duration  $\approx 6$  hours
- stress-controlled



- MTS hydraulic testing machine
- ambient  $\approx 22\text{ }^{\circ}\text{C}$
- plateau duration  $\approx 30$  min
- strain-controlled



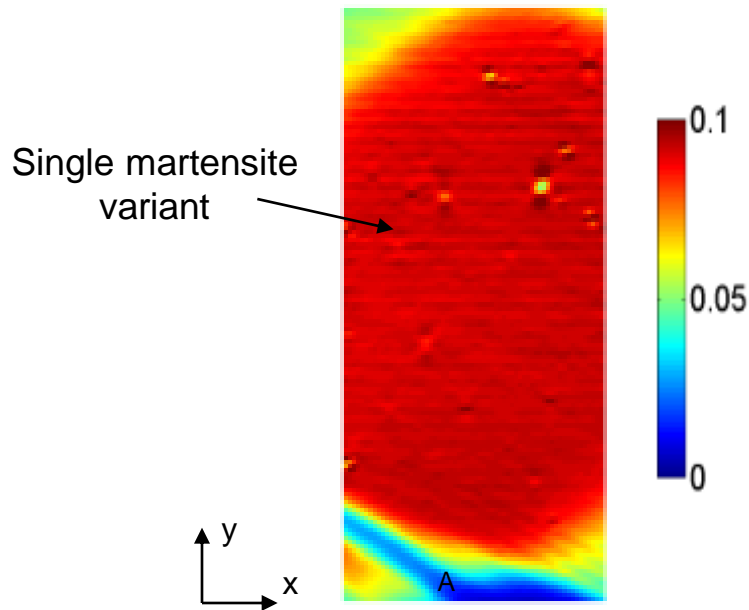
- MTS hydraulic testing machine
- ambient  $\approx 22\text{ }^{\circ}\text{C}$
- plateau duration  $\approx 1$  min
- strain-controlled during loading
- stress-controlled during unloading



rather small and quite smooth hysteresis loop

- The strain fields under different loading conditions

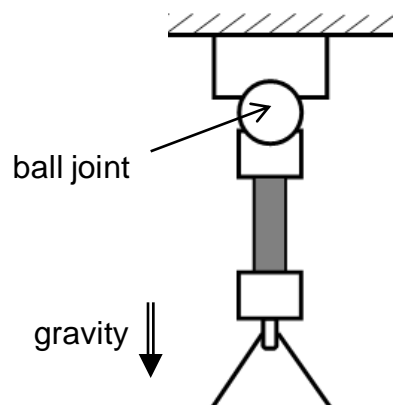
### Present test



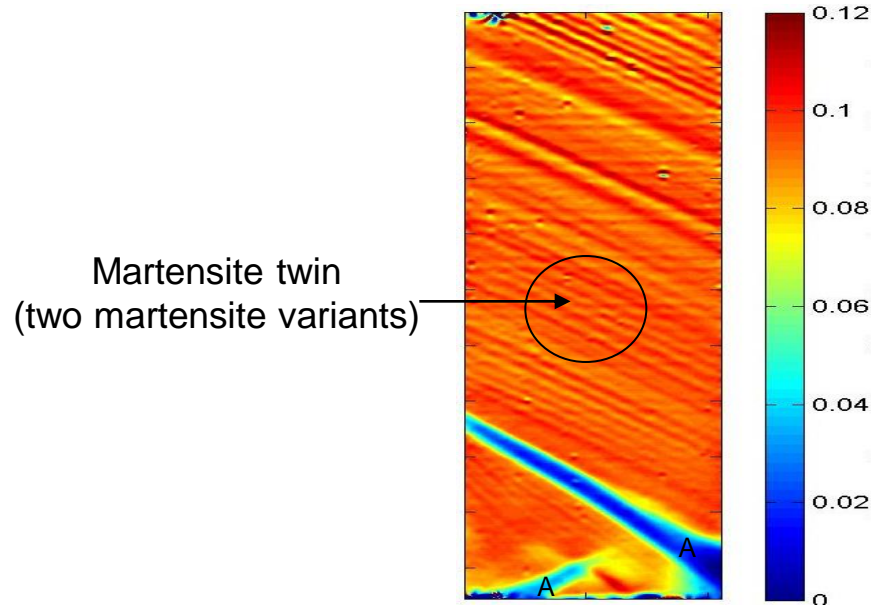
Ball joint +  
constant force direction



uniaxial  
loading



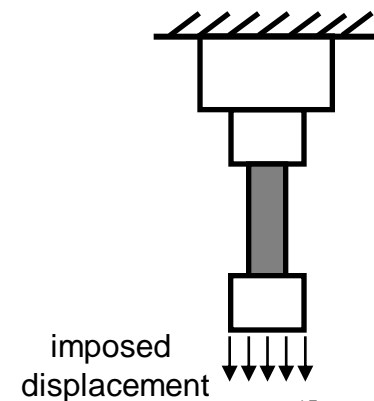
### [Delpueyo et al. 2012]



Imposed elongation  
+ horizontal displacement  
not allowed



heterogeneous  
stress field



1. Context
2. Experimental set up
3. Comparison with earlier tests
- 4. Results**
5. Conclusions



# Tracking the $A \leftrightarrow M$ transformation and its intermittency under the loading

## 4 Results

### 4.1 Hysteresis and strain maps

### 4.2 Strain clustering

### 4.3 Intermittency

### 4.4 Coordinated spatial activity, avalanching

## 4 Results

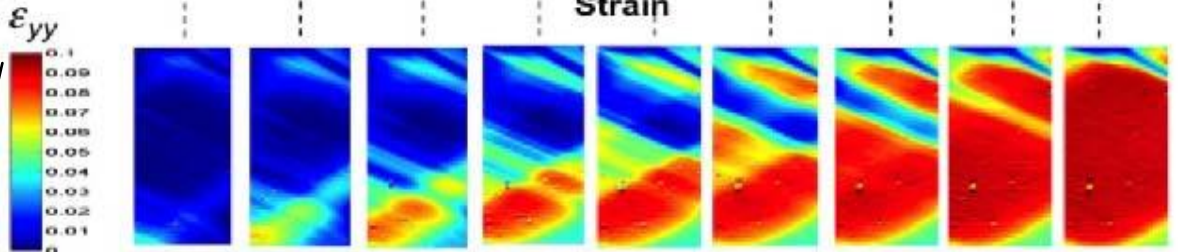
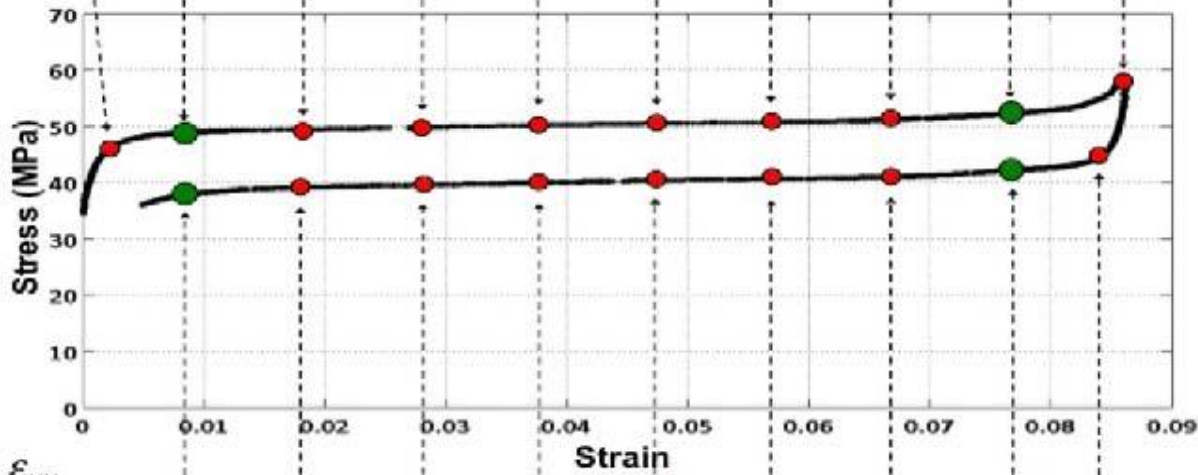
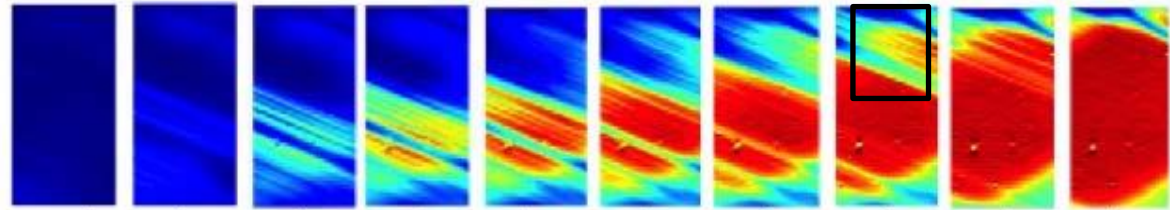
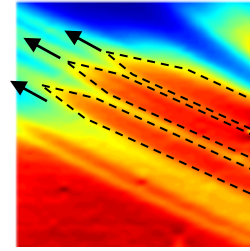
### 4.1 Hysteresis and strain maps

### 4.2 Strain clustering

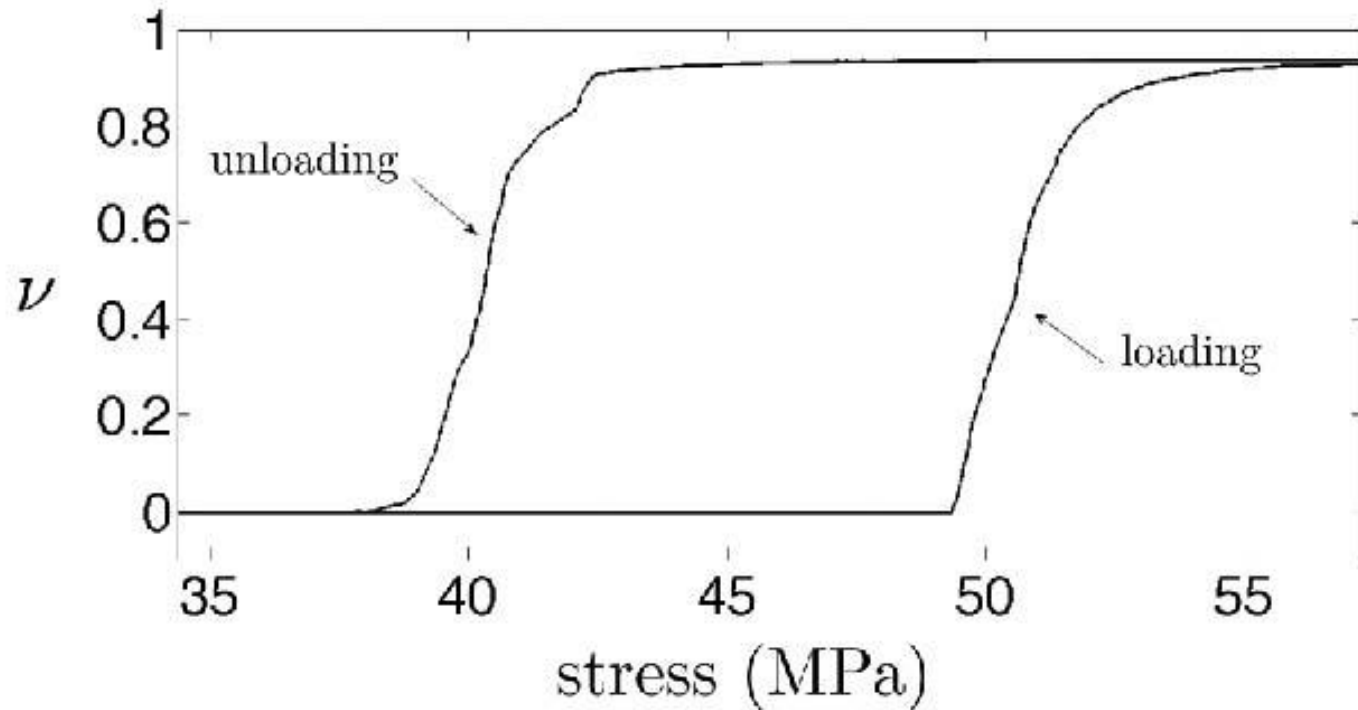
### 4.3 Intermittency

### 4.4 Coordinated spatial activity, avalanching

- Transformation through **nucleation** and **front propagation**
- Evolution of martensitic band-like formations (angles compatible with theory)

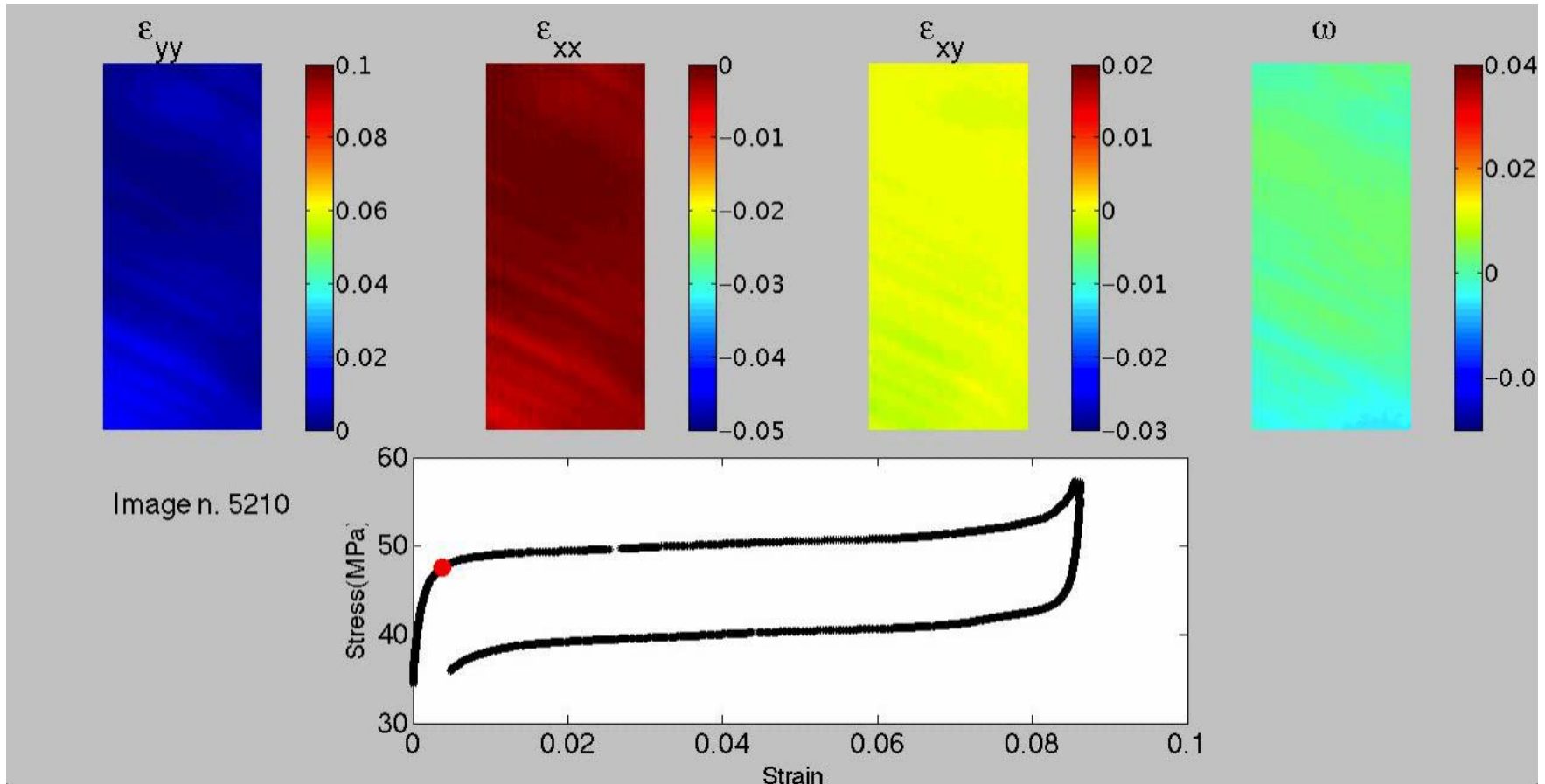


- Simple microstructures
- Different strain distributions between loading and unloading



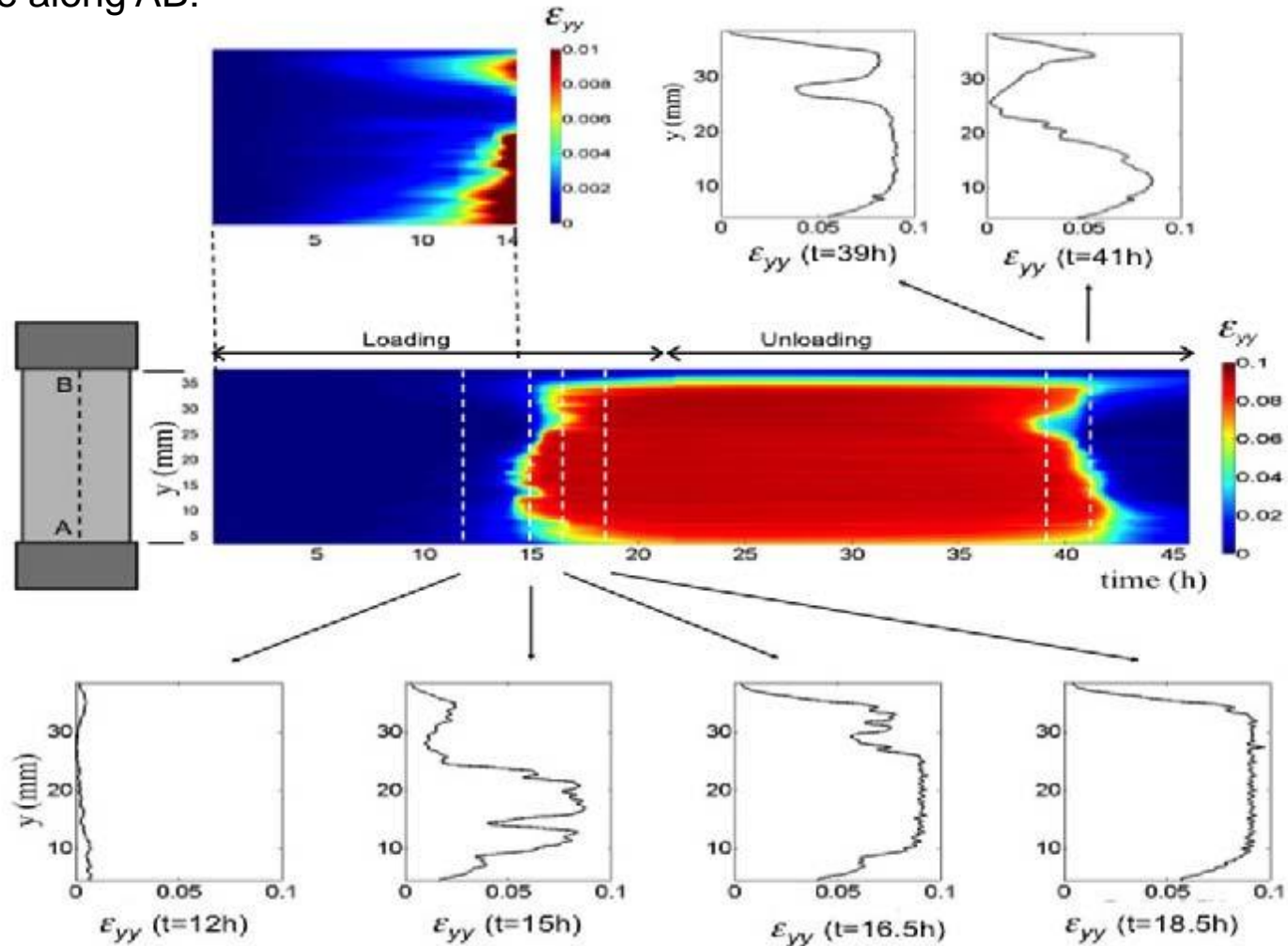
- martensitic volume fraction  $\nu$  = % sample surface where  $\epsilon_{yy} > 0.05$  ( $\approx 50\%$  of max of  $\epsilon_{yy}$  during tests)
- hysteresis in the evolution of  $\nu$  vs.  $\bar{\sigma}_{yy}$
- at these scales fairly smooth curves, although  $\nu$  more irregular than mean strain

- Strain field during test – forward transformation



- strain profile vs. time

Strain profile along AB:

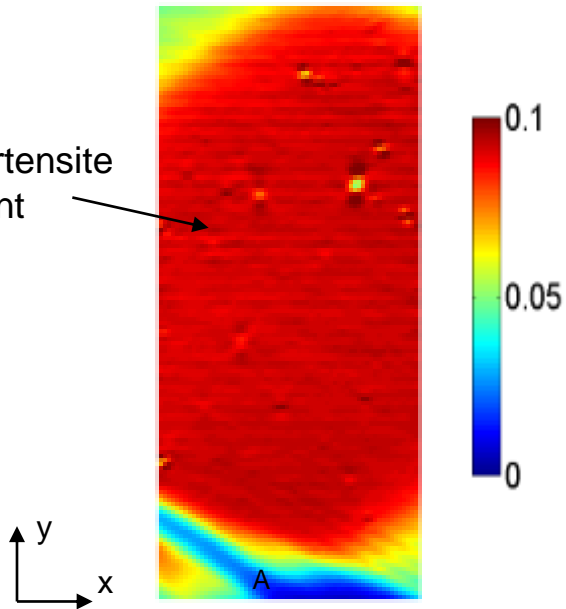


- asymmetric response between loading and unloading phases

- Recall difference with previous test

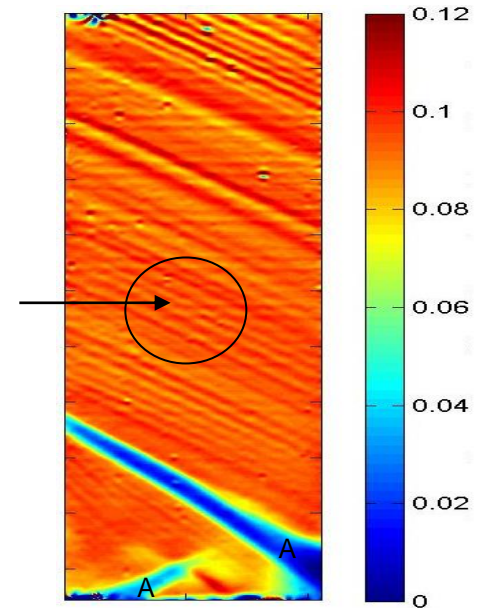
**Present test**

Single martensite variant



**[Delpueyo et al. 2012]**

Martensite twin  
(two martensite variants)



- Strain field during test – reverse transformation

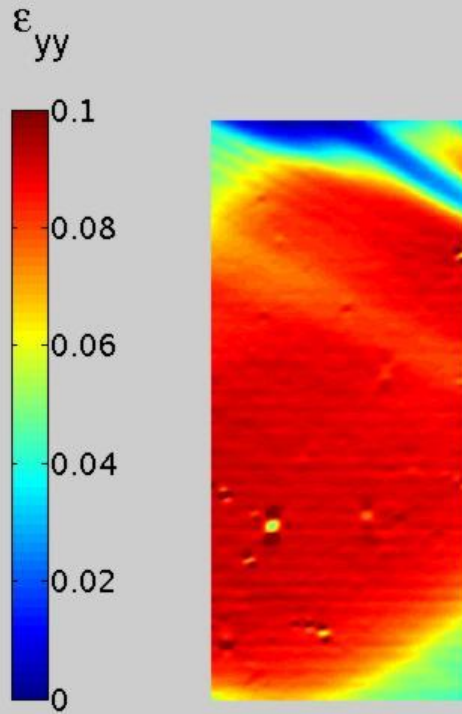
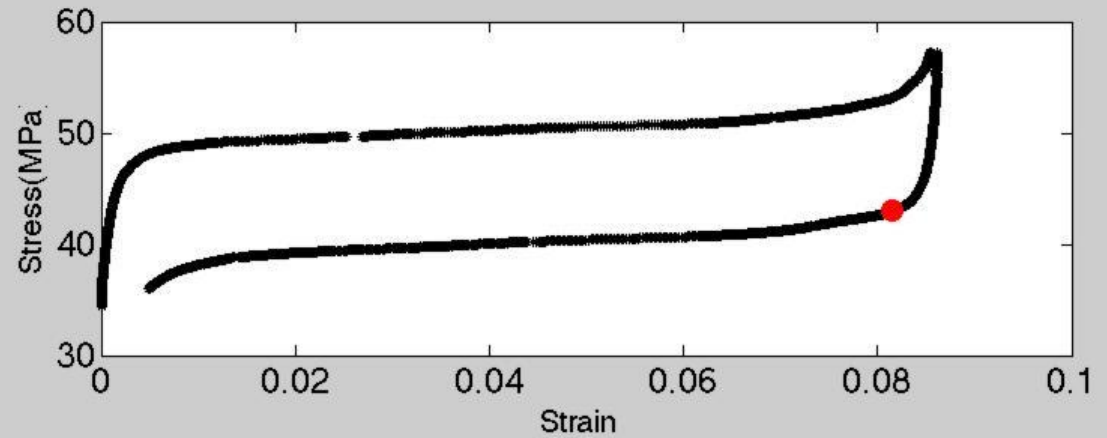


Image n. 15760





## 4 Results

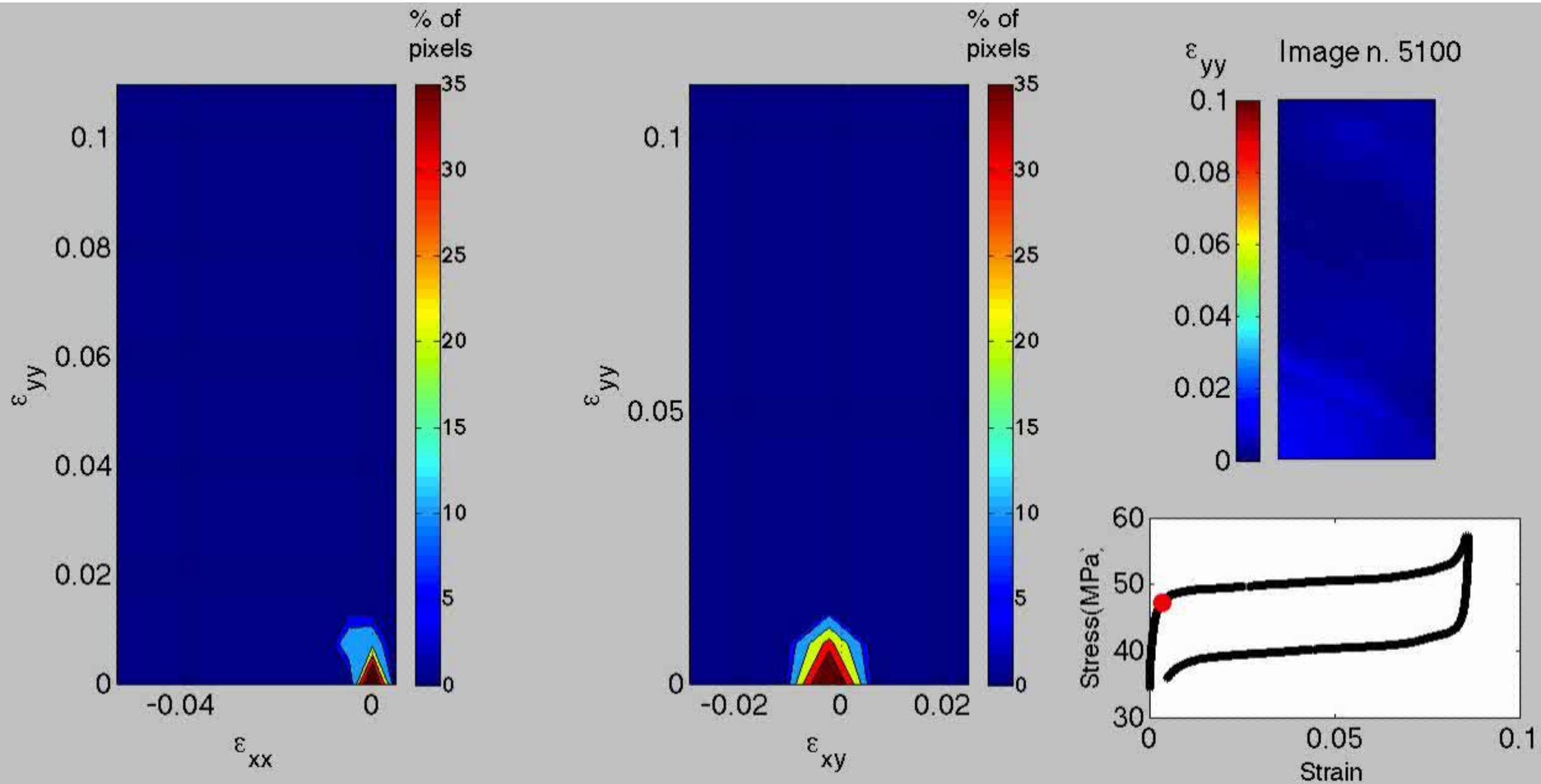
4.1 Hysteresis and strain maps

**4.2 Strain clustering**

4.3 Intermittency

4.4 Coordinated spatial activity, avalanching

- Strain clustering - forward transformation



- On loading material moves from “austenite well” to “martensite well” in strain space

## 4 Results

4.1 Hysteresis and strain maps

4.2 Strain clustering

**4.3 Intermittency**

4.4 Coordinated spatial activity, avalanching

- Small strain increments → real signal or noise?  
(some technical info)
  - strain increments between two images have rather wide range
  - smaller increments are real or are noise? (noise mainly from camera sensor)
- must impose suitable lower thresholds on strain measurements

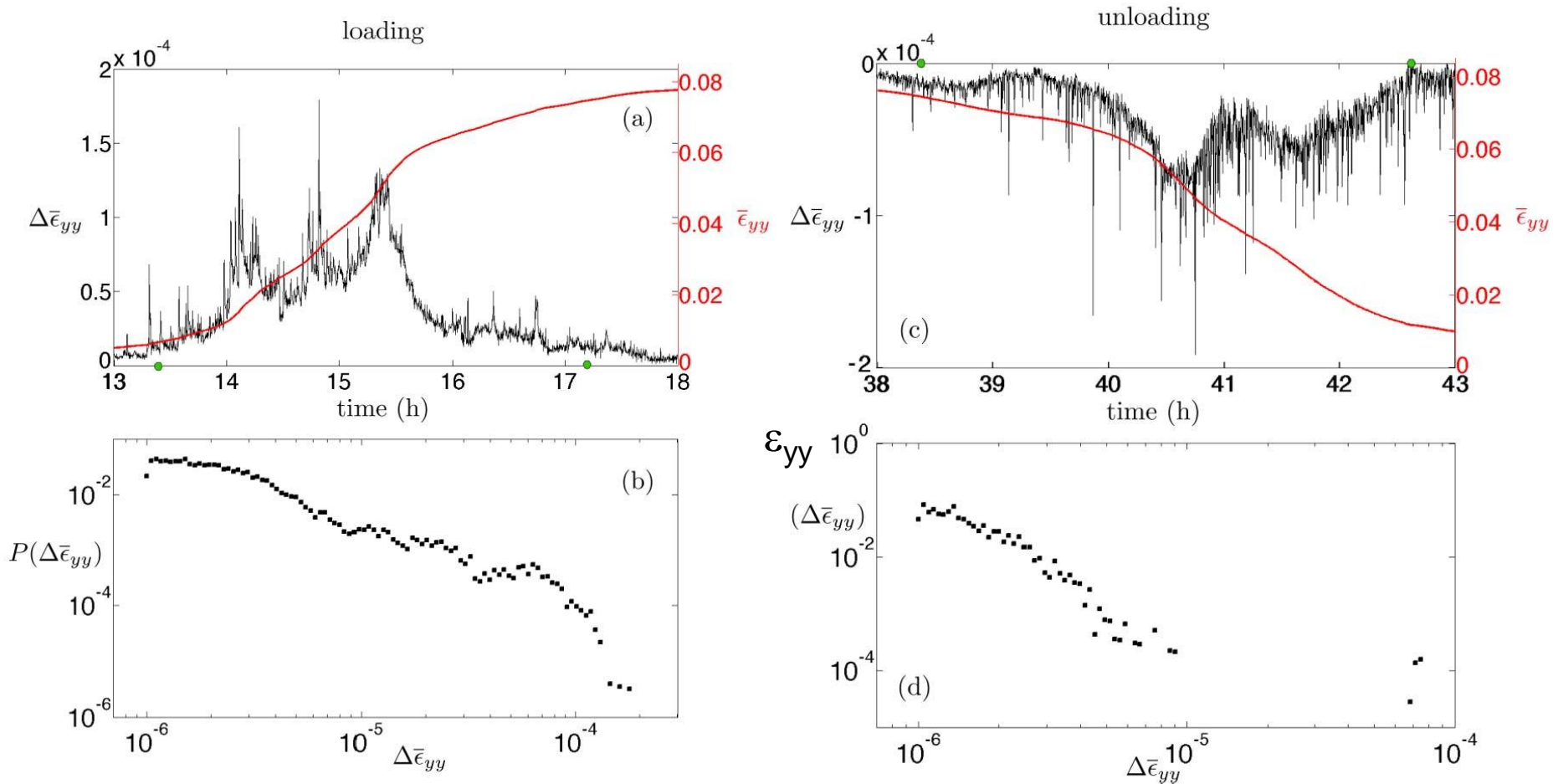
Based on camera and grid features, recent theory leads to:

- threshold on local strain increments  $\Delta\varepsilon_{ij}$  :  $4 \times 10^{-4}$
- we consider same threshold on  $|\Delta\varepsilon| = (\Delta\varepsilon_{yy} + \Delta\varepsilon_{xx} + 2\Delta\varepsilon_{xy})^{1/2}$
- threshold for the mean strain components:  $1 \times 10^{-6}$

Analysis derived from [Grédiac et al. **Strain** 2014, Sur et al., **IEEE Sign Proc Lett** 2014, Sur et al. **Opt Las Eng** 2015]

So far, fairly smooth global behavior, but a closer look **reveals bursty evolution** under the smooth loading (expected, from AE results)

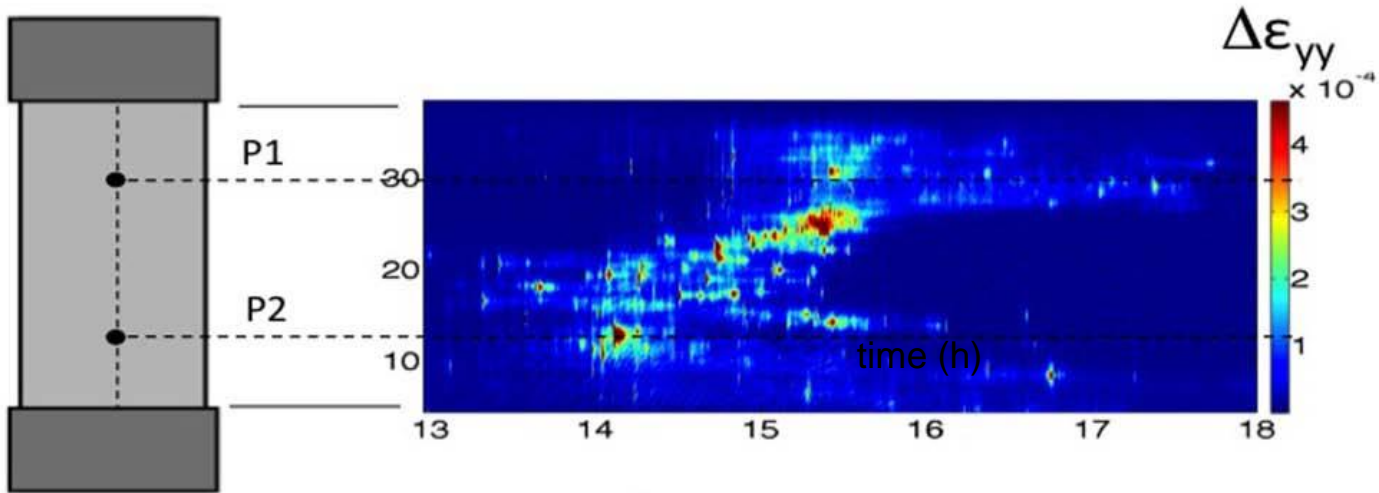
→ behavior of mean strain increments along plateaus:



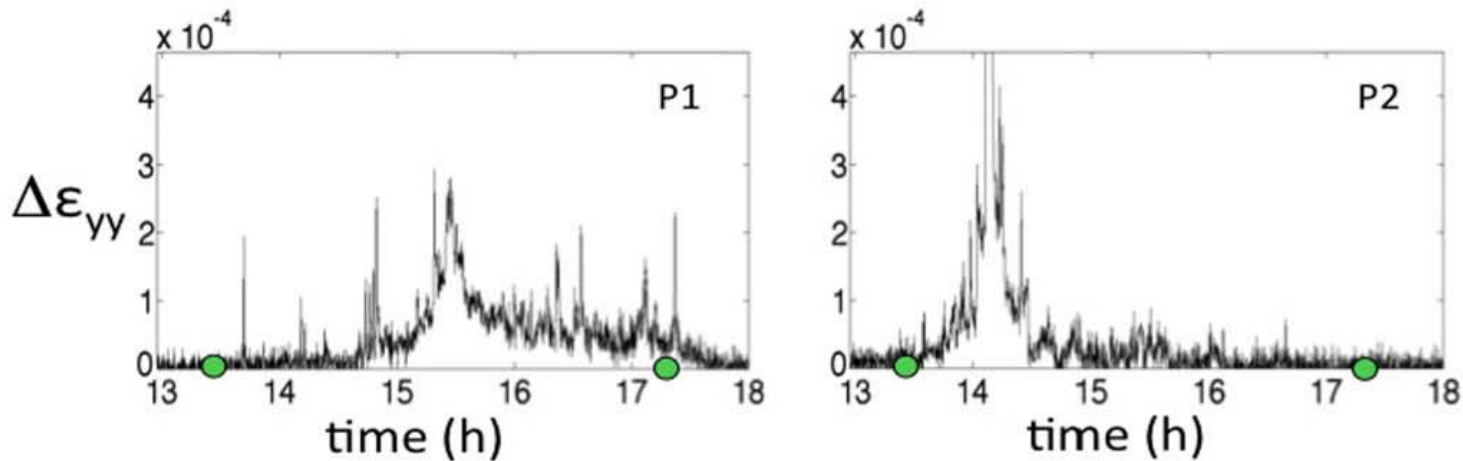
- bursty evolution is clearly observed (also non-stationarity)
- probability densities  $P(\Delta\bar{\epsilon}_{yy})$  exhibit heavy tails over about 2 decades

- intermittency in  $\Delta \bar{\epsilon}_{yy}$  originates from intermittency from local  $\Delta \epsilon_{yy}$  activity: check behavior of local strain increments on the sample

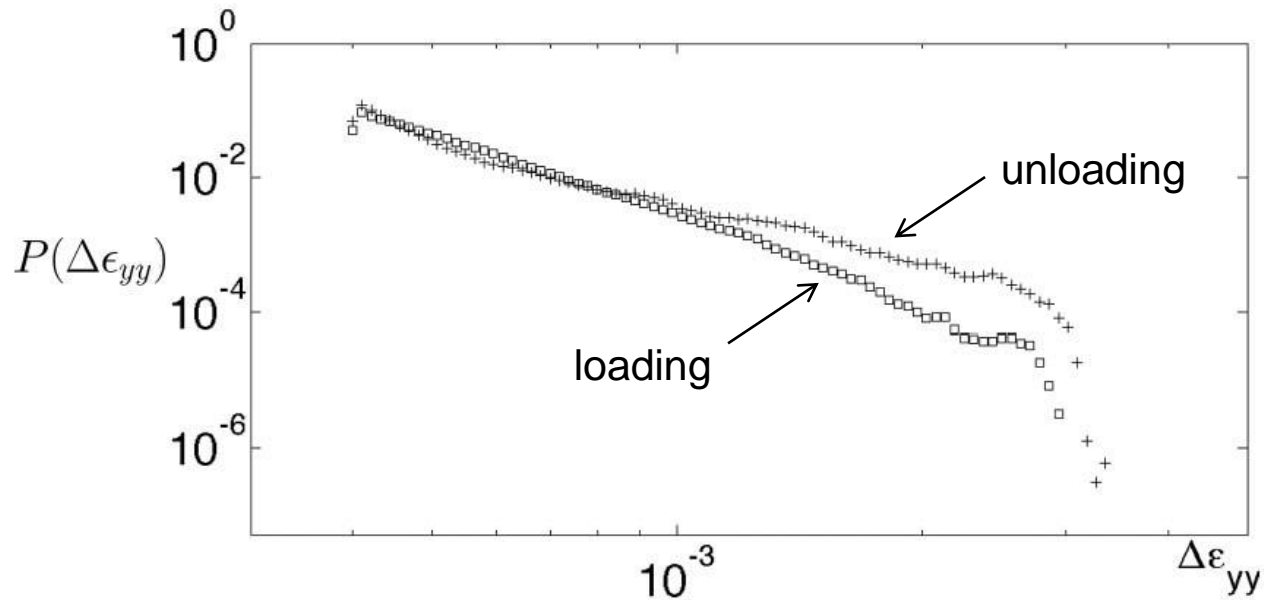
- Localization of strain activity in space and time (loading):



- Strain increments detected at two given pixels (loading):



- $P(\Delta\epsilon_{yy})$  for all pixels during forward and reverse transformation



(Thresholded-below) pixel-level values of  $\Delta\epsilon_{yy}$  throughout sample bounded above by transformation strain  $\rightarrow$  truncated distributions span about one decade

## 4 Results

4.1 Hysteresis and strain maps

4.2 Strain clustering

4.3 Intermittency

**4.4 Coordinated spatial activity, avalanching**



So far, info on local strain activity.

Must also investigate the spatial organization of phase transformation

## → **Strain avalanches**

- definition: suitable regions in  $\Delta\varepsilon_{ij}$  or in  $|\Delta\varepsilon| = (\Delta\varepsilon_{yy} + \Delta\varepsilon_{xx} + 2\Delta\varepsilon_{xy})^{1/2}$  maps define spatial events/avalanches -- given by connected subsets of sample whereon pixel activity in  $\Delta\varepsilon_{ij}$  or  $|\Delta\varepsilon|$  exceeds a given threshold

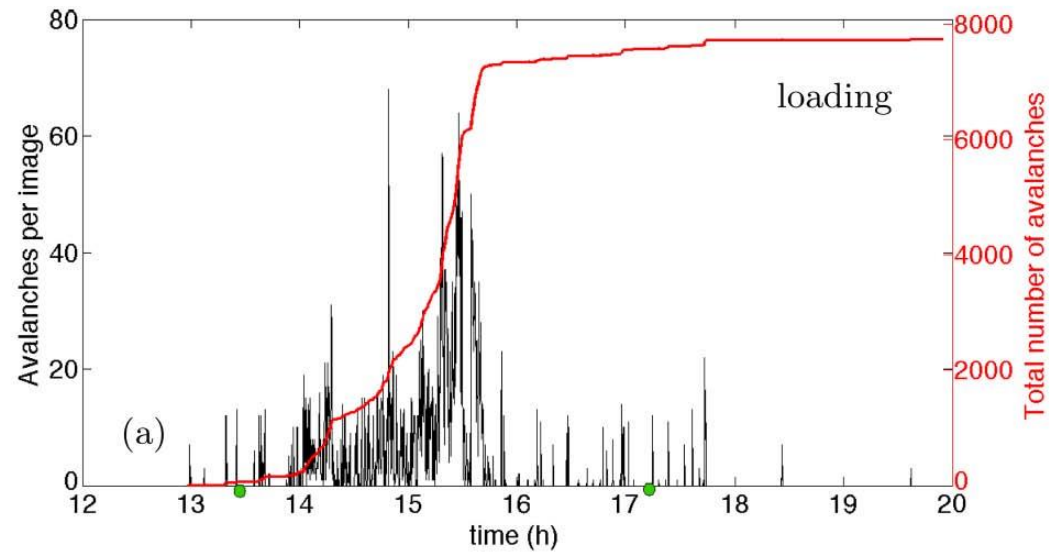
- Spatial events characterized by two quantities:

- size  $S$ : total number of pixels in a given avalanche

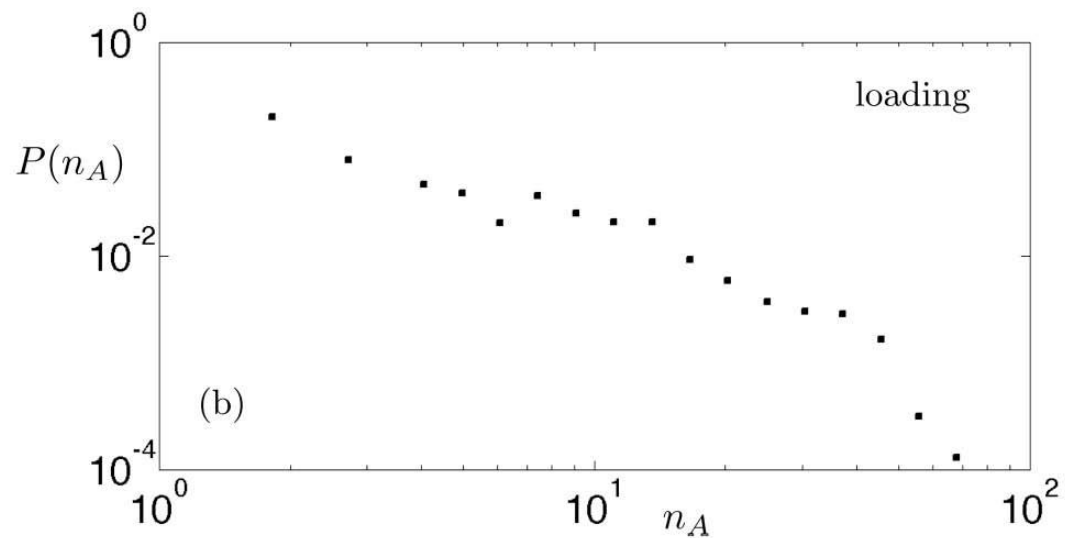
- magnitude  $\mathcal{M}$ : integral of  $|\Delta\varepsilon|$  over given avalanche

(no durations)

How many events?  $\approx 14,000$  avalanches detected along cycle



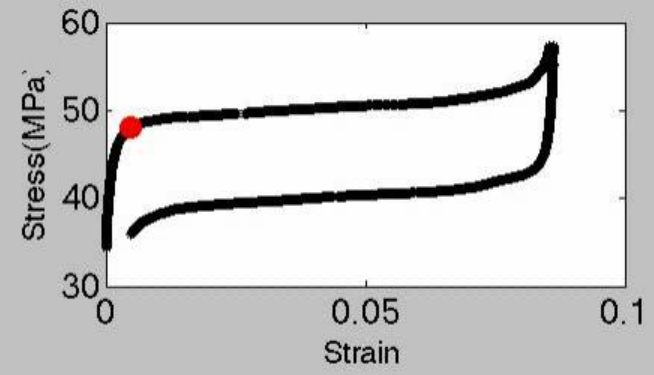
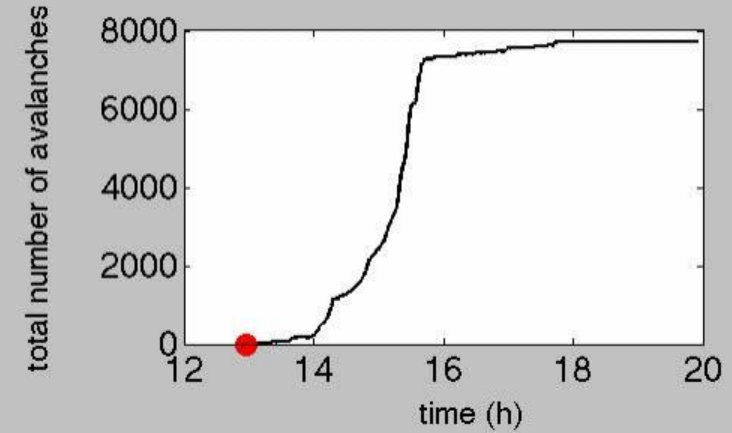
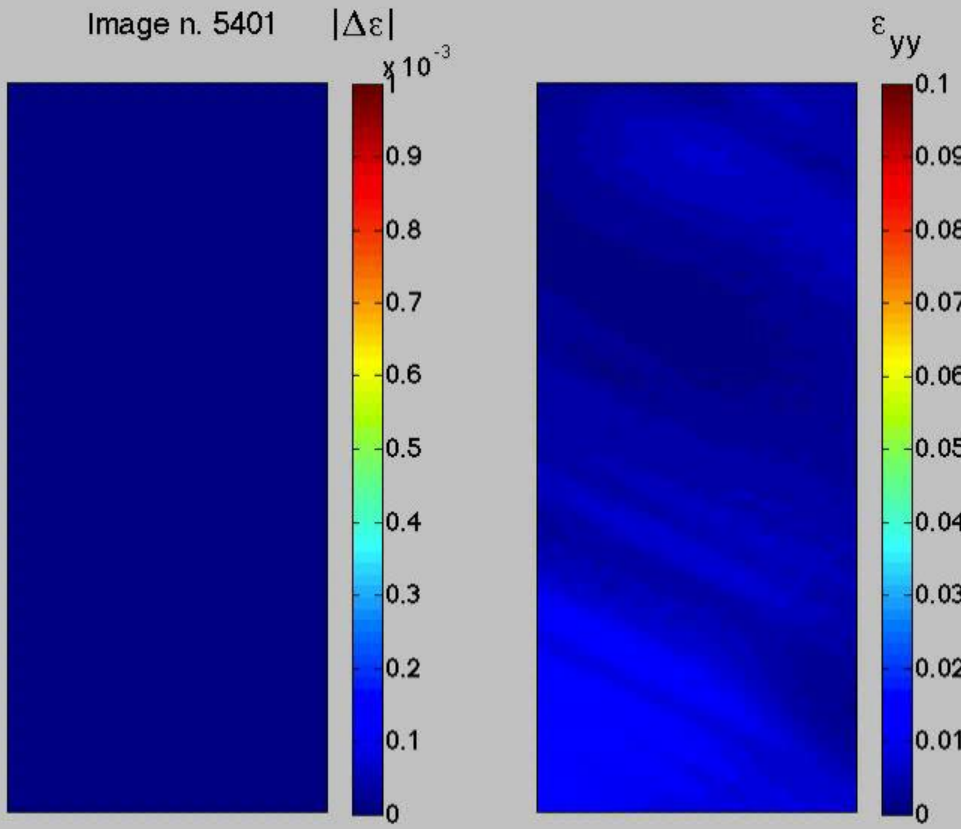
forward transformation



Notice again non-stationarity of transformation progress

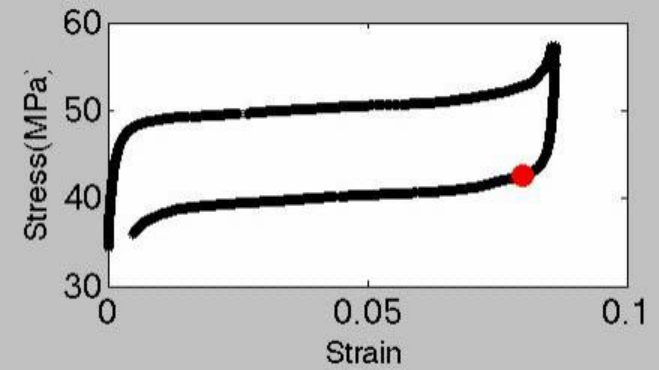
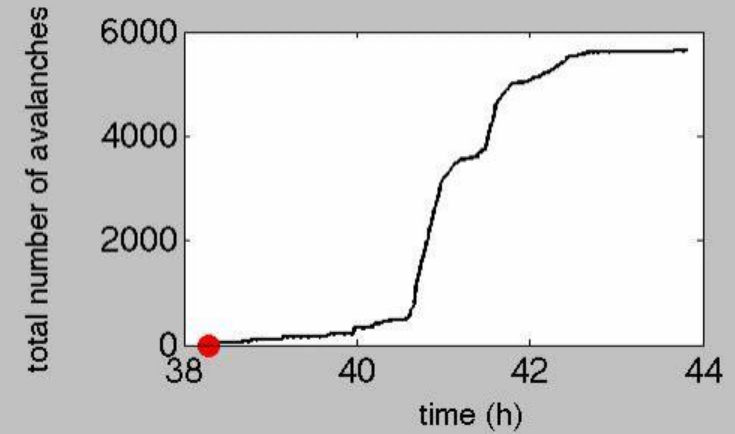
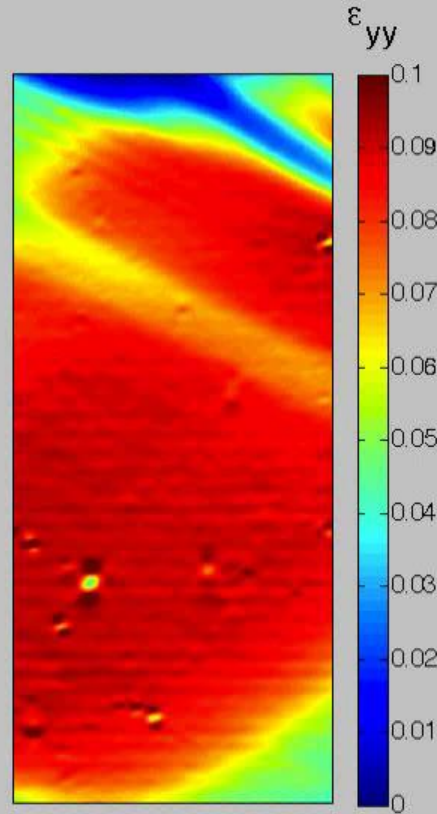
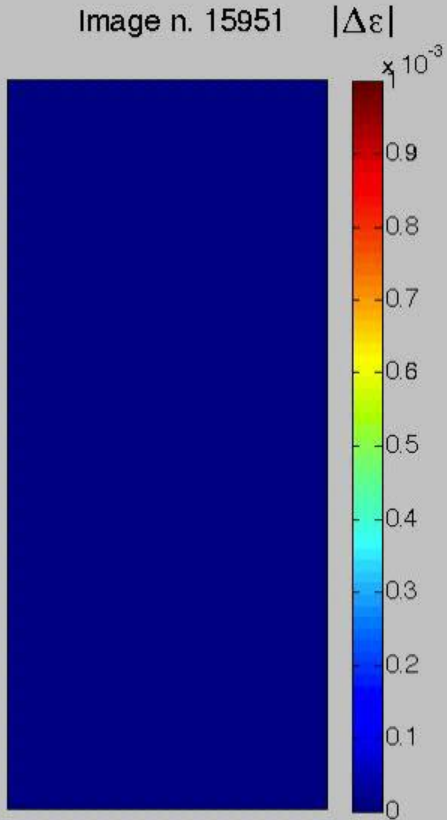
- Avalanches during forward plateau

$$(|\Delta\varepsilon| = (\Delta\varepsilon_{yy} + \Delta\varepsilon_{xx} + 2\Delta\varepsilon_{xy})^{1/2})$$

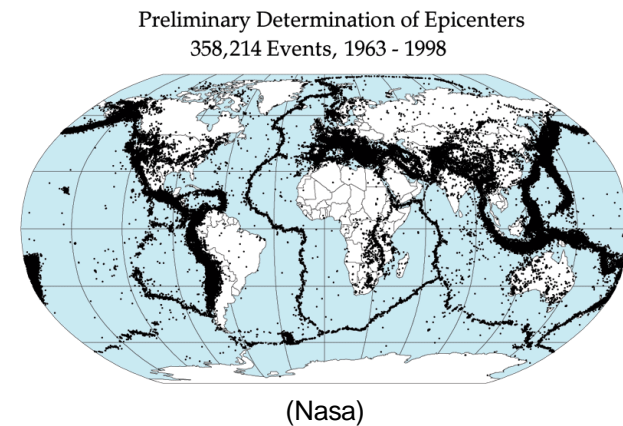
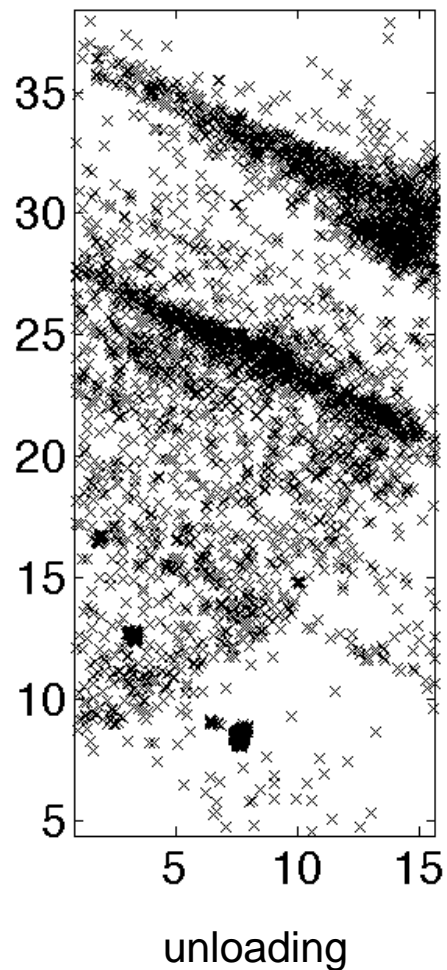
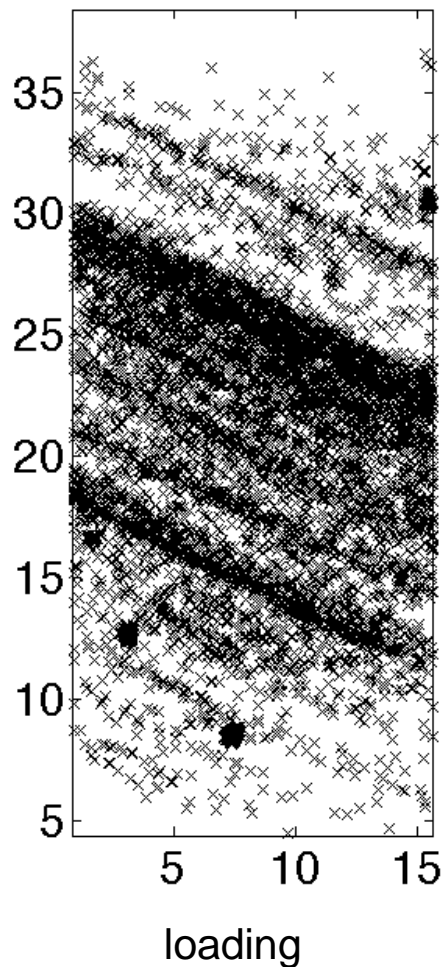


- Avalanches during reverse plateau

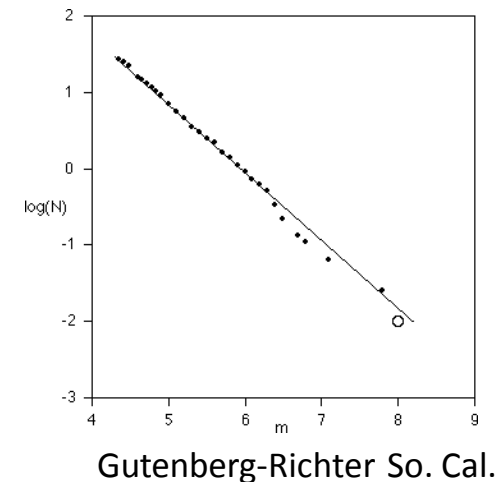
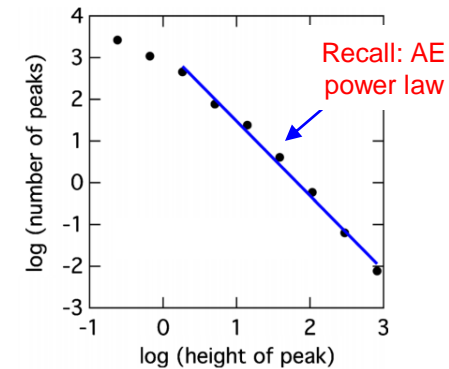
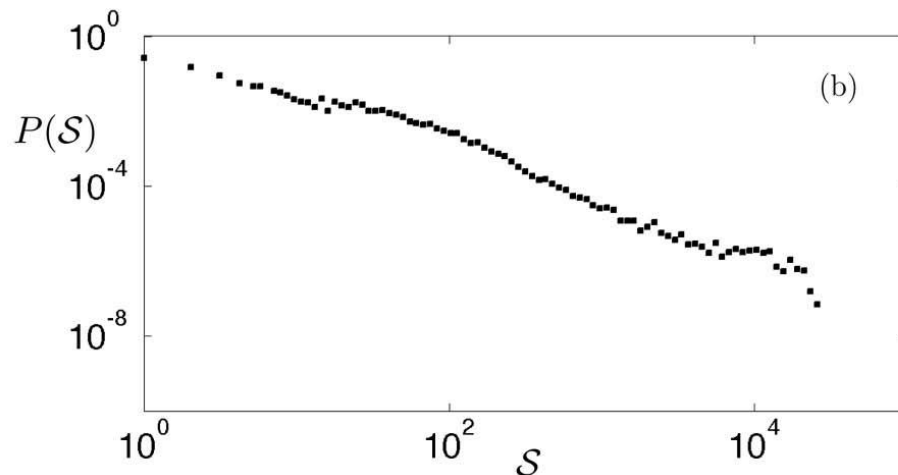
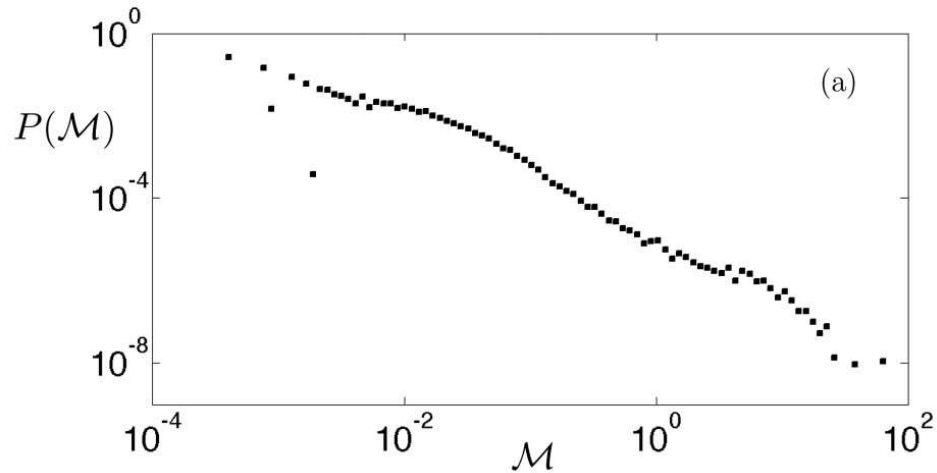
$$(|\Delta\varepsilon| = (\Delta\varepsilon_{yy} + \Delta\varepsilon_{xx} + 2\Delta\varepsilon_{xy})^{1/2})$$



- Can also locate transformation 'epicenters' during test (pixels where  $|\Delta\varepsilon|$  is max for each event)



# Statistics for the resulting avalanche dynamics:

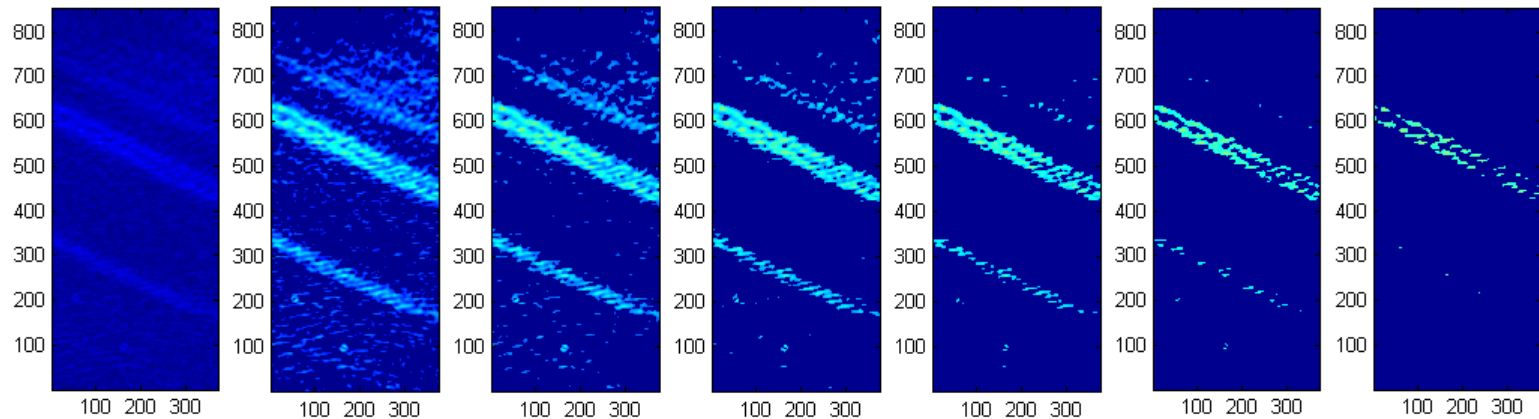


- Fairly linear trend of distributions  $P(\mathcal{M})$  and  $P(\mathcal{S})$  – indicates emergence of power-law behavior of strain avalanching during the phase transformation (almost 6 decades in  $\mathcal{M}$ !)

- Different power-law exponents: forward  $\approx 1.5$ ; reverse  $\approx 2$ . Consistent with AE results

[Rosinberg & Vives, 2012]

$$\|\Delta\varepsilon\| \eta = 1.5 \times 10^{-4} = 2 \times 10^{-4} = 2.5 \times 10^{-4} = 3 \times 10^{-4} = 3.5 \times 10^{-4} = 4 \times 10^{-4}$$



- question: the number of 'spots' increases as the threshold value is decreased  
 → what is really an event?

- both avalanche size  $S$  and magnitude  $\mathcal{M}$  depend on threshold – but we observed that threshold value within reasonable bounds affects distributions  $P(\mathcal{M})$  and  $P(S)$  only slightly

1. Context
2. Experimental set up
3. Comparison with classic tests
4. Results
- 5. Conclusions**



# 5. Conclusions

- Designed a mechanical device based on gravity to apply a monotonic and very slowly growing stress-controlled load with minimal boundary constraints on SMA sample
- Observation and characterization of elemental strain intermittency during martensitic transformation
- Avalanches exhibit a fairly clean power-law behavior as in AE ('criticality'?)

## Current work:

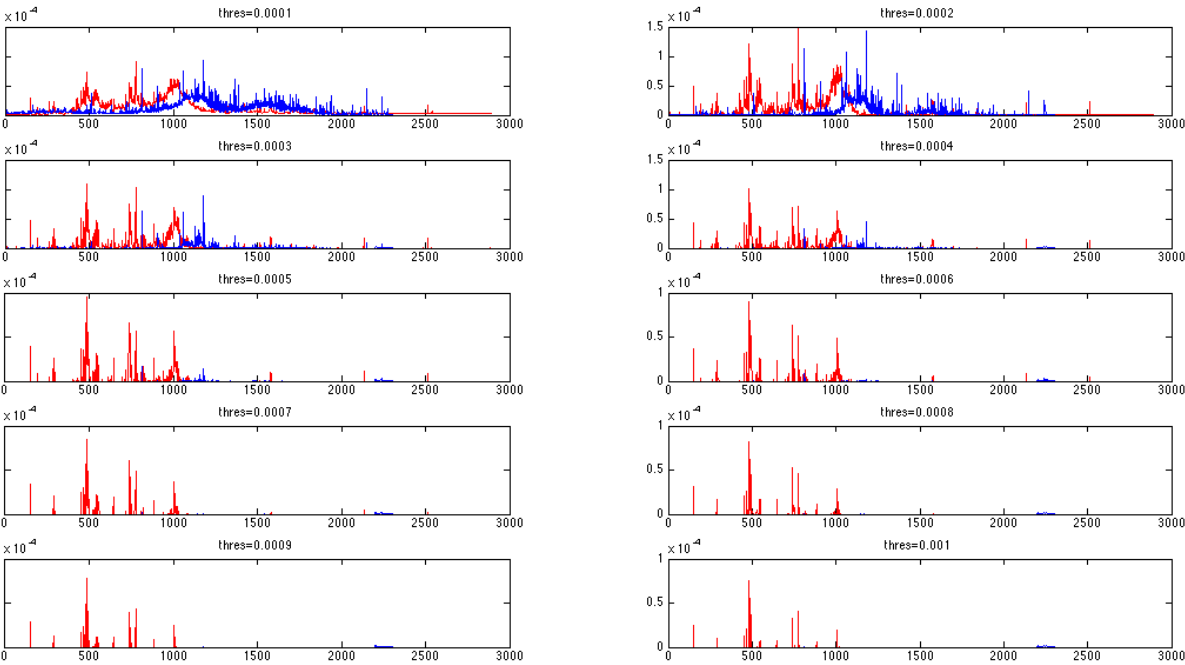
- coupling full-field measurements and AE analysis to study both acoustic and strain avalanches (together with Clermont and Barcelona groups)



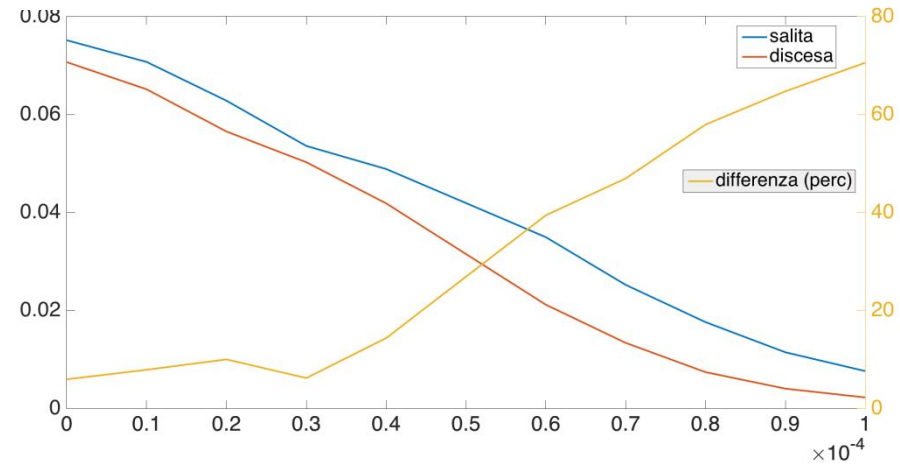
‘GARBO TALKS!’

- Modelling: materials with complex energy landscapes, Ericksen-inspired,  $GL(2, Z)$  invariance (with Paris and Aberdeen groups)

Also: study in more detail finer effects in the data (e.g. non-stationarity and forward vs. reverse asymmetry)



Asymmetry in forward vs reverse transformation



## More information and videos:

X. Balandraud, N. Barrera, P. Biscari, M. Grédiac, G. Zanzotto,  
*Strain intermittency in shape memory alloys*,  
**Physical Review B** **91**, 174111, 2015