

ON CRITICAL L_p -DIFFERENTIABILITY OF BD- MAPS

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ABSTRACT. We prove that functions of locally bounded deformation on \mathbb{R}^n are $L^{\frac{n}{n-1}}$ -differentiable \mathcal{L}^n -almost everywhere, thereby answering a question raised in [1, Remark 4.5.(v)]. More generally, we show that this critical L^p -differentiability result holds for functions of locally bounded \mathbb{A} -variation, provided that the first order, homogeneous differential operator \mathbb{A} has finite dimensional null-space.

1. INTRODUCTION

Approximate differentiability properties of weakly differentiable functions are reasonably well understood. Namely, it is well-known that maps in $W_{\text{loc}}^{1,p}(\mathbb{R}^n, \mathbb{R}^N)$ are L^{p^*} -differentiable \mathcal{L}^n -a.e. in \mathbb{R}^n , where $1 \leq p < n$, $p^* := np/(n-p)$ (see, e.g., [5, Thm 6.2]). We recall that a map $u: \mathbb{R}^n \rightarrow \mathbb{R}^N$ is L^q -approximately differentiable at $x \in \mathbb{R}^n$ if and only if there exists a matrix $M \in \mathbb{R}^{N \times n}$ such that

$$\left(\int_{B_r(x)} |u(y) - u(x) - M(y-x)|^q dy \right)^{\frac{1}{q}} = o(r)$$

as $r \downarrow 0$, whence, in particular, u is approximately differentiable at x with approximate gradient M (see Section 2 for precise definitions). For $p = 1$ one can show in addition that maps $u \in \text{BV}_{\text{loc}}(\mathbb{R}^n, \mathbb{R}^N)$ are L^{1^*} -differentiable \mathcal{L}^n -a.e. with the approximate gradient equal \mathcal{L}^n -a.e. to the absolutely continuous part of Du ([5, Thm. 6.1, 6.4]). It is natural to ask a similar question of the space $\text{BD}(\mathbb{R}^n)$ of functions of bounded deformation, i.e., of $L^1(\mathbb{R}^n, \mathbb{R}^n)$ -maps u such that the symmetric part $\mathcal{E}u$ of their distributional gradient is a bounded measure. The situation in this case is significantly more complicated, since, for example, we have $\text{BV}(\mathbb{R}^n, \mathbb{R}^n) \subsetneq \text{BD}(\mathbb{R}^n)$ by the so-called Ornstein's Non-inequality [4, 8, 10]; equivalently, there are maps $u \in \text{BD}(\mathbb{R}^n)$ for which the full distributional gradient Du is not a Radon measure, so one cannot easily retrieve the approximate gradient of u from the absolutely continuous part of $\mathcal{E}u$ with respect to \mathcal{L}^n . It is however possible to recover u from $\mathcal{E}u$ via convolution with a $(1-n)$ -homogeneous kernel (cp. Lemma 2.1). HAJLÁSZ used this observation and a Marcinkiewicz-type characterisation of weak differentiability to show approximate differentiability \mathcal{L}^n -a.e. of BD-functions ([7, Cor. 1]). This result was improved in [2, Thm. 7.4] to L^1 -differentiability \mathcal{L}^n -a.e. by AMBROSIO, COSCIA, and DAL MASO, using the precise Korn–Poincaré Inequality of KOHN [9]. It was only recently when ALBERTI, BIANCHINI, and CRIPPA generalized the approach in [7], obtaining L^q -differentiability of BD-maps for $1 \leq q < 1^*$ (see [1, Thm. 3.4, Prop. 4.3]). It is, however, unclear whether the critical exponent $q = 1^*$ can be reached using the Calderón–Zygmund-type approach in [1].

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In the present paper, we settle the question in [1, Rk. 4.5.(v)] of optimal differentiability of BD–maps in the positive (see Corollary 1.2). Although reminiscent of the elaborate estimates in [2, Sec. 7], our proof is rather straightforward. The key observation is to replace KOHN’s Poincaré–Korn Inequality with the more abstract Korn–Sobolev Inequality due to STRANG and TEMAM [12, Prop. 2.4], combined with ideas developed recently by the authors in [6]. In fact, we shall prove $L^{n/(n-1)}$ –differentiability of maps of bounded \mathbb{A} –variation (as introduced in [3, Sec. 2.2]), provided that \mathbb{A} has finite dimensional null–space.

To formally state our main result, we pause to introduce some terminology and notation. Let \mathbb{A} be a linear, first order, homogeneous differential operator with constant coefficients on \mathbb{R}^n from V to W , i.e.,

$$(1.1) \quad \mathbb{A}u = \sum_{j=1}^n A_j \partial_j u, \quad u: \mathbb{R}^n \rightarrow V,$$

where $A_j \in \mathcal{L}(V, W)$ are fixed linear mappings between two finite dimensional real vector spaces V and W . For an open set $\Omega \subset \mathbb{R}^n$, we define $BV^{\mathbb{A}}(\Omega)$ as the space of $u \in L^1(\Omega, V)$ such that $\mathbb{A}u$ is a W –valued Radon measure. We say that \mathbb{A} has *FDN* (finite dimensional null–space) if the vector space $\{u \in \mathcal{D}'(\mathbb{R}^n, V) : \mathbb{A}u = 0\}$ is finite dimensional. Using the main result in [6, Thm. 1.1], we will prove that FDN is sufficient to obtain a Korn–Sobolev–type inequality

$$(1.2) \quad \left(\int_{B_r} |u - \pi_{B_r} u|^{\frac{n}{n-1}} dx \right)^{\frac{n-1}{n}} \leq cr \int_{B_r} |\mathbb{A}u| dx$$

for all $u \in C^\infty(\bar{B}_r, V)$. Here π denotes a suitable bounded projection on the null–space of \mathbb{A} , as described in [3, Sec. 3.1]. This is our main ingredient to prove the following:

Theorem 1.1. *Let \mathbb{A} as in (1.1) have FDN, $u \in BV_{\text{loc}}^{\mathbb{A}}(\mathbb{R}^n)$. Then u is $L^{n/(n-1)}$ –differentiable at x for \mathcal{L}^n –a.e. $x \in \mathbb{R}^n$.*

Our example of interest is $\text{BD} := BV^{\mathcal{E}}$, where $\mathcal{E}u := (Du + (Du)^\top)/2$ for $u: \mathbb{R}^n \rightarrow \mathbb{R}^n$. It is well known that the null–space of \mathcal{E} consists of rigid motions, i.e. affine maps of anti–symmetric gradient. In particular, \mathcal{E} has FDN.

Corollary 1.2. *Let $u \in \text{BD}_{\text{loc}}(\mathbb{R}^n)$. Then u is $L^{n/(n-1)}$ –differentiable \mathcal{L}^n –a.e.*

This paper is organized as follows: In Section 2 we collect some notation and definitions, mainly those of approximate and L^p –differentiability, present the main result in [1], collect a few results on \mathbb{A} –weakly differentiable functions from [3, 6], and prove the inequality (1.2). In Section 3 we give a brief proof of Theorem 1.1.

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2. PRELIMINARIES

An operator \mathbb{A} as in (1.1) can also be seen as $\mathbb{A}u = A(Du)$ for $u: \mathbb{R}^n \rightarrow V$, where $A \in \mathcal{L}(V \otimes \mathbb{R}^n, W)$. We recall that such an operator has a Fourier symbol map

$$\mathbb{A}[\xi]v = \sum_{j=1}^n \xi_j A_j v,$$

defined for $\xi \in \mathbb{R}^n$ and $v \in V$. An operator \mathbb{A} is said to be *elliptic* if and only if for all non-zero ξ , the maps $\mathbb{A}[\xi] \in \mathcal{L}(V, W)$ are injective. By considering the maps

$$u_f(x) := f(x \cdot \xi)v$$

for functions $f \in C^1(\mathbb{R})$, it is easy to see that if \mathbb{A} has FDN, then \mathbb{A} is necessarily elliptic. Ellipticity is in fact equivalent with one-sided invertibility of \mathbb{A} in Fourier space; more precisely, the equation $\mathbb{A}u = f$ can be uniquely solved for $u \in \mathcal{S}'(\mathbb{R}^n, V)$ whenever $f \in \mathcal{S}'(\mathbb{R}^n, W) \cap \text{im}\mathbb{A}$. One has:

Lemma 2.1. *Let \mathbb{A} be elliptic. There exists a convolution kernel $K^{\mathbb{A}} \in C^\infty(\mathbb{R}^n \setminus \{0\}, \mathcal{L}(W, V))$ which is $(1-n)$ -homogeneous such that $u = K^{\mathbb{A}} * \mathbb{A}u$ for all $u \in \mathcal{S}'(\mathbb{R}^n, V)$.*

For a proof of this fact, see, e.g., [6, Lem. 2.1]. We next define, for open $\Omega \subset \mathbb{R}^n$ (often a ball $B_r(x)$), the space

$$BV^{\mathbb{A}}(\Omega) := \{u \in L^1(\Omega, V) : \mathbb{A}u \in \mathcal{M}(\Omega, W)\}$$

of maps of bounded \mathbb{A} -variation, which is a Banach space under the obvious norm. By the Radon–Nikodym Theorem $\mathbb{A}u$ has the decomposition

$$\mathbb{A}u = \mathbb{A}^{ac}u \mathcal{L}^n \llcorner \Omega + \mathbb{A}^s u := \frac{d\mathbb{A}u}{d\mathcal{L}^n} \mathcal{L}^n \llcorner \Omega + \frac{d\mathbb{A}^s u}{d|\mathbb{A}^s u|} |\mathbb{A}^s u|$$

with respect to \mathcal{L}^n . Here $|\cdot|$ denotes the total variation semi-norm. We next see that ellipticity of \mathbb{A} implies sub-critical L^p -differentiability. We denote averaged integrals by $\int_{\Omega} := \mathcal{L}^n(\Omega)^{-1} \int_{\Omega}$ or by $(\cdot)_{x,r}$ if $\Omega = B_r(x)$, the ball of radius $r > 0$ centred at $x \in \mathbb{R}^n$.

Definition 2.2. *A measurable map $u: \mathbb{R}^n \rightarrow V$ is said to be*

- *approximately differentiable at $x \in \mathbb{R}^n$ if there exists a matrix $M \in V \otimes \mathbb{R}^n$ such that*

$$\text{ap lim}_{y \rightarrow x} \frac{|u(y) - u(x) - M(y-x)|}{|y-x|} = 0;$$

- *L^p -differentiable at $x \in \mathbb{R}^n$, $1 \leq p < \infty$ if there exists a matrix $M \in V \otimes \mathbb{R}^n$ such that*

$$\left(\int_{B_r(x)} |u(y) - u(x) - M(y-x)|^p dy \right)^{\frac{1}{p}} = o(r)$$

as $r \downarrow 0$.

We say that $\nabla u(x) := M$ is the approximate gradient of u at x .

We should also recall that

$$v = \text{ap lim}_{y \rightarrow x} u(y) \iff \forall \varepsilon > 0, \lim_{r \downarrow 0} r^{-n} \mathcal{L}^n(\{y \in B_r(x) : |u(y) - v| > \varepsilon\}) = 0,$$

where $x \in \mathbb{R}^n$ and $u: \mathbb{R}^n \rightarrow V$ is measurable. In the terminology of [1, Sec. 2.2], we can alternatively say that u is L^p -differentiable at x if

$$(2.1) \quad u(y) = \nabla u(x)(y-x) + u(x) + R_x(y),$$

where $(|R_x|^p)_{x,r} = o(r^p)$ as $r \downarrow 0$. We will refer to the decomposition (2.1) as a first order L^p -Taylor expansion of u about x .

Theorem 2.3 ([1, Thm. 3.4]). *Let $K \in C^2(\mathbb{R}^n \setminus \{0\})$ be $(1-n)$ -homogeneous, and $\mu \in \mathcal{M}(\mathbb{R}^n)$ be a bounded measure. Then $u := K * \mu$ is L^p -differentiable \mathcal{L}^n -a.e. for all $1 \leq p < n/(n-1)$.*

As a consequence of Lemma 2.1 and Theorem 2.3, we have that if \mathbb{A} is elliptic, then maps in $BV^{\mathbb{A}}(\mathbb{R}^n)$ are L^p -differentiable \mathcal{L}^n -a.e. for $1 \leq p < n/(n-1)$ (cp. Lemma 3.1). Ellipticity, however, is insufficient to reach the critical exponent. In Theorem 1.1, we show that FDN is a sufficient condition for the critical $L^{n/(n-1)}$ -differentiability. The following is essentially proved in [11], and is discussed at length in [3, 6]. We will, however, sketch an elementary proof for the interested reader.

Lemma 2.4. *Let \mathbb{A} as in (1.1) have FDN. Then there exists $l \in \mathbb{N}$ such that null-space elements of \mathbb{A} are polynomials of degree at most l .*

Sketch. One can show by standard arguments that if \mathbb{A} is elliptic and $\mathbb{A}u = 0$ in $\mathcal{D}'(\mathbb{R}^n, V)$, then u is in fact analytic. If u is not a polynomial, then one can write u as an infinite sum of homogeneous polynomials and identify coefficients, thereby obtaining infinitely many linearly independent (homogeneous) polynomials in the null-space of \mathbb{A} . Then the kernel consists of polynomials, which must have a maximal degree, otherwise \mathbb{A} fails to have FDN. \square

We next provide Sobolev–Poincaré–type inequality which, in the \mathbb{A} -setting, follows from the recent work [6] and is the main ingredient in the proof of Theorem 1.1. Following [3, Sec. 3.1], we define for \mathbb{A} with FDN, $\pi_B: C^\infty \cap BV^{\mathbb{A}}(B) \rightarrow \ker \mathbb{A} \cap L^2(B, V)$ as the L^2 -projection onto $\ker \mathbb{A}$.

Proposition 2.5 (Poincaré–Sobolev–type Inequality). *Let \mathbb{A} as in (1.1) have FDN. Then (1.2) holds. Moreover, there exists $c > 0$ such that*

$$\left(\int_{B_r(x)} |u - \pi_{B_r(x)} u|^{\frac{n}{n-1}} dy \right)^{\frac{n-1}{n}} \leq cr^{1-n} |\mathbb{A}u|(\overline{B_r(x)}).$$

for all $u \in BV_{\text{loc}}^{\mathbb{A}}(\mathbb{R}^n)$, $x \in \mathbb{R}^n$, $r > 0$.

Proof. By smooth approximation ([3, Thm. 2.8]), it suffices to prove (1.2). Since $\pi_{B_r(x)}$ is linear, we can assume that $r = 1$, $x = 0$. The result then follows by scaling and translation. We abbreviate $B := B_1(0)$. By [6, Thm. 1.1] we have that

$$\left(\int_B |u - \pi_B u|^{\frac{n}{n-1}} dy \right)^{\frac{n-1}{n}} \leq c \left(\int_B |\mathbb{A}u| + |u - \pi_B u| dy \right) \leq c \int_B |\mathbb{A}u| dy,$$

where for the second estimate we use the Poincaré–type inequality in [3, Thm. 3.2]. The proof is complete. \square

3. PROOF OF THEOREM 1.1

We begin by proving sub-critical L^p -differentiability of $u \in BV^{\mathbb{A}}$ for elliptic \mathbb{A} (cp. [7, Thm. 5]). We also provide a formula that enables us to retrieve the absolutely continuous part of $\mathbb{A}u$ from the approximate gradient. This formula respects the algebraic structure of \mathbb{A} , generalizing the result for BD in [2, Rk. 7.5].

Lemma 3.1. *If \mathbb{A} is elliptic, then any map $u \in BV^{\mathbb{A}}(\mathbb{R}^n)$ is L^p -differentiable \mathcal{L}^n -a.e. for all $1 \leq p < n/(n-1)$. Moreover, we have that*

$$(3.1) \quad \frac{d\mathbb{A}u}{d\mathcal{L}^n}(x) = A(\nabla u(x))$$

for \mathcal{L}^n -a.e. $x \in \mathbb{R}^n$.

Proof. To reduce the first statement, which is essentially vectorial, to the scalar Theorem 2.3, we simply write $u_i = K_{ij}^{\mathbb{A}} * (\mathbb{A}u)_j$, where $K^{\mathbb{A}}$ is as in Lemma 2.1 and summation over repeated indices is adopted. We next let $u \in BV^{\mathbb{A}}(\mathbb{R}^n)$ and $x \in \mathbb{R}^n$ be a Lebesgue point of u and $\mathbb{A}^{ac}u$, and also a point of L^1 -differentiability of u . We also consider a sequence $(\eta_\varepsilon)_{\varepsilon>0}$ of standard mollifiers, i.e. $\eta_1 \in C_c^\infty(B_1(0))$ is radially symmetric and has integral equal to 1 and $\eta_\varepsilon(y) = \varepsilon^{-n}\eta_1(y/\varepsilon)$. Finally, we write $u_\varepsilon := u * \eta_\varepsilon$ and employ the Taylor expansion (2.1) to compute

$$\begin{aligned} \nabla u_\varepsilon(x) &= \int_{B_\varepsilon(x)} u(y) \otimes \nabla_x \eta_\varepsilon(x-y) dy \\ &= - \int_{B_\varepsilon(x)} (\nabla u(x)(y-x) + u(x) + R_x(y)) \otimes \nabla_y \eta_\varepsilon(y-x) dy \\ &= \int_{B_\varepsilon(x)} \eta_\varepsilon(y-x) \nabla u(x) dy - \int_{B_\varepsilon(x)} R_x(y) \otimes \nabla_y \eta_\varepsilon(y-x) dy \\ &= \nabla u(x) + \int_{B_\varepsilon(x)} R_x(y) \otimes \nabla_x \eta_\varepsilon(x-y) dy, \end{aligned}$$

where we used integration by parts to establish the third equality. Since

$$\|\nabla_x \eta(x-\cdot)\|_\infty = \varepsilon^{-(n+1)} \|\nabla \eta_1\|_\infty,$$

we have that $|\nabla u_\varepsilon(x) - \nabla u(x)| \leq \varepsilon^{-1}(|R_x|)_{x,\varepsilon} = o(1)$. In particular, $\nabla u_\varepsilon \rightarrow \nabla u$ \mathcal{L}^n -a.e., so that $\mathbb{A}u_\varepsilon \rightarrow \mathbb{A}(\nabla u)$ \mathcal{L}^n -a.e. To establish (3.1), we will show that $\mathbb{A}u_\varepsilon \rightarrow \mathbb{A}^{ac}u$ \mathcal{L}^n -a.e. Using only that u is a distribution, one easily shows that $\mathbb{A}u_\varepsilon = \mathbb{A}u * \eta_\varepsilon$, so that

$$\begin{aligned} \mathbb{A}u_\varepsilon(x) - \mathbb{A}^{ac}u(x) &= \mathbb{A}^{ac}u * \eta_\varepsilon(x) - \mathbb{A}^{ac}u(x) + \mathbb{A}^s u * \eta_\varepsilon(x) \\ &= \int_{B_\varepsilon(x)} \eta_\varepsilon(x-y) (\mathbb{A}^{ac}u(y) - \mathbb{A}^{ac}u(x)) dy \\ &\quad + \int_{B_\varepsilon(x)} \eta_\varepsilon(x-y) d\mathbb{A}^s u(y). \end{aligned}$$

Using the fact that $\|\eta_\varepsilon(x-\cdot)\|_\infty = \varepsilon^{-n}\|\eta_1\|_\infty$ and Lebesgue differentiation, the proof is complete. \square

Remark 3.2 (Insufficiency of ellipticity). *Consider v as in [1, Prop. 4.2] with $d = 2$. One shows by direct computation that $v \in BV^\partial(\mathbb{R}^2)$, where the Wirtinger derivative*

$$\partial u := \frac{1}{2} \begin{pmatrix} \partial_1 u_1 + \partial_2 u_2 \\ \partial_2 u_1 - \partial_1 u_2 \end{pmatrix}$$

is easily seen to be elliptic (by computation). However, it is shown in [1, Rk. 4.5.(iv)] that there are maps $v \in BV^\partial(\mathbb{R}^2)$ which are not L^2 -differentiable.

In turn, the stronger FDN condition is sufficient for L^{1^*} -differentiability:

Proof of Theorem 1.1. Let $u \in BV_{loc}^{\mathbb{A}}(\mathbb{R}^n)$ and $x \in \mathbb{R}^n$ that is a Lebesgue point of $\mathbb{A}u$ such that

$$(3.2) \quad \int_{B_r(x)} |u(y) - u(x) - \nabla u(x)(y-x)| dy = o(r)$$

as $r \downarrow 0$. By Lemma 3.1 for $p = 1$, such points exist \mathcal{L}^n -a.e. Here $\nabla u(x)$ denotes the approximate gradient of u at x . We also define $v(y) := u(y) - u(x) - \nabla u(x)(y -$

x) for $y \in \mathbb{R}^n$. We aim to show that

$$(3.3) \quad \left(\int_{B_r(x)} |v(y)|^{\frac{n}{n-1}} dy \right)^{\frac{n-1}{n}} = o(r)$$

as $r \downarrow 0$. Firstly, we remark that the integral in (3.3) is well-defined for $r > 0$, as v is the sum of an affine and a $\text{BV}_{\text{loc}}^{\mathbb{A}}$ -map; the latter is $L_{\text{loc}}^{n/(n-1)}$ -integrable, e.g., by [6, Thm. 1.1]. Next, we abbreviate $\pi_r v := \pi_{B_r(x)} v$ and use Proposition 2.5 to estimate:

$$\begin{aligned} \left(\int_{B_r(x)} |v|^{1^*} dy \right)^{\frac{1}{1^*}} &\leq \left(\int_{B_r(x)} |v - \pi_r v|^{1^*} dy \right)^{\frac{1}{1^*}} + \left(\int_{B_r(x)} |\pi_r v|^{1^*} dy \right)^{\frac{1}{1^*}} \\ &\leq cr \frac{|\mathbb{A}v|(B_r(x))}{r^n} + \left(\int_{B_r(x)} |\pi_r v|^{\frac{n}{n-1}} dy \right)^{\frac{n-1}{n}} =: \mathbf{I}_r + \mathbf{II}_r. \end{aligned}$$

To deal with \mathbf{I}_r , first note that $\mathbb{A}v = \mathbb{A}u - A(\nabla u(x))$ (the latter term is obtained by classical differentiation of an affine map). By (3.1), we obtain $\mathbb{A}v = \mathbb{A}u - \mathbb{A}^{ac}u(x)$, so $\mathbf{I}_r = o(r)$ as $r \downarrow 0$ by Lebesgue differentiation for Radon measures. To bound \mathbf{II}_r , we first note that on the space of polynomials of degree at most l (containing $\ker \mathbb{A}$ by Lemma 2.4) the following two norms are equivalent:

$$\left(\int_{B_r(x)} |P|^{\frac{n}{n-1}} dy \right)^{\frac{n-1}{n}} \leq c \int_{B_r(x)} |P| dy,$$

so that we have $\mathbf{II}_r \leq c(|\pi_r v|)_{x,r}$. We claim that

$$(3.4) \quad \int_{B_r(x)} |\pi_r v| dy \leq c \int_{B_r(x)} |v| dy,$$

which suffices to conclude by (3.2), and (3.3). Though elementary and essentially present in [3, Sec. 3.1], the proof of (3.4) is delicate and we present a careful argument. We write

$$\pi_r v = \sum_{j=1}^d \langle v, e_j^r \rangle e_j^r,$$

where the inner product and convergence are in L^2 and $\{e_j^r\}_{j=1}^d$ is a (finite) orthonormal basis of $\ker \mathbb{A} \cap L^2(B_r(x), V)$. As before, we have

$$\sup_{y \in B_r(x)} |e_j^r(y)| \leq c \left(\int_{B_r(x)} |e_j^r|^2 dy \right)^{\frac{1}{2}} = cr^{-\frac{n}{2}},$$

so that

$$\int_{B_r(x)} |\pi_r v| dy \leq \sum_{j=1}^d \int_{B_r(x)} \int_{B_r(x)} |v| dz dy \|e_j^r\|_{L^\infty(B_r(x), V)}^2 \leq cr^{-n} \int_{B_r(x)} |v| dz,$$

which yields (3.4) and concludes the proof. \square

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