

Report no. OxPDE-18/04

ON THE H-SYSTEMS IN HIGHER DIMENSION

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April 2018

ON THE H-SYSTEMS IN HIGHER DIMENSION

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ABSTRACT. We prove a regularity result for the weak solutions of H-systems in dimensions $n \ge 3$.

2010 Mathematics Subject Classification: Primary 35J47; Secondary 35J25 Key words: Elliptic system, regularity of solutions

1. INTRODUCTION

Let Ω be a bounded Lipschitz domain in \mathbb{R}^n . For a given bounded Borel function $H: \mathbb{R}^{n+1} \to \mathbb{R}$ the corresponding H-system on Ω is the elliptic system

(1.1)
$$-\operatorname{div}\left(|\nabla u|^{n-2}\nabla u\right) = n^{\frac{n}{2}}H(u)J_u$$

where $u: \Omega \to \mathbb{R}^{n+1}$ is of Sobolev class $W^{1,n}$ and $J_u = u_{x_1} \wedge \cdots \wedge u_{x_n}$ is the *cross product* of the partial derivatives u_{x_j} of u. Recall that the cross product $v_1 \wedge \cdots \wedge v_n$ of n vectors v_1, \ldots, v_n in \mathbb{R}^{n+1} is defined as the unique vector in \mathbb{R}^{n+1} satisfying for all $w \in \mathbb{R}^{n+1}$,

$$w \cdot (v_1 \wedge \dots \wedge v_n) = \det \begin{bmatrix} w^1 & \cdots & w^{n+1} \\ v_1^1 & \cdots & v_1^{n+1} \\ \vdots & & \vdots \\ v_n^1 & \cdots & v_n^{n+1} \end{bmatrix}.$$

where we write $v_j = (v_j^1, ..., v_j^{n+1}), w = (w^1 ..., w^{n+1}).$

Definition 1.1. A map $u \in W^{1,n}(\Omega, \mathbb{R}^{n+1})$ is a weak solution to (1.1) if, for any test map $\varphi \in C_c^{\infty}(\Omega, \mathbb{R}^{n+1})$,

(1.2)
$$\int_{\Omega} |\nabla u|^{n-2} \nabla u \cdot \nabla \varphi \, \mathrm{d}x = n^{\frac{n}{2}} \int_{\Omega} H(u) \varphi \cdot J_u \, \mathrm{d}x$$

holds.

Remark 1.2. By approximation it can be easily seen that equation (1.2) can be tested by any map $\varphi \in W_0^{1,n}(\Omega, \mathbb{R}^{n+1}) \cap L^{\infty}(\Omega, \mathbb{R}^{n+1}).$

It is well-known that if, in addition to (1.1), u is C² and *conformal* meaning that for some nonnegative function λ ,

$$u_{x_i} \cdot u_{x_j} = \lambda \delta_{ij}$$

holds on Ω for all *i*, *j*, then the image $u(\Omega)$ is a surface whose mean curvature is H(u(x)) at each point u(x) where $J_u(x) \neq 0$. For n = 2 this observation is the starting point for most existence results for parametric surfaces of prescribed mean curvature, see [4, 25]. The conformality condition will play no direct role in the present paper.

The H-system (1.1) is the Euler-Lagrange system for the variational integral

$$\mathcal{E}(u) = \int_{\Omega} \left(\frac{1}{n} |\nabla u|^n + n^{\frac{n}{2}} Q_H(u) \cdot J_u \right) \mathrm{d}x$$

where $Q_H : \mathbb{R}^{n+1} \to \mathbb{R}^{n+1}$ is any vector field such that div $Q_H = H$ on \mathbb{R}^{n+1} . This has been used to prove existence of solutions by either constrained minimization (small solutions) or methods based on Mountain Pass type theorems (large solutions), see for instance [6, 10, 20] and [4], [25, Ch. III.5] for a more comprehensive discussion. Existence has also recently been deduced by use of a heat flow for the H-system [18].

Here we shall focus entirely on the regularity of weak solutions to the H-system (1.1) in dimension $n \geq 3$ under additional assumptions on the function H or the weak solution u. The regularity of (1.1) in the two dimensional case n = 2 has been well-studied and culminated in Rivière's solution of the Heinz conjecture in [21]: under the sole assumption of boundedness of H, the weak solution defined in Definition 1.1 is continuous. Many authors contributed with important results prior to this, including [16, 26, 28, 3, 15, 2]. The higher dimensional case $n \ge 3$ seems to be more difficult and the results are far from being conclusive. We refer to [22] for a more comprehensive discussion of the background literature. In this connection remark that (1.1) is a nonlinear degenerate elliptic system with critical growth nonlinearity $H(u)J_u$ on the right-hand side that is merely integrable for maps u of class $W^{1,n}$. It is well-known that such systems in general admit very singular solutions and that a general regularity theory is only possible provided the right-hand side nonlinearity has a special structure (see for instance [1]). As mentioned, this is fully exploited for the system in two dimensions n = 2 by Rivière's result, and the hope is that the structure of $H(u)J_u$ remains strong enough to ensure regularity also for $n \ge 3$, at least when backed up by appropriate additional hypotheses on H. We mention the results of Mou and Yang [20] who proved that solutions of (1.1) are C^1 , provided that either H is constant or u is weakly conformal. Closer to our result is that of Wang [27] who proved a higher-dimensional generalization of Heinz's result [16] under the assumption

(1.3)
$$\sup_{y \in \mathbb{R}^{n+1}} \left(|H(y)| + (1+|y|)|H'(y)| \right) < \infty.$$

His proof is based on the the coarea formula and thus follows to some extent the proof of Bethuel [2] for the two dimensional case. Here we are able to prove the following result:

Theorem 1.3. Let $H : \mathbb{R}^{n+1} \to \mathbb{R}$ be a locally Lipschitz function satisfying the two conditions:

(1.4) $y \mapsto H(y)y$ is uniformly continuous on \mathbb{R}^{n+1}

and for some exponent q > 1,

(1.5)
$$\sup_{y \in \mathbb{R}^{n+1}} \left(|H(y)| + \frac{|H'(y)|}{1+|y|^q} \right) < \infty.$$

Then any weak solution in the sense of Definition 1.1 is of class $C_{loc}^{1,\alpha}$ on Ω for some $\alpha \in (0,1)$.

We refer to Section 4 for examples of functions H that fail to satisfy the Heinz-Wang condition (1.3) but do satisfy our conditions (1.4)-(1.5). The conditions (1.4)-(1.5) serve mainly to ensure that the weak solutions are locally bounded. Indeed if we assume *a priori* that the weak solution is locally bounded, then much weaker conditions on H suffice to conclude higher regularity:

Proposition 1.1. Let $H : \mathbb{R}^{n+1} \to \mathbb{R}$ be continuous. Then any locally bounded weak solution to (1.1) is locally Hölder continuous. Furthermore, if H is locally Lipschitz, then any locally bounded weak solution to (1.1) is of class $C_{loc}^{1,\alpha}$ on Ω for some $\alpha \in (0, 1)$.

The proofs of Theorem 1.3 and Proposition 1.1 are given in Section 3.

Acknowledgement. N. Fusco, C. Leone and A. Verde are members of the Gruppo Nazionale per l'Analisi Matematica, la Probabilità e le loro Applicazioni (GNAMPA) of the Istituto Nazionale di Alta Matematica (INdAM)

2. PRELIMINARY RESULTS

We start this section by recalling some notions from harmonic analysis that allow us to take advantage of the Jacobian structure on the right-hand side of (1.1). In the process of doing that we also fix our notation. As regards function spaces and Sobolev functions it is standard and follows [19, 29]. On \mathbb{R}^n , \mathbb{R}^{n+1} and matrix space $\mathbb{R}^{(n+1)\times n}$ we use standard euclidean inner products and the corresponding norms. In all cases denoted by $X \cdot Y$ and $|X| = \sqrt{X \cdot X}$, respectively, the meaning being clear from the context.

Recall that a function $f \in L^1(\mathbb{R}^n)$ belongs to the Hardy space $\mathcal{H}^1(\mathbb{R}^n)$ if

$$f_* := \sup_{\varepsilon > 0} |\phi_{\varepsilon} * f| \in \mathrm{L}^1(\mathbb{R}^n),$$

where as usual we let $\phi_{\varepsilon}(x) := \varepsilon^{-n} \phi(x/\varepsilon)$ for a fixed nonnegative function $\phi \in C_c^{\infty}(B_1(0))$ with $\int \phi(y) dy = 1$. The definition does not depend on the choice of ϕ and the expression $\|f\|_{\mathcal{H}^1} := \|f_*\|_1$ defines a norm in $\mathcal{H}^1(\mathbb{R}^n)$. Hereby $\mathcal{H}^1(\mathbb{R}^n)$ is a Banach space whose dual can be identified with the John-Nirenberg space BMO of functions of *bounded mean oscillation*. To define BMO(\mathbb{R}^n) denote for $g \in L^1_{loc}(\mathbb{R}^n)$ and a ball B in \mathbb{R}^n the integral mean of g on B by

$$g_B := \frac{1}{\mathscr{L}^n(B)} \int_B g \, \mathrm{d}x := \oint_B g \, \mathrm{d}x.$$

Now $g \in BMO(\mathbb{R}^n)$ provided $g \in L^1_{loc}(\mathbb{R}^n)$ and

$$||g||_{\rm BMO} := \sup_B \oint_B |g - g_B| \,\mathrm{d}x$$

is finite, where the supremum is taken over all balls B in \mathbb{R}^n . The importance of BMO here is due to the elementary fact that any Sobolev function of class $W^{1,n}(\mathbb{R}^n)$ in particular is BMO: $||g||_{BMO} \leq c ||\nabla g||_{L^n}$ for a dimensional constant c = c(n) by Poincaré's inequality.

On the other hand, Coifman, Lions, Meyer and Semmes in [9] established the crucial connection between the Jacobian determinant of a map in $W^{1,n}(\mathbb{R}^n, \mathbb{R}^n)$ and the Hardy space $\mathcal{H}^1(\mathbb{R}^n)$ that we also record here for later reference:

Theorem 2.1. If $f \in W^{1,n}(\mathbb{R}^n, \mathbb{R}^n)$, then $\det \nabla f \in \mathcal{H}^1(\mathbb{R}^n)$ and

(2.1)
$$\|\det \nabla f\|_{\mathcal{H}^1} \le C \|\nabla f\|_{\mathbf{L}^n}^n$$

where C = C(n).

Finally, as already mentioned BMO can be identified with the dual space of \mathcal{H}^1 , and this is conveniently expressed through Fefferman's duality inequality [12]:

(2.2)
$$\int_{\mathbb{R}^n} fg \, \mathrm{d}x \le c \|f\|_{\mathcal{H}^1} \|g\|_{\mathrm{BMO}}$$

valid for all $f \in \mathcal{H}^1$, $g \in BMO$. In general, the integral on the left-hand side of (2.2) does not converge, but there are a number of ways to give meaning to it [23].

Having disposed of these harmonic analysis tools we turn to the uniform continuity assumption (1.4) in Theorem 1.3. Define the truncation at level k > 0 by $T_k(u) := u\psi_k(|u|)$, where

(2.3)
$$\psi_k(t) := \begin{cases} 1 & \text{if } t \le k \\ \frac{k}{t} & \text{if } t > k. \end{cases}$$

Lemma 2.2. If $y \mapsto H(y)y$ is uniformly continuous and H is bounded, then there exists an increasing concave modulus of continuity $\omega : [0, \infty) \to [0, \infty)$ such that

$$H(y_1)T_k(y_1) - H(y_2)T_k(y_2)| \le \omega(|y_1 - y_2|)$$

holds for all y_1 , $y_2 \in \mathbb{R}^{n+1}$ and all k > 0.

Proof. Let θ denote a modulus of continuity for $y \mapsto H(y)y$. We can assume that θ is concave and increasing. We get for $y_1, y_2 \in \mathbb{R}^{n+1}, |y_2| \leq |y_1|, k > 0$:

$$\begin{aligned} |H(y_1)T_k(y_1) - H(y_2)T_k(y_2)| &\leq |H(y_1)y_1 - H(y_2)y_2|\psi_k(|y_1|) \\ &+ |H(|y_2|)y_2||\psi_k(|y_1|) - \psi_k(|y_2|)| \\ &\leq \theta(|y_1 - y_2|) + C|y_2||\psi_k(|y_1|) - \psi_k(|y_2|)|. \end{aligned}$$

If $|y_1|, |y_2| \le k$ we get $|\psi_k(|y_1|) - \psi_k(|y_2|)| = 0$. If $|y_1|, |y_2| > k$, then the last term becomes

$$C|y_2| \left| \frac{\kappa}{|y_1|} - \frac{\kappa}{|y_2|} \right| = C|y_2| k \frac{||y_1| - |y_2||}{|y_1||y_2|} \le c|y_1 - y_2|$$

If $|y_1| > k$ and $|y_2| \le k$, then the last term can be estimated as

$$C|y_2| \left| \frac{k}{|y_1|} - 1 \right| = C \frac{|y_2|}{|y_1|} |k - |y_1|| \le C[|y_1| - k] \le C||y_1| - |y_2|| \le C|y_1 - y_2|.$$

Thus we have shown that we may take $\omega(t) = \theta(t) + C|t|$, concluding the proof.

Lemma 2.3. For $u \in W^{1,n}_{loc}(\Omega, \mathbb{R}^{n+1})$ we have for any ball $B \Subset \Omega$ and any k > 0 that

$$\oint_{B} |T_{k}(u)H(u) - (T_{k}(u)H(u))_{B}| \,\mathrm{d}x \le c\,\omega\left(\left(\int_{B} |\nabla u|^{n} \,\mathrm{d}x\right)^{\frac{1}{n}}\right)$$

where c = c(n) is a constant, ω is determined in Lemma 2.2 and $|\cdot|$ denotes standard euclidean norm (in \mathbb{R}^{n+1} and in $\mathbb{R}^{(n+1)\times n}$, respectively).

Proof. We simply estimate:

$$\begin{split} \int_{B} |T_{k}(u)H(u) - (T_{k}(u)H(u))_{B}| \, dx &\leq 2 \int_{B} |T_{k}(u)H(u) - T_{k}(u_{B})H(u_{B})| \, dx \\ &\leq 2 \int_{B} \omega \left(|u - (u)_{B}| \right) \, dx \\ &\leq 2 \int_{B} \omega \left(|u - (u)_{B}| \right) \, dx \\ &\leq 2 \omega \left(\int_{B} |u - (u)_{B}| \, dx \right) \\ &\leq 2 \omega \left(C \left(\int_{B} |\nabla u|^{n} \, dx \right)^{\frac{1}{n}} \right). \end{split}$$

Finally since $C \ge 1$ (without loss of generality) and ω is concave we have for each t > 0 that $\omega(Ct)/Ct \le \omega(t)/t$ and therefore $\omega(Ct) \le C\omega(t)$, thus concluding the proof.

The next result is well-known, but as we require it in a special form we prefer to state and derive it explicitly:

Lemma 2.4. There exists a dimensional constant C_n with the following property. For each $u \in W^{1,n}(B_r(x_0))$ there exists $\bar{u} \in W^{1,n}_{loc}(\mathbb{R}^n)$ such that $\bar{u} = u$ on $B_r(x_0)$ and

(2.4)
$$\int_{\mathbb{R}^n} |\nabla \bar{u}|^n \, \mathrm{d}x \le C_n \int_{B_r(x_0)} |\nabla u|^n \, \mathrm{d}x.$$

Furthermore we can arrange that

(2.5)
$$\sup_{x \in \mathbb{R}^n \setminus B_r(x_0)} |\bar{u}(x)| \le |u_{B_r(x_0)}| + \sup_{x \in \partial B_r(x_0)} |u(x)|$$

where the right-hand side could be infinite (making the bound vacuous).

Proof. Considering the function $x \mapsto u(x_0 + rx)/r$ instead of u we may assume that $B_r(x_0) = B_1(0) =: B$, the open unit ball in \mathbb{R}^n . For Lipschitz $v: \overline{B} \to \mathbb{R}$ we put $m = \sup_{\partial B} |v|$ and

$$V(x) = \begin{cases} v(x) & \text{if } x \in B\\ T_m\left(v\left(\frac{x}{|x|^2}\right)(2-|x|)^+\right) & \text{if } x \in \mathbb{R}^n \setminus B. \end{cases}$$

where $T_m \colon \mathbb{R} \to \mathbb{R}$ is the 1-dimensional version of the truncation map defined above (so $T_m(t) := \max\{\min\{t,m\},-m\}$). Then $V \colon \mathbb{R}^n \to \mathbb{R}$ is Lipschitz, V = v on B, V = 0 on $\mathbb{R}^n \setminus 2B$ and $|V| \le m$ on $\mathbb{R}^n \setminus B$. For 1 < |x| < 2 with $|v(\frac{x}{|x|^2})|(2 - |x|) < m$ we have almost everywhere

$$\nabla V(x) = (2 - |x|) \nabla v\left(\frac{x}{|x|^2}\right) \frac{I - 2\frac{x \otimes x}{|x|^2}}{|x|^2} - v\left(\frac{x}{|x|^2}\right) \frac{x}{|x|},$$

while if $|v(\frac{x}{|x|^2})|(2-|x|) \ge m$ we have $\nabla V(x) = 0$ almost everywhere. Thus after a routine estimation, involving also a change of variables, we find

(2.6)
$$\int_{\mathbb{R}^n} |\nabla V|^n \, \mathrm{d}x \le c \int_B \left(|\nabla v|^n + |v|^n \right) \, \mathrm{d}x$$

for some dimensional constant c = c(n). It follows by approximation that the above construction and listed properties extend by continuity to functions $v \in W^{1,n}(B)$: there exists $V \in W^{1,n}(\mathbb{R}^n)$ so $V|_B =$ $v, V|_{\mathbb{R}^n \setminus 2B} = 0, \sup_{\mathbb{R}^n \setminus B} |V| \leq \sup_{\partial B} |v|$ and (2.6) hold. To complete the proof let $u \in W^{1,n}(B)$ and put $v = u - u_B$, where u_B is the integral mean of u over B. Then $\bar{u} = V + u_B$ belongs to $W^{1,n}_{loc}(\mathbb{R}^n)$ and the desired bounds follow by combination of the above and Poincaré's inequality. \Box

A useful feature of the determinant expression J_u on the right-hand side of (1.1) involves also the truncation maps T_k defined above and is summarized in the next

Lemma 2.5. For $\varphi \in C_c^1(\Omega)$, $u \in W^{1,n}_{loc}(\Omega, \mathbb{R}^{n+1})$ and k > 0

$$\varphi^n T_k(u) \cdot J_u = T_k(u) \cdot J_{\varphi u}$$

Proof. By definition the right-hand side equals

$$T_{k}(u) \cdot J_{\varphi u} = \begin{bmatrix} T_{k}(u)^{1} & \cdots & T_{k}(u)^{n+1} \\ \varphi_{x_{1}}u^{1} + \varphi u_{x_{1}}^{1} & \cdots & \varphi_{x_{1}}u^{n+1} + \varphi u_{x_{1}}^{n+1} \\ \vdots & & \vdots \\ \varphi_{x_{n}}u^{1} + \varphi u_{x_{n}}^{1} & \cdots & \varphi_{x_{n}}u^{n+1} + \varphi u_{x_{n}}^{n+1} \end{bmatrix}$$

Here the vectors $T_k(u(x))$ and $\varphi_{x_j}(x)u(x)$ are proportional for a.e. x and each $1 \le j \le n$, so by elementary properties of the determinant the result follows.

3. Proof of the Theorem 1.3

We start by proving the local boundedness of a solution, which as mentioned in the Introduction is the main content of Theorem 1.3.

Theorem 3.1. Under the assumptions of Theorem 1.3 any weak solution is locally bounded.

Proof. We can split the proof in three principal steps.

Step 1. Let $B_r(x_0)$ be such that $B_{2r}(x_0) \Subset \Omega$ and

(3.1)
$$\int_{B_{2r}(x_0)} |\nabla u|^n \, \mathrm{d}x \le \varepsilon_0,$$

where $\varepsilon_0 > 0$ will be chosen in the course of the proof. Without loss of generality we can assume $x_0 = 0$ and denote $B_r(x_0)$ and $B_{2r}(x_0)$ simply by B_r and B_{2r} , respectively. Let us denote by \bar{u} the extension of u from B_{2r} , given in Lemma 2.4.

Let $\varphi \in W_0^{1,n}(B_{2r}) \cap L^{\infty}(B_{2r})$, extended by 0 outside B_{2r} and still denoted by $\varphi, \varphi \ge 0$, such that $\int_{B_{2r}} |\nabla \varphi|^{n-1} |\nabla \varphi| |u| \, dx < \infty$ and $\int_{B_{2r}} |\nabla \varphi|^n |u|^n \, dx < +\infty$. We observe that $T_k(u)\varphi^n \in W_0^{1,n}(\Omega, \mathbb{R}^{n+1}) \cap L^{\infty}(\Omega, \mathbb{R}^{n+1})$ and so we can use it as test function in the equation satisfied by u and write the integrals on the whole \mathbb{R}^n using the extensions of u and φ outside B_{2r} , respectively. We get

$$\begin{split} \int_{\mathbb{R}^n} |\nabla \bar{u}|^{n-2} \nabla \bar{u} \cdot \nabla (T_k(\bar{u})) \varphi^n \, \mathrm{d}x &+ n \int_{\mathbb{R}^n} |\nabla \bar{u}|^{n-2} \nabla \bar{u} \cdot (T_k(\bar{u}) \otimes \nabla \varphi) \varphi^{n-1} \, \mathrm{d}x \\ &= n^{\frac{n}{2}} \int_{\mathbb{R}^n} H(\bar{u}) \varphi^n T_k(\bar{u}) \cdot J_{\bar{u}} \, \mathrm{d}x \\ &= n^{\frac{n}{2}} \int_{\mathbb{R}^n} H(\bar{u}) T_k(\bar{u}) \cdot J_{\varphi \bar{u}} \, \mathrm{d}x, \end{split}$$

where the last equality follows by Lemma 2.5. Using (2.2), (2.1) and Lemma 2.3, we can estimate the last term as

$$\begin{split} \int_{\mathbb{R}^n} H(\bar{u}) T_k(\bar{u}) \cdot J_{\varphi \bar{u}} \, \mathrm{d}x &\leq C \| H(\bar{u}) T_k(\bar{u}) \|_{\mathrm{BMO}} \| \nabla(\varphi \bar{u}) \|_{\mathrm{L}^n(\mathbb{R}^n)}^n \\ &\leq C \, \omega \Big(\int_{\mathbb{R}^n} |\nabla \bar{u}|^n \Big)^{\frac{1}{n}} \| \nabla(\varphi \bar{u}) \|_{\mathrm{L}^n(\mathbb{R}^n)}^n \\ &\leq C \, \omega \left(\int_{B_{2r}} |\nabla u|^n \, \mathrm{d}x \right)^{\frac{1}{n}} \| \nabla(\varphi u) \|_{\mathrm{L}^n(B_{2r})}^n \\ &\leq C \, \omega(\varepsilon_0) \| \nabla(\varphi u) \|_{\mathrm{L}^n(B_{2r})}^n. \end{split}$$

Then, thanks to the hypotheses on φ , we can pass to the limit as $k \to \infty$ to get

$$\int_{B_{2r}} |\nabla u|^n \varphi^n \, \mathrm{d}x + n \int_{B_{2r}} |\nabla u|^{n-2} \nabla u \cdot (u \otimes \nabla \varphi) \varphi^{n-1} \, \mathrm{d}x \le C \, \omega(\varepsilon_0) \int_{B_{2r}} |\nabla (\varphi u)|^n \, \mathrm{d}x.$$

Step 2. Now we can choose $\varphi = T_k(|u|)^p \eta$, where $p \ge 1$ and $\eta \in C_c^{\infty}(B_{2r})$ is a cut off function between B_r and B_{2r} , in the previous estimate. Then we find

$$\begin{aligned} \int_{B_{2r}} |\nabla u|^{n} T_{k}(|u|)^{pn} \eta^{n} \, \mathrm{d}x &+ np \int_{\{|u| \le k\} \cap B_{2r}} |\nabla u|^{n-2} \nabla u \cdot (u \otimes (u \nabla u)) |u|^{pn-2} \eta^{n} \, \mathrm{d}x \\ &+ n \int_{B_{2r}} |\nabla u|^{n-2} \nabla u \cdot (u \otimes \nabla \eta) T_{k}(|u|)^{pn} \eta^{n-1} \, \mathrm{d}x \end{aligned}$$

$$(3.2) &\leq C \, \omega(\varepsilon_{0}) \left[\int_{B_{2r}} \left(|\nabla u|^{n} T_{k}(|u|)^{pn} \eta^{n} + |\nabla \eta|^{n} T_{k}(|u|)^{pn} |u|^{n} \right) \, \mathrm{d}x \\ &+ p^{n} \int_{\{|u| \le k\} \cap B_{2r}} |u|^{(p-1)n} |u \nabla u|^{n} \eta^{n} \, \mathrm{d}x \right] \\ &\leq C \, \omega(\varepsilon_{0}) \left[\int_{B_{2r}} |\nabla u|^{n} T_{k}(|u|)^{pn} \eta^{n} \, \mathrm{d}x + \int_{B_{2r}} |\nabla \eta|^{n} |u|^{pn+n} \, \mathrm{d}x \right], \end{aligned}$$

where now the constant C also depends on p. Observe that the second term in the left-hand side is nonnegative while the third one on the left-hand side, can be estimated by means of Young's inequality,

$$n\int_{B_{2r}} |\nabla u|^{n-2} \nabla u \cdot (u \otimes \nabla \eta) T_k(|u|)^{pn} \eta^{n-1} \, \mathrm{d}x \ge -\frac{1}{2} \int_{B_{2r}} |\nabla u|^n T_k(|u|)^{pn} \eta^n \, \mathrm{d}x$$
$$-c \int_{B_{2r}} |\nabla \eta|^n |u|^{pn+n} \, \mathrm{d}x.$$

The first term can be absorbed in the left hand side of (3.2). Likewise with the first term in the right-hand side of (3.2), provided $\varepsilon_0 > 0$ is suitably small. At last we gain

$$\int_{B_r} |\nabla u|^n T_k(|u|)^{pn} \, \mathrm{d}x \le C \int_{B_r} |\nabla \eta|^n |u|^{pn+n} \, \mathrm{d}x,$$

so that in particular, after letting k tend to infinity, $|\nabla u|^n |u|^{pn} \in L^1(B_r)$.

Step 3. The main ingredient is the construction of a suitable test function, and our argument is modelled on [3, 8]. It is well-known that, working with precise representatives, we have for the pointwise restrictions, $u|_{\partial B_r} \in W^{1,n}(\partial B_r, \mathbb{R}^{n+1})$ hold for almost all radii $r \in (0, \operatorname{dist}(x_0, \partial \Omega))$. By Morrey's embedding we then in particular have for such radii that $u|_{\partial B_r}$ is bounded. We fix such a radius r which is simultaneously so small that also $\int_{B_r} |\nabla u|^n dx < \varepsilon_0$. Fix $R_0 \ge ||u||_{L^{\infty}(\partial B_r)}$. Let $R \ge R_0$ and $\alpha \in (0, 1]$. Choose a map $\Phi \in C^1$ such that $\Phi(s) = 0$ for $s \le R$, $\Phi(s) = 1$ for $s \ge (1 + \alpha)R$, $\Phi' \ge 0$ and $\Phi(s) + s\Phi'(s) \le 2/\alpha$. We get $\Phi(|u|) \in W_0^{1,n}(B_r) \cap L^{\infty}(B_r)$ and may extend this map to all of $\mathbb{R}^n \setminus B_r$ by 0.

Let us note that $\Phi(|u|)$ satisfies the required conditions for the function φ in Step 1, therefore arguing as in the proof of Step 1, the use of $\Phi(|u|)^{n+1}u$ to test the equation can be justified and we hereby estimate using also (2.1) and (2.2):

$$\int_{B_r} |\nabla u|^n \Phi(|u|)^{n+1} \, \mathrm{d}x \quad + \quad (n+1) \int_{B_r} |\nabla u|^{n-2} \nabla u \cdot \left(u \otimes \left(\frac{u \nabla u}{|u|} \right) \right) \Phi'(|u|) \Phi(|u|)^n \mathrm{d}x$$

$$\leq \quad C \|H(\overline{u})\overline{u} \, \Phi(|u|)\|_{\mathrm{BMO}} \|\nabla(\Phi(|u|)\overline{u})\|_{\mathrm{L}^n(\mathbb{R}^n)}^n.$$

Observe that the second term on the left-hand side is nonnegative. To estimate the BMO norm we proceed using Poincaré's inequality:

$$\|H(\overline{u})\overline{u}\,\Phi(|u|)\|_{\mathrm{BMO}} \le C\Big(\int_{B_r \cap \{|u|>R\}} |\nabla(H(u)u\Phi(|u|))|^n \,\mathrm{d}x\Big)^{\frac{1}{n}}$$

Here $H(u)u\Phi(|u|)$ is in $W_0^{1,n}(B_r, \mathbb{R}^{n+1})$ by virtue of Step 2 since

$$\begin{aligned} |\nabla(H(u)u\Phi(|u|))|^{n} \leq & c|H'(u)|^{n}|\nabla u|^{n}\Phi(|u|)^{n}|u|^{n} + c|\Phi'(|u|)u|^{n}|\nabla u|^{n}|H(u)|^{n} + \\ & + c|H(u)|^{n}\Phi(|u|)^{n}|\nabla u|^{n} \leq \frac{C}{\alpha^{n}}|\nabla u|^{n}(|u|^{n+nq} + 1)\chi_{B_{r}\cap\{|u|>R\}} \end{aligned}$$

Thus we get

$$\begin{split} \|H(\overline{u})\overline{u}\,\Phi(|u|)\|_{\mathrm{BMO}} &\leq \frac{C}{\alpha} \Big(\int_{B_r \cap \{|u| > R\}} |\nabla u|^{n-1} |\nabla u| (|u|^{n+nq} + 1) \mathrm{d}x \Big)^{\frac{1}{n}} \\ &\leq \frac{C}{\alpha} \Big(\int_{B_r \cap \{|u| > R\}} |\nabla u|^n \mathrm{d}x \Big)^{\frac{n-1}{n^2}} \Big(\int_{B_r} |\nabla u|^n (1 + |u|^{n^2 + n^2q}) \mathrm{d}x \Big)^{\frac{1}{n^2}} \end{split}$$

Finally, since

$$\|\nabla(\Phi(|u|)\overline{u})\|_{\mathcal{L}^{n}(\mathbb{R}^{n})}^{n} \leq \frac{C}{\alpha^{n}} \int_{B_{r} \cap\{|u|>R\}} |\nabla u|^{n} \, \mathrm{d}x,$$

we obtain

$$\int_{B_r \cap \{|u| > (1+\alpha)R\}} |\nabla u|^n \, \mathrm{d}x \le \frac{C}{\alpha^{n+1}} \Big(\int_{B_r \cap \{|u| > R\}} |\nabla u|^n \, \mathrm{d}x \Big)^{1 + \frac{n-1}{n^2}}$$

where we used the fact that $|\nabla u|^n |u|^{n^2+n^2q} \in L^1(B_r)$ by Step 2 (and we incorporated this integral into the constant). Now if we define

$$\Lambda(R) := \int_{\{|u|>R\}} |\nabla u|^n \,\mathrm{d}x,$$

we obtain

$$\Lambda((1+\alpha)R) \le \frac{C}{\alpha^{n+1}} \Lambda(R)^{1+\frac{n-1}{n^2}}.$$

Choosing $\alpha_k = 2^{-k}$ and defining by recurrence a bounded increasing sequence $R_{k+1} = R_k(1+2^{-k})$, we get

$$\Lambda(R_{k+1}) \le CA^k \Lambda(R_k)^{1+\beta},$$

where $A = 2^{n+1}$ and $\beta = \frac{n-1}{n^2}$. If $\Lambda(R_0) \leq C^{-\frac{1}{\beta}}A^{-\frac{1}{\beta^2}}$, then it follows by induction that $\Lambda(R_k) \leq A^{-\frac{k}{\beta}}\Lambda(R_0)$ holds for all k (see for instance [14, Lemma 7.1]). Recall that $\int_{B_r} |\nabla u|^n dx < \varepsilon_0$, so obviously $\Lambda(R_0) < \varepsilon_0$ too. Hence if we choose ε_0 suitably small, then we have shown that

$$\Lambda(R_{\infty}) \leq \lim_{k} \Lambda(R_{k}) = 0$$
 and therefore $|u(x)| \leq R_{\infty}$ a.e. in B_{r}

where $R_{\infty} = \lim_{k \to +\infty} R_k$.

Proof of Proposition 1.1. We will first prove a Caccioppoli inequality and fix a ball $B_0 \Subset \Omega$ so small that

(3.3)
$$\int_{B_0} |\nabla u|^n \, \mathrm{d}x \le \varepsilon_0$$

holds for an $\varepsilon_0 > 0$ that will be chosen in the course of the proof. As before, let $B_r = B_r(x_0)$ be such that $B_{2r} = B_{2r}(x_0) \in B$. By our assumption $\overline{R} := ||u||_{L^{\infty}(B_0)}$ is finite. Denote by \overline{u} the extension of u from B_{2r} given by Lemma 2.4.

Let η be a cut-off function between B_r and B_{2r} , choose the function $\eta^n(u-u_{2r})$, where $u_{2r} = u_{B_{2r}}$, as test in (1.1) (see also Remark 1.2) and write the integrals in the right-hand side of the equation on the whole of \mathbb{R}^n . We gain:

(3.4)
$$\int_{B_{2r}} |\nabla u|^{n-2} \nabla u \cdot \nabla (\eta^n (u - u_{2r})) \, \mathrm{d}x = n^{\frac{n}{2}} \int_{\mathbb{R}^n} H(\bar{u}) \eta^n (\bar{u} - u_{2r}) \cdot J_{\bar{u}} \, \mathrm{d}x =: \mathcal{I}$$

Here the left-hand side is

$$\int_{B_{2r}} |\nabla u|^{n-2} \nabla u \cdot \nabla (\eta^n (u-u_{2r})) \, \mathrm{d}x = \int_{B_{2r}} |\nabla u|^n \eta^n \, \mathrm{d}x + n \int_{B_{2r}} |\nabla u|^{n-2} \nabla u \cdot (\eta^{n-1} (u-u_{2r}) \otimes \nabla \eta) \, \mathrm{d}x$$

and the second term on the right-hand side can be estimated by means of Young's inequality,

$$n\int_{B_{2r}} |\nabla u|^{n-2} \nabla u \cdot \eta^{n-1}(u-u_{2r}) \otimes \nabla \eta \, \mathrm{d}x \ge -\frac{1}{2} \int_{B_{2r}} |\nabla u|^n \eta^n \, \mathrm{d}x - C \int_{B_{2r}} |\nabla \eta|^n |u-u_{2r}|^n \mathrm{d}x.$$
Thus returning to (2.4) we get

Thus returning to (3.4) we get

(3.5)
$$\int_{B_{2r}} |\nabla u|^n \eta^n \, \mathrm{d}x \le C \int_{B_{2r}} |\nabla \eta|^n |u - u_{2r}|^n \mathrm{d}x + 2\mathcal{I}.$$

Let us now estimate \mathcal{I} . We first observe

$$\mathcal{I} = n^{\frac{n}{2}} \int_{\mathbb{R}^n} H(\overline{u}) \eta^n (\overline{u} - u_{2r}) \cdot J_{\overline{u} - u_{2r}} \, \mathrm{d}x = n^{\frac{n}{2}} \int_{\mathbb{R}^n} H(\overline{u}) (\overline{u} - u_{2r}) \cdot J_{(\overline{u} - u_{2r})\eta} \, \mathrm{d}x,$$

by Lemma 2.5. We aim to use the bounds (2.1), (2.2) and must argue that the first factor is in BMO. To that end we note that $y \mapsto H(y)(y - u_{2r})$ is continuous, and so in particular uniformly continuous for $|y| \leq 2\overline{R}$. We can therefore find a modulus of continuity $\omega = \omega_{\overline{R}} \colon [0, \infty) \to [0, \infty)$ (an increasing, continuous and concave functions with $\omega(0) = 0$) such that

$$|H(y)(y-u_{2r}) - H(y')(y'-u_{2r})| \le \omega(|y-y'|)$$

for all y, y' with $|y|, |y'| \le 2\overline{R}$. Observe that ω only depends on the L^{∞} bound for u on the fixed ball B_0 and that $|\overline{u}| \le 2\overline{R}$ a.e. in \mathbb{R}^n by our assumptions and Lemma 2.4. Now for any ball $B \subset \mathbb{R}^n$ we estimate as in Lemma 2.3, using also the integral bound from Lemma 2.4 and (3.1), to get

$$\int_{B} \left| H(\bar{u})(\bar{u} - u_{2r}) - (H(\bar{u})(\bar{u} - u_{2r}))_{B} \right| \mathrm{d}x \le c\omega(\varepsilon_{0})$$

for some dimensional constant c = c(n). Consequently, $||H(\bar{u})(\bar{u} - u_{2r})||_{BMO} \le c\omega(\varepsilon_0)$ and we may continue with

$$\begin{aligned} \mathcal{I} &\leq C \|J_{(\overline{u}-u_{2r})\eta}\|_{\mathcal{H}^{1}} \|H(\overline{u})(\overline{u}-u_{2r})\|_{\text{BMO}} \\ &\leq C \int_{B_{2r}} |\nabla((u-u_{2r})\eta)|^{n} \mathrm{d}x \big(c\omega(\varepsilon_{0})\big)^{\frac{1}{n}} \\ &\leq c\omega(\varepsilon_{0})^{\frac{1}{n}} \int_{B_{2r}} \big(|\nabla u|^{n}\eta^{n} + |\nabla \eta|^{n}|u-u_{2r}|^{n}\big) \mathrm{d}x \end{aligned}$$

If ε_0 is suitable small (depending on the fixed ball B_0 and the data) the first term can be absorbed in the left-hand side of (3.5). Summarising we have therefore established the following Caccioppoli inequality:

$$\int_{B_r} |\nabla u|^n \, \mathrm{d}x \le \frac{C}{r^n} \int_{B_{2r}} |u - u_{B_{2r}}|^n \, \mathrm{d}x,$$

where the constant $C = C(B_0)$ and $B_r = B_r(x_0)$ is any ball with $B_{2r}(x_0) \subset B_0$. Now using Poincaré-Sobolev's inequality and Gehring's Lemma (see for instance [17]) we find in a routine manner that $\nabla u \in L^{n+\delta}_{loc}(\Omega, \mathbb{R}^{(n+1)\times n})$ for some $\delta > 0$. By Morrey's embedding theorem this implies that u is $C^{0,\beta}_{loc}(\Omega, \mathbb{R}^{n+1})$ for some $\beta < 1$.

It is well-known that the asserted $C^{1,\alpha}$ regularity follows from this when H is locally Lipschitz. A streamlined approach can be obtained following [11, Lemma 5] to get that u is $C^{0,s}_{loc}(\Omega, \mathbb{R}^{n+1})$ for each s < 1, and then finally [11, Lemma 6] to conclude that u is $C^{1,\alpha}_{loc}(\Omega, \mathbb{R}^{n+1})$ for some $\alpha \in (0,1)$. \Box

The proof of Theorem 1.3 follows by combination of Theorem 3.1 and Proposition 1.1.

4. EXAMPLE

The condition of Heinz and Wang on the C^1 function $H \colon \mathbb{R}^{n+1} \to \mathbb{R}$ is

$$\sup_{y \in \mathbb{R}^{n+1}} \left(|H(y)| + |H'(y)|(1+|y|) \right) < \infty.$$

We can rewrite this and split it into two assumptions as

$$\left\{ \begin{array}{l} H \ \text{Lipschitz} \\ y \mapsto H(y)(|y|+1) \ \text{Lipschitz continuous.} \end{array} \right.$$

Here we give an example of a C^1 function H that does not satisfy the assumptions of Heinz and Wang but is such that our Theorem 1.3 in particular applies to it:

$$\begin{cases} H \text{ Lipschitz} \\ y \mapsto H(y)(|y|+1) \text{ uniformly continuous.} \end{cases}$$

Example: Let $\theta \colon \mathbb{R} \to \mathbb{R}$ be even and

$$\theta(t) := \begin{cases} 1 & 0 \le t \le 1\\ t^2(t-2)^2 & 1 \le t \le 2\\ 0 & t \ge 2. \end{cases}$$

Then $\theta \in C^1$, $0 \le \theta(t) \le \mathbf{1}_{(-2,2)}(t)$, $\sup |\theta'(t)| = |\theta'(\pm t_0)| = \theta_0$, where $t_0 = (3 + \sqrt{3})/3 \in (1,2)$ and $\theta_0 = \frac{8}{9}\sqrt{3}$. Fix an increasing function $\omega : (0,1] \to (0,1]$ with $\omega(0^+) = 0$. Note that the intervals $[2^j - 2^{1-j}, 2^j + 2^{1-j}]$ are pairwise disjoint and that none of them contains 0 when $j \in \mathbb{N}$. The function

$$H(y) := \sum_{j=1}^{\infty} \omega(2^{-j}) \frac{\theta(2^j |y| - 2^{2j})}{1 + |y|}, \quad y \in \mathbb{R}^{n+1},$$

is therefore easily seen to be C^1 and $0 \le H(y)(1+|y|) \le 1$ for all $y \in \mathbb{R}^{n+1}$.

Now if ω is superlinear at 0, then F(y) := H(y)(1 + |y|) cannot be Lipschitz since for $y_{\ell} \in \mathbb{R}^{n+1}$ with $|y_{\ell}| = 2^{\ell} + \frac{3+\sqrt{3}}{3}2^{-\ell}$ we have that $|F'(y_{\ell})| = \theta_0 \omega(\frac{1}{2^{\ell}})2^{\ell}$ which is unbounded for $\ell \in \mathbb{N}$. However, it is not difficult to check that F is uniformly continuous: let $\varepsilon > 0$, and take $s \in N$ with $\omega(2^{-s}) \le \varepsilon$. Since F is Lipschitz on $[-2^s, 2^s]$ we can find $\delta_1 > 0$ so

$$|F(y_1) - F(y_2)| \le \varepsilon \quad \forall y_1, y_2 \in [-2^s, 2^s], \quad |y_1 - y_2| \le \delta_1.$$

Next, for $y_2 \in \mathbb{R}^{n+1}$ with $|y_2| > 2^s$ we note that for any $y_1 \in \mathbb{R}^{n+1}$ with $|y_1 - y_2| < 2^{-s}$ we have that $|F(y_1) - F(y_2)| \le C\omega(2^{-s}) \le C\varepsilon$. Taking $\delta = \min(\delta_1, 2^{-s})$ we fend off ε and conclude that F is uniformly continuous on \mathbb{R}^{n+1} .

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