

# Projection complexes and applications to mapping class groups

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## Asymptotic dimension (Gromov)

Motivation: (covering dim)

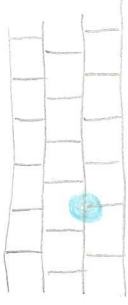
$X$  opt m.s.:  $\dim(X) \leq n \iff \forall \epsilon > 0 \exists$  open cover  $\mathcal{U}$  of  $X$  with multiplicity  $\leq n+1$ ,  $\text{mesh}(\mathcal{U}) < \epsilon$

interaction of  $n+2$  sets is  $\emptyset$  "well"

- 1) every metric  $R$ -ball intersects  $\leq n$  sets of  $\mathcal{U}$
- 2)  $\text{mesh}(\mathcal{U}) < \epsilon$  as (uniformly) bounded

Def:  $X$  m.s.:  $\text{asdim } X \leq n \iff \forall R > 0 \exists$  (open) cover  $\mathcal{U}$  s.t.

Ex:  $\mathbb{R}^2$ :  $\text{asdim } \mathbb{R}^2 \leq 2$



$\Rightarrow \text{asdim } \mathbb{R}^n \leq n$

Fact:  $\text{asdim } \mathbb{R}^n = n$

Suppose  $\mathcal{U}$  is an open cover of  $\mathbb{R}^n$  by unif. ball sets. Need to show multiplicity is  $\geq n+1$



Let  $N =$  nerve of the cover.  $\exists$  map  $f: \mathbb{R}^n \rightarrow N$  induced by a part of unity subordinate to  $\mathcal{U}$ . gives barycentric coords of  $f$

$\exists$  map  $g: N \rightarrow \mathbb{R}^n$ ,  $g(u) \in u$  extend linear on simplices vertex of  $N$

unif. ball  $\rightsquigarrow$   $gf \rightsquigarrow$  id

$$\mathbb{R}^n \xrightarrow{f} N \xrightarrow{g} \mathbb{R}^n \xrightarrow{gf} \mathbb{R}^n \xrightarrow{\text{id}} \mathbb{R}^n$$

$\Rightarrow H_0^{lf}(\mathbb{R}^n) \rightarrow H_0^{lf}(N) \rightarrow H_0^{lf}(\mathbb{R}^n)$

$\Rightarrow H_0^{lf}(N) \neq 0$

$\Rightarrow \dim N \geq n$

If  $X \xrightarrow{f} Y \Rightarrow \text{asdim } X = \text{asdim } Y$

$\hookrightarrow$  generated by bilip. homeo + cobounded inclusions

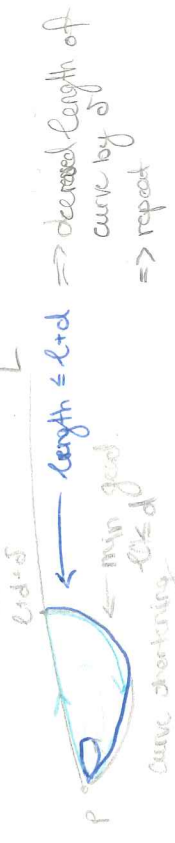
can replace by bijection that sends unif. ball collections on one side to the same on the other

(coarsely equal)

Proof of Thm:  $S^1 \times \mathbb{R}^n = \text{p.w. diff curves on } \mathbb{N}^n$  beginning and ending at  $p$   
 $H^*(S^1 \times \mathbb{R}^n, \mathbb{R}) \rightsquigarrow u^1, u^2, \dots$

$\rightsquigarrow$  concatenation of loops produces Poincaré products  
 In general not possible to control length of curves in sweep out.

Proof of observation:



Curve shortening  $L \leq d$   
 $\rightsquigarrow X$   $L(d) \leq L$   
 Consider  $f: S^1 \rightarrow S^1 \times \mathbb{R}^n$   
 Construct  $\tilde{f}$



$d(f(t_i), f(t_{i+1})) < \epsilon$  for some small  $\epsilon$   
 $f(t_i) = d_i$   
 $\rightsquigarrow$  replace by short loops



$\Rightarrow$  path homotopy b/w  $\tilde{f}$  and  $f$  over short curves  
 $\tilde{\alpha}_i$  loop based at  $p$

We have  $f, \tilde{f} \rightsquigarrow$  constant  $\neq 0$  s.th.  $f_0 = f, f_1 = \tilde{f}$   
 $\rightsquigarrow$  generalize to higher dim

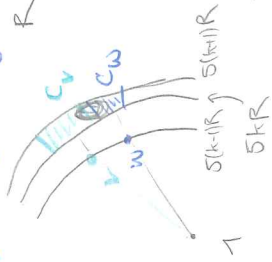
Problems appl. of Farago's thm

"critical points of distance fcts & appl. to geometry" -Chang

Ex:  $\text{asdim}(\text{tree}) \leq 1$ .  $\text{ht} \rightarrow [0, \infty)$  dist. from a vertex

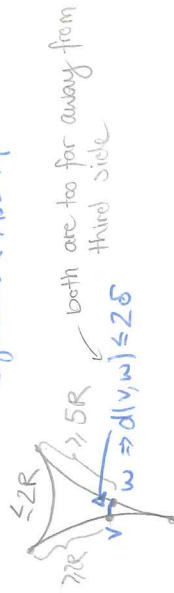


Gromov's thm  
 $g$  word hyperbolic group  $\Rightarrow \text{asdim } g < \infty$



For  $v \in S(5(k-1)R, 1)$  define

$$C_v = \{g \in G \mid \|g\| \in [5kR, 5(k+1)R) \text{ and } \exists \text{ geodesic } [v, g] \cap V \neq \emptyset\}$$

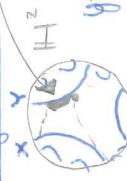


$$\Rightarrow \text{asdim } g \leq 2 \# \{B(5kR, 1) - 1\}$$

= 1 in free group:  $\delta = 0$

Projection Complexes

Motivating example:  $\{P_i(x) = \text{nearest point proj of } x \text{ to } Y\}$



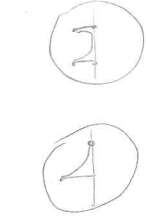
$Y = \{x, y, z, \dots\}$  coll. of lines in  $H^2$  that cover  $X$

$\Rightarrow \exists \theta > 0$ :



(P1)  $\text{diam } P_i(x) \leq \theta \forall x \in Y$

( $\Rightarrow$ ) proj. distance:  $\text{diam}(P_i(x) \cup P_j(z))$



(P2)  $\text{diam}(X \cap Z) > \theta$  then  $\text{diam}(P_i(x), z) \leq \theta$  Behrstock inequality

(P3)  $\forall x \in Y$  the  $\{y \mid d(x, y) > \theta\}$  is finite

Ex: Finitely generated groups have a well-defined asdim

Motivation:  $H < G \Rightarrow \text{asdim } H \leq \text{asdim } G$

Ex:  $\text{asdim}(C^n) = n$   $C^n \supset \mathbb{R}^n \forall n$

Thompson's groups has  $\text{asdim} = \infty$  ( $> \mathbb{Z} \times n$ )

Bold metric spaces have  $\text{asdim} = 0$ .

More generally, spaces with 'archipelago structure' have  $\text{asdim} = 0$ .

$\exists$  sequence of partitions of  $X$  (pairs, diag.)  $P_1, P_2, \dots$  s.th.

• mesh  $P_n < \infty$

• dist. btw. distinct islands in  $P_0$  is  $> \epsilon_n$  for  $\epsilon_n \rightarrow \infty$

Ex:  $\mathbb{Q}$  with the standard norm ( $\omega \leq \mathbb{R}$ )  $\text{asdim } \mathbb{Q} = 1$

$\Rightarrow$  p-norm  $\|p\|_p = p^{-n}$   $p \times q, b$ .

ultra norm:  $\|x + \beta\| \leq \max\{\|x\|, \|\beta\|\}$   $\Rightarrow \text{asdim } \mathbb{Q}_p = 0$

$\Rightarrow$  Any ultra-metric space has an archipelago structure.

$P_n = \text{coll. of } n\text{-balls in } X$

$\mathbb{R}$ -balls are same  $\mathbb{R}$ -balls are disjoint,  $\mathbb{R}$ -apart

Hurwicz Thm

$X, Y$  cpt. m.s.,  $f: X \rightarrow Y$  map s.th.  $\text{diam } f^{-1}(y) \leq n \forall y \in Y$

$\Rightarrow \text{dim } X \leq \text{dim } Y + n$

Ball-Dramininikov Hurwicz Thm

$X, Y$  m.s.,  $f: X \rightarrow Y$  Lipschitz s.th.  $\forall R$  the collection  $\{B(x, R) \mid B(x, R) \text{ ball in } Y\}$  has  $\text{asdim} \leq n$  uniformly. Then

$\text{asdim } X \leq \text{asdim } Y + n$

Ex:  $1 \rightarrow A \xrightarrow{\text{Lip}} B \rightarrow C \rightarrow 1$  short exact seq.

$\Rightarrow \text{asdim } B \leq \text{asdim } A + \text{asdim } C$

Ex:



Ex (Lip groups):  $g = K \times N$ .  $X = \frac{g}{K} = \frac{N}{K} \rightarrow A \xrightarrow{\sim} \text{asdim } X \leq \text{dim } X$

( $\sim$  'horocycles') symmetric space

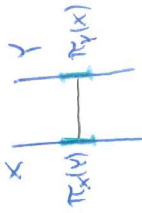
Projection data

- Collection  $Y = \{x, y, z, \dots\}$  of m.s.
- Collection of Projections  $\pi_Y(X) \subseteq Y$  nonempty  $\forall X \neq Y$
- Assume  $\exists \theta > 0$  s.t. (P1)-(P3) hold

→ goal: Build an ambient space  $\tilde{Y}$

Basic strategy for building  $\tilde{Y}$ :

Start with  $\frac{1}{\theta} X \rightarrow$  decide when to connect two of them  $X, Y$



← quasi-tree of metric spaces

crushing every  $X \in Y$  to a point results in a graph (of - edges)

Main Theorem: This graph is QI to a tree

← quasi-tree  
called projection complex

Plan: Prove theorem under the stronger hypothesis

(P2++) If  $d_Y(X, Z) > \theta$  then  $\pi_X(Y) = \pi_X(Z)$

→ Technical part one is that one can perturb by a bold amount projection  $\pi_Y(X)$  satisfying (P1)-(P3) s.t. (P1), (P2++), (P3) hold

Construction

Choose  $K > 2\theta$

Define:  $Y_K(X, Z) = \{Y \in Y \mid d_Y(X, Z) > K \cup \{X, Z\}$  finite by (P3)

Claim:  $Y_K(X, Z)$  is naturally ordered.

$\pi_Y(Y_i) = \begin{cases} \pi_{Y_j}(X), & i < j \\ \pi_{Y_j}(Z), & i > j \end{cases}$

If  $k = 2$ , nothing to prove

$k = 3$



since dist b/w  $Y_1$  and  $Y_2$  is large. we have  $\leftarrow$  say this

$d_{Y_1}(X, Y_2) > \theta$  or  $d_{Y_2}(Y_1, Z)$

(P2++) for  $X, Y_1, Y_2 \Rightarrow \pi_{Y_1}(Y) = \pi_{Y_2}(Y)$

(P2++) for  $Y_1, Y_2, Z \Rightarrow \pi_{Y_1}(Y) = \pi_{Y_2}(Y)$

→ order:  $X, Y_1, Y_2, Z$

Continue by induction:

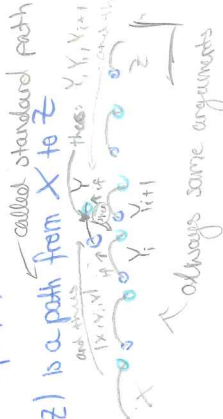


By the same argument applied to  $Y_1, Y_2$   
 $\pi_{Y_1}(Y)$  is one of  $\pi_{Y_1}(X)$  or  $\pi_{Y_1}(Z)$   
 $\pi_{Y_2}(Y_1)$  is one of  $\pi_{Y_2}(X)$  or  $\pi_{Y_2}(Z)$   
 → look for a place where the proj. of  $Y$  switches from right to left

(P2++)  $X, Y_1, Y \Rightarrow \pi_{Y_1}(Y) = \pi_{Y_1}(X)$   
 → insert  $Y$  between  $P_1$  and  $P_{i+1}$ .

Def:  $P_K(Y)$  graph. Vertices are elts of  $Y$ .

connect  $X$  to  $Z$  by an edge  $\Leftrightarrow d_Y(X, Z) \leq K + \theta$



Claim:  $P_K(Y)$  is connected, and  $Y_K(X, Z)$  is a path from  $X$  to  $Z$

Suppose  $\exists Y, d_Y(Y_1, Y_{i+1}) > K$

$\Rightarrow Y_K(X, Z)$  is the "standard path" from  $X$  to  $Z$

Manning's Bottleneck Criterion

Suppose  $X$  is a connected graph,  $\Delta > 0$  (bottleneck constant).

Assume that for any two vertices  $v, w \in V$  path  $P_{v,w}$  from  $v$  to  $w$  s.t. for any path  $q$  from  $v$  to  $w$ ,  $N_{\Delta}(q) \supseteq P_{v,w}$ . Then  $X$  is a quasi-tree

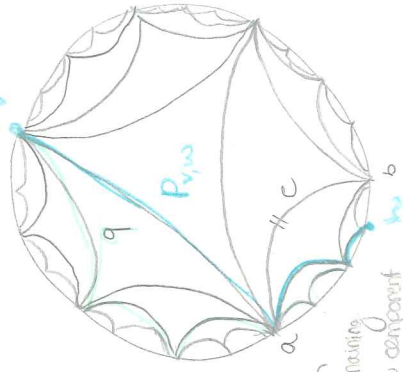
Exercise: Show that the Frey graph is a quasi-tree

$P_{v,w}$  geodesic between  $v$  and  $w$

$\Rightarrow$  for any other path  $q$  from  $v$  to  $w$  we have:

$P_{v,w} \subseteq N_{\Delta}(q)$

\* note that when we delete an edge with its endpoints, the remaining graph consists of two components



$\Rightarrow$  by Manning's bottleneck criterion the Frey graph is a quasi-tree

Consider a vertex  $c \in P_{v,w}$  and let  $q$  be any other path from  $v$  to  $w$ . Choose an edge  $e$  that separates  $v$  from  $w$ . Because of  $\Delta$ , the path  $q$  has to go through one of its endpoints  $\Rightarrow \text{dist}(c, q) \leq 1$

$Y = \{X, Y, W\}$  - collection of metric spaces (graphs)  
 $\Rightarrow 0, \{ \pi_Y(x) = Y, x \neq Y \}$   $\leadsto d_Y(x, z) = \text{diam}(\pi_Y(x) \cup \pi_Y(z))$   
non-empty

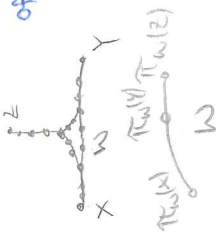
(P1)  $\text{diam} \pi_Y(x) \leq \Theta$

(P2)  $d_Y(x, z) > \Theta \Rightarrow d_X(x, z) \leq \Theta$

(P3)  $\forall X, Z \in Y, d_Y(x, z) > \Theta \text{ finite} \leadsto (P2) \cup d_Y(x, z) > \Theta \Rightarrow \pi_X(x) = \pi_X(z)$

**Fact/Exercise:**  $\forall X, Y, Z: Y_X \cup Y_Y \cup Y_Z$  contains all but at most two of the vertices on  $Y_X \cup Y_Z$ , and if true, they are adjacent

**Hint:** given  $W \in Y_X \cup Y_Z$  either  $d_W(x, Y) > \Theta$  or  $d_W(y, Z) > \Theta$   
 if  $\textcircled{1}$ : show that  $\forall W \in N$   
 $W \in Y_X \cup Y_Y$  (apply P2 to  $W, X, Y$ )



in particular hyperbolic

**Theorem:**  $\mathbb{P}_K(Y)$  is a quasi-tree.

**Proof:** Use Munn's bottleneck criterion with  $\Delta = 3$  and standard path for  $\mathbb{P}_K$

If  $P, Q$  are adjacent &  $d(P, Q) = 1, d(P, W) > \Theta, d(Q, W) > \Theta$

$\Rightarrow \pi_W(P) = \pi_W(Q)$



**Fact/Exercise:** If  $n = \text{length}(\text{std. path from } X \text{ to } Z)$  subpath of std path is std path

Then  $\lfloor \frac{n}{2} \rfloor + 1 \leq d(X, Z) \leq n$

$\Rightarrow$  std. paths are quasi-geodesics.

**Hint:** Induction on  $d(X, Z)$   $\leadsto$  triangles are almost tripod

want that translates of  $L$  are not parallel



Some examples where (P1)-(P3) hold.

$\textcircled{1}$   $g$  hyp group, non-elementary,  $g \in g$  inf. order  $E(g) = g$

$\exists$  quasi-axis  $L$  for  $g, g(L) = L, g|_L$  translation

$Y =$  set of translates of  $L$  by  $g$

Assume no two distinct elts of  $Y$  are parallel

$\Rightarrow$  (P1)-(P3) hold, just like in the surface case

$\leadsto g \in \mathbb{P}_K(Y)$

$\leadsto$  Every non-elt hyp group has a non-elt action on a quasi-tree

$\textcircled{2} \mathbb{Z}^2 * \mathbb{Z}$

$Y =$  collection of points,  $\Theta = 0$

$\leadsto$  check (P1)-(P3) exercise

$K = 0 \leadsto$  collapse planes

spends only little amount of time in each

Morse geod.  $\rightarrow$  flat  
 Strongly cent. geod.  
 $\mathbb{P}_K(Y)$  geod.

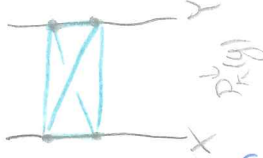


**Quasi-trees of metric spaces (graphs for simplicity)**

given  $Y, \pi_Y(x), \Theta, \mathbb{P}_K(Y)$

$\mathbb{E}_K(Y) =$  start with disj. union  $\bigcup_{x \in Y} X$

$\leadsto$  if  $d(X, Y) = 1$  then attach edges from every vertex in  $\pi_Y(x)$  to every vertex in  $\pi_Y(y)$



**Properties**

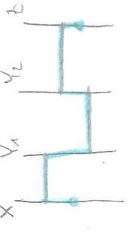
$\textcircled{1}$  If we assign length  $2K$  to each attached edge, then

1) each  $X \in \mathbb{E}_K(Y)$  is convex and isometrically embedded

2) Nearest point projection of  $X \subset \mathbb{E}_K(Y)$  to  $Y \subset \mathbb{E}_K(Y)$  is within uniform distance of  $\pi_Y(x)$

$\textcircled{2}$  If all  $Y \in Y$  have  $\text{asdim} \leq n$  uniformly then  $\text{asdim} \mathbb{E}_K(Y)$  is  $\leq n+1$  (Hruszovic)

$\textcircled{3}$  If all  $Y \in Y$  are quasi-trees with uniform  $\Delta$ , then  $\mathbb{E}_K(Y)$  is a quasi-tree



use these paths to argue that  $\mathbb{E}_K(Y)$  is a quasi-tree



uniform

$\textcircled{4}$  If all  $Y \in Y$  are  $\delta$ -hyperbolic then  $\mathbb{E}_K(Y)$  is hyperbolic

**Ex:**  $g \in g \leadsto \mathbb{E}_K(Y)$



quasi-tree

translating along  $L$

low-dromic in  $\mathbb{E}_K(Y)$

Exercises

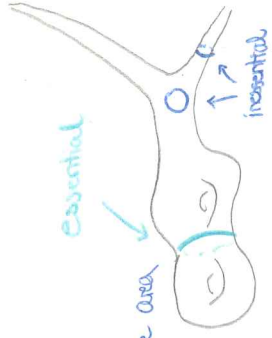
①  $d_w(x, y) > 0$   
 $\#W \leq W$  show:  $d_w(x, y) > 0 \iff \text{Weg}_K(x, y) > \lambda$  there is no more vertex in  $\Delta$   
 $\uparrow \uparrow$   
 $\Rightarrow d_w(w, v) > 0 \Rightarrow \pi_w(w) = \pi_w(v)$  since  $\#W \leq W$   
 $\uparrow \uparrow$   
 $\Rightarrow d_w(w, v) > 0 \Rightarrow \pi_w(w) = \pi_w(v)$

Mapping class group

$S$  - conn. closed orient. surface - finite set  
 $\text{Mod}(S) = \text{Homeo}_+(S) / \text{isotopy}$   
 $\mathbb{R}^2 \rightarrow S$   
 Ex:  $\text{Mod}(S^1) = \mathbb{Z}$   
 $\text{Mod}(T^2) = \text{SL}_2\mathbb{Z}$   $T^2 = \mathbb{R}^2/\mathbb{Z}^2$

Curve complex and graph

When  $\chi(S) < 0$ ,  $S$  has a complete hyp metric of finite area  
 $\alpha$  simple closed curve in  $S$  is essential if it does not bound  $D^2$  or  $D^2$ -pt.  
 $\iff$  homotopic (isotopic) to a geod. sec



Curve complex:  $\mathcal{C}(S)$   
 Vertices = isotopy classes of essential sec's  
 Simplices = coll. of ess sec's disjoint up to isotopy ( $\iff$  disjoint geod. sec's)

Sporadic surfaces



modify & replace "disjoint" by "intersect minimally"



$\mathcal{C}(S)$  is Farley graph  
 $\mathcal{C}(S)$  always connected & has infinite diameter

Thm (Masur-Minsky):  $\mathcal{C}(S)$  is  $\delta$ -hyperbolic

The Arc complex

Assume  $S$  has at least one puncture  
 An arc in  $S$  is either embedded, or has same endpoints and is otherwise embedded.  
 $\rightarrow$  essential: endpoints are distinct, or is same doesn't bound a disc



Arc complex:  $\mathcal{A}(S)$

Vertices = isotopy classes of ess. arcs ( $\iff$  geodesic arcs)  
 Simplices = disjointness up to isotopy  
 $\rightarrow$  contractible

Thm (Masur-Schleimer):  $\mathcal{A}(S)$  is  $\delta$ -hyperbolic

Harer-Przytycki-hubb  $\star$  { new fronts  
 $P$  - Sisto

guessing-geodesics lemma

$X$  graph

Assume:  $\exists D > 0$ ,  $\forall$  two vertices  $x, y \in X$  we have a subgraph  $P(x, y)$  s.t.

- (1)  $P(x, y)$  is connected and contain  $x, y$
- (2) If  $d(x, y) = 1$  then  $\text{diam } P(x, y) \leq D$ .
- (3)  $\forall x, y, z \in N(P(x, y) \cup P(y, z)) \supseteq P(x, z)$



Then  $X$  is hyperbolic ( $\delta$ -dep only on  $D$ )  
 $\rightarrow$  same  $\delta$  for any surfaces

Proof that  $\mathcal{A}(S)$  is hyperbolic

$X = \mathcal{A}(S)$ . Define  $P(x, y)$ , where  $x, y$  are arcs  $\rightarrow$  orient them (wlog geod.)  
 $\mathcal{A}$  uniform arc is the union of an initial segment of  $x$  and a terminal segment of  $y$   
 $P(x, y) =$  set of all union arcs w.r.t. all orientations and orders on  $\{x, y\}$



connectivity enlarge first until arc is just first

$D = 1$



this is an union on  $P(x, y)$   
 $\leftarrow$  disjoint from given union

$\rightarrow$  for  $\mathcal{C}(S)$ : bicorn (isotopy with punctures)

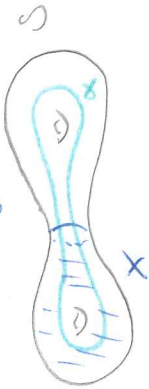


Subsurface projections

S as before

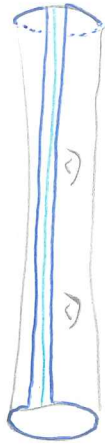
$X \subset S$  subsurface (conn,  $\pi_1$ -injective, not pair of pants)

There is a partially defined coarse map  $\mathcal{E}(S) \rightarrow \mathcal{E}(X)$



• If  $\alpha \subset X$ : projection is inf

• If  $\alpha \cap X$  is a collection of arcs, close them up along  $\partial X$  to get the projection



More generally, if  $X, Y \subset S$  are subsurfaces, write  $X \cap Y$  if

$$X \cap \partial Y \neq \emptyset \text{ and } \partial X \cap Y \neq \emptyset.$$

Define  $\pi_X(Y) := \pi_X(\partial Y) \subset \mathcal{E}(X)$  unif. bold subset

Thm:

(1) Let  $X, Y \subset S$  subsurfaces,  $X \cap Y \neq \emptyset$ . (Bersnick ineq)

If  $d_X(\alpha, Y) > 50 \Rightarrow d_Y(\alpha, X) < 50$

(2) If  $\alpha, \beta$  are two sec's in S then

$\{z \mid d_X(\alpha, \beta) > 50\}$  is finite (exercise)

Proof of (1)

Intersection number bounds distance  $\Rightarrow \partial Y \neq X$  intersect a lot

$\alpha$  arc of intersection btw  $\alpha$  &  $\partial Y$

arc of  $\alpha$  disj from  $\partial X$

$\Rightarrow d_Y(\alpha, X)$  small

