

# ATTACKS ON LWE

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# OUTLINE

BKZ Refresher

LWE

Dual Lattice Attack

Primal Lattice Attack (uSVP Version)

## BKZ REFRESHER

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- Input basis is LLL reduced, the **first block** is  $\mathbf{b}_1, \dots, \mathbf{b}_\beta$ .
  - Call the SVP oracle to obtain a short vector,  $\mathbf{b}'_1$ , in the space spanned by these vectors.
  - Now have  $\beta + 1$  vectors spanning a  $\beta$  dimensional space, call LLL to obtain a set of  $\beta$  linearly independent vectors.
  - The **second block** is made of vectors which are the projection of  $\mathbf{b}_2, \dots, \mathbf{b}_{\beta+1}$  onto the space which is orthogonal to  $\mathbf{b}_1$ .
  - Again, call SVP oracle to obtain a short vector in this space,  $\mathbf{b}'_2$ , which can be viewed as the projection of some  $\mathbf{b}''_2$  in the lattice.
  - Call LLL on  $\mathbf{b}_2, \mathbf{b}_3, \dots, \mathbf{b}_{\beta+1}, \mathbf{b}''_2$  to update the list of basis vectors.
- ... start again when reaching end, repeat until nothing changes

- early abort** BKZ eventually terminates when there is nothing left to do. However, most work is done in the first few tours
- recursive preprocessing** use BKZ with smaller block size to preprocess blocks before calling the SVP oracle
- (extreme) pruning** choose pruning parameters which lead to low probability of success, rerandomise and repeat to boost probability
- Gaussian heuristic** use the Gaussian heuristic to set radius for enumeration search

Yuanmi Chen. **Réduction de réseau et sécurité concrète du chiffrement complètement homomorphe**. PhD thesis. Paris 7, 2013, url: <https://www.nofile.io/f/PvRtI1VlkJ>, implementation: <https://github.com/fplll/fplll>

The shortest non-zero vector  $\mathbf{b}_1$  in the output basis satisfies:

$$\|\mathbf{b}_1\| = \delta_0^d \cdot \text{Vol}(\Lambda)^{1/d}.$$

- Hermite factor:  $\delta_0^d$
- root-Hermite factor:  $\delta_0$
- log root-Hermite factor:  $\log_2 \delta_0$

# GAUSSIAN HEURISTIC

Let  $\Lambda \subset \mathbb{Z}^d$  be a lattice and let  $S \in \mathbb{R}^d$  be a measurable subset of the real space. Then

$$|S \cap \Lambda| \approx \text{Vol}(S) / \text{Vol}(\Lambda).$$

As a corollary, considering spheres, we get:

$$\lambda_1(\Lambda) \approx \sqrt{\frac{d}{2\pi e}} \text{Vol}(\Lambda)^{1/d}.$$

# GEOMETRIC SERIES ASSUMPTION

The norms of the Gram-Schmidt vectors after lattice reduction satisfy<sup>1</sup>

$$\|\mathbf{b}_i^*\| = \alpha^{i-1} \cdot \|\mathbf{b}_1\| \text{ for some } 0 < \alpha < 1.$$

Combining this with the root-Hermite factor  $\|\mathbf{b}_1\| = \delta_0^d \cdot \text{Vol}(\Lambda)^{1/d}$  and  $\text{Vol}(\Lambda) = \prod_{i=1}^d \|\mathbf{b}_i^*\|$ , we get

$$\alpha = \delta^{-2d/(d-1)}.$$

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<sup>1</sup>Claus-Peter Schnorr. [Lattice Reduction by Random Sampling and Birthday Methods](#). In: *STACS 2003, 20th Annual Symposium on Theoretical Aspects of Computer Science, Berlin, Germany, February 27 - March 1, 2003, Proceedings*. Ed. by Helmut Alt and Michel Habib. Vol. 2607. Lecture Notes in Computer Science. Springer, 2003, pp. 145–156. DOI: 10.1007/3-540-36494-3\_14. URL: [http://dx.doi.org/10.1007/3-540-36494-3\\_14](http://dx.doi.org/10.1007/3-540-36494-3_14).



Assuming the **Gaussian Heuristic** (GH) and the **Geometric Series Assumption** (GSA), a limiting value of the root-Hermite factor  $\delta_0$  achievable by BKZ is<sup>2</sup>:

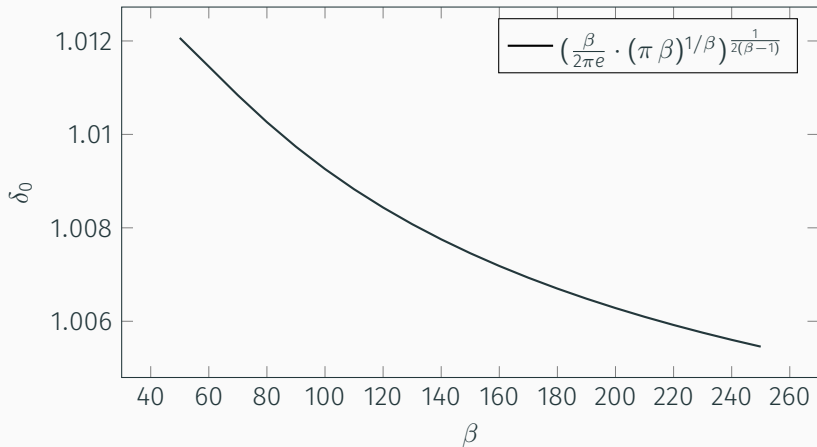
$$\lim_{n \rightarrow \infty} \delta_0 = \left( v_{\beta}^{\frac{-1}{\beta}} \right)^{\frac{1}{\beta-1}} \approx \left( \frac{\beta}{2\pi e} (\pi\beta)^{\frac{1}{\beta}} \right)^{\frac{1}{2(\beta-1)}}$$

where  $v_{\beta}$  is the volume of the unit ball in dimension  $\beta$ . Experimental evidence suggests that we may apply this as an estimate for  $\delta_0$  also in practice.

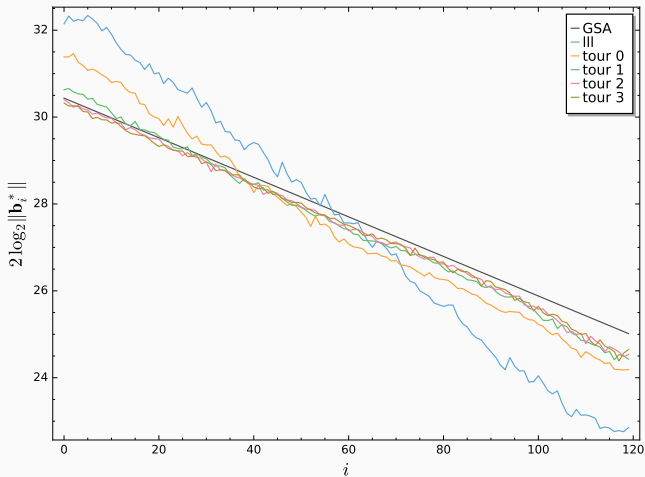
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<sup>2</sup>Yuanmi Chen. **Réduction de réseau et sécurité concrète du chiffrement complètement homomorphe**. PhD thesis. Paris 7, 2013.

# BKZ QUALITY



# RUNNING TIME



Most work is done in first 3-4 tours.

Per tour, BKZ calls

$c_{pre,\beta}$  prepare  $n$  SVP calls

$c_{svp,\beta}$   $n$  SVP oracle calls in block size  $\leq \beta$

$c_{lll}$   $n$  LLL calls to insert the vector into the basis

Total cost:

$$\approx 4n \cdot (c_{pre,\beta} + c_{svp,\beta} + c_{lll})$$

We assume

- $C_{\text{pre},\beta} < C_{\text{svp},\beta}^3$  and
- $C_{\text{lll}} \ll C_{\text{svp},\beta}$

to obtain

$$\approx 4 n C_{\text{svp},\beta}$$

Asymptotically, sieving is the most efficient heuristic SVP algorithm, with a cost<sup>4</sup> of

$$C_{\text{svp},\beta} = 2^{0.292 \beta + o(1)}.$$

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<sup>3</sup>For current code, this is a blatant lie.

<sup>4</sup>Anja Becker, Léo Ducas, Nicolas Gama, and Thijs Laarhoven. [New directions in nearest neighbor searching with applications to lattice sieving](#). In: *27th SODA*. ed. by Robert Krauthgamer. ACM-SIAM, Jan. 2016, pp. 10–24. DOI: 10.1137/1.9781611974331.ch2.

The log of the time complexity for running BKZ to achieve a root-Hermite factor  $\delta_0$  is:<sup>5</sup>

$$\Omega \left( \frac{-\log \left( \frac{-\log \log \delta_0}{\log \delta_0} \right) \log \log \delta_0}{\log \delta_0} \right) \text{ for enumeration,}$$
$$\Omega \left( \frac{-\log \log \delta_0}{\log \delta_0} \right) \text{ for sieving.}$$

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<sup>5</sup>Martin R. Albrecht, Rachel Player, and Sam Scott. [On The Concrete Hardness Of Learning With Errors](http://eprint.iacr.org/2015/046). Cryptology ePrint Archive, Report 2015/046. <http://eprint.iacr.org/2015/046>. 2015.

# HERE'S A PICTURE OF A KITTEN



LWE

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# LEARNING WITH ERRORS

Let  $n, q$  be positive integers,  $\chi$  be a probability distribution on  $\mathbb{Z}$  and  $\mathbf{s}$  be a secret vector in  $\mathbb{Z}_q^n$ . We denote by  $L_{n,q,\chi}$  the probability distribution on  $\mathbb{Z}_q^n \times \mathbb{Z}_q$  obtained by choosing  $\mathbf{a} \in \mathbb{Z}_q^n$  uniformly at random, choosing  $e \in \mathbb{Z}$  according to  $\chi$  and considering it in  $\mathbb{Z}_q$ , and returning  $(\mathbf{a}, c) = (\mathbf{a}, \langle \mathbf{a}, \mathbf{s} \rangle + e) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$ .

**Decision-LWE** is the problem of deciding whether pairs  $(\mathbf{a}, c) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$  are sampled according to  $L_{n,q,\chi}$  or the uniform distribution on  $\mathbb{Z}_q^n \times \mathbb{Z}_q$ .

**Search-LWE** is the problem of recovering  $\mathbf{s}$  from  $(\mathbf{a}, c) = (\mathbf{a}, \langle \mathbf{a}, \mathbf{s} \rangle + e) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$  sampled according to  $L_{n,q,\chi}$ .

# DUAL LATTICE ATTACK

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# SHORT INTEGER SOLUTIONS

Consider the scaled (by  $q$ ) dual lattice:

$$q\Lambda^* = \{\mathbf{x} \in \mathbb{Z}^m \mid \mathbf{x} \cdot \mathbf{A} \equiv 0 \pmod{q}\}.$$

A short vector of  $q\Lambda^*$  is equivalent to solving SIS on  $\mathbf{A}$ .

## Short Integer Solutions (SIS)

Given  $q \in \mathbb{Z}$ , a matrix  $\mathbf{A}$ , and  $t < q$ ; find  $\mathbf{y}$  with  $0 < \|\mathbf{y}\| \leq t$  and

$$\mathbf{y} \cdot \mathbf{A} \equiv 0 \pmod{q}.$$

Given samples  $\mathbf{A}$ ,  $\mathbf{c}$ :

1. Find a short  $\mathbf{y}$  solving SIS on  $\mathbf{A}$ .
2. Compute  $\langle \mathbf{y}, \mathbf{c} \rangle$ .

Either  $\mathbf{c} = \mathbf{A}\mathbf{s} + \mathbf{e}$  or  $\mathbf{c}$  uniformly random:

- If  $\mathbf{c}$  is uniformly random, so is  $\langle \mathbf{y}, \mathbf{c} \rangle$ .
- If  $\mathbf{c} = \mathbf{A} \cdot \mathbf{s} + \mathbf{e}$ , then  $\langle \mathbf{y}, \mathbf{c} \rangle = \langle \mathbf{y} \cdot \mathbf{A}, \mathbf{s} \rangle + \langle \mathbf{y}, \mathbf{e} \rangle \equiv \langle \mathbf{y}, \mathbf{e} \rangle \pmod{q}$ . If  $\mathbf{y}$  is sufficiently short, then  $\langle \mathbf{y}, \mathbf{e} \rangle$  will also be short, since  $\mathbf{e}$  is also small.

Given an LWE instance characterised by  $n$ ,  $\alpha$ ,  $q$  and a vector  $\mathbf{v}$  of length  $\|\mathbf{v}\|$  in the scaled dual lattice

$$q\Lambda^* = \{\mathbf{x} \in \mathbb{Z}_q^m \mid \mathbf{x} \cdot \mathbf{A} \equiv 0 \pmod{q}\},$$

the advantage<sup>6</sup> of distinguishing  $\langle \mathbf{v}, \mathbf{e} \rangle$  from random is close to

$$\exp(-\pi(\|\mathbf{v}\| \cdot \alpha)^2).$$

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<sup>6</sup>Richard Lindner and Chris Peikert. [Better Key Sizes \(and Attacks\) for LWE-Based Encryption](#). In: *CT-RSA 2011*. Ed. by Aggelos Kiayias. Vol. 6558. LNCS. Springer, Heidelberg, Feb. 2011, pp. 319–339.

# LATTICE REDUCTION

A reduced lattice basis is made of short vectors, in particular the first vector has norm  $\delta_0^m \cdot \text{Vol}(q\Lambda^*)^{1/m}$

1. Construct bases of the dual for the instance.
2. Feed to a lattice reduction algorithm to obtain short vectors  $\mathbf{v}_i$ .
3. Check if  $\mathbf{v}_i \cdot \mathbf{A}$  are small.

## CONSTRUCTING A BASIS

- We seek a basis for the  $q$ -ary lattice

$$q\Lambda^* = \{\mathbf{x} \in \mathbb{Z}_q^m \mid \mathbf{x} \cdot \mathbf{A} \equiv 0 \pmod{q}\}$$

- Compute a row-echelon form  $\mathbf{Y}$  of the basis for the left-kernel of  $\mathbf{A} \pmod{q}$  using Gaussian elimination.
- With high probability it will have dimension  $(m - n) \times m$
- Write  $\mathbf{Y} = [\mathbf{I}_{(m-n) \times (m-n)} \mid \mathbf{Y}']$
- Extend to  $q$ -ary lattice by stacking on top of  $[\mathbf{0}_{n \times (m-n)} \mid q \cdot \mathbf{I}_{n \times n}]$
- The basis is

$$\mathbf{L} = \begin{pmatrix} \mathbf{I}_{(m-n) \times (m-n)} & \mathbf{Y}' \\ \mathbf{0} & q \mathbf{I}_{n \times n} \end{pmatrix}$$

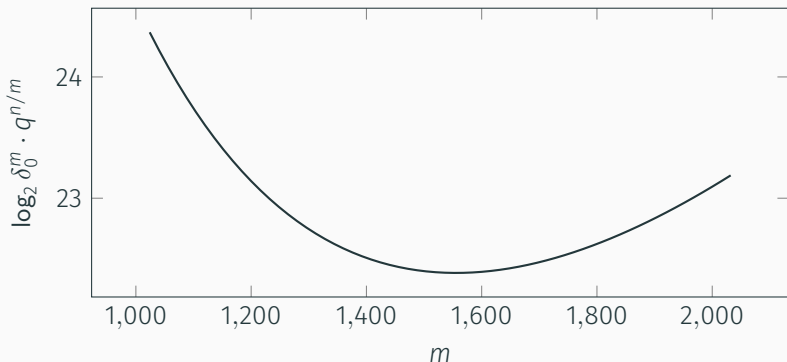
# DEGREES OF FREEDOM

- the **dimension**  $m$ , i.e. the number of samples we use, and
- the target **advantage**  $\epsilon$  for distinguishing



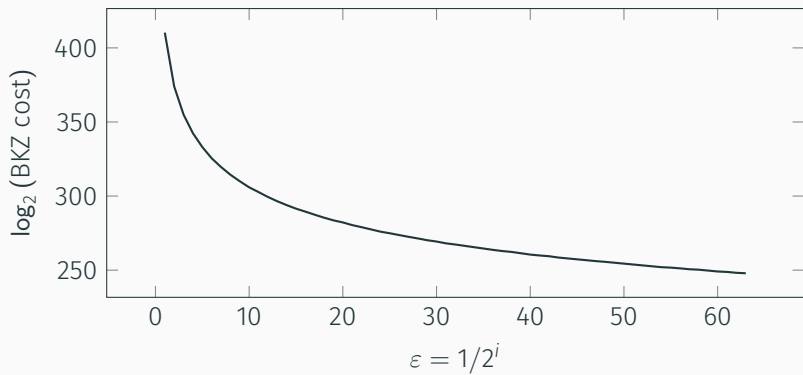
## CHOOSING $m$

Example:  $q = 2^{17}$ ,  $n = 1024$ ,  $\delta_0 = 1.005$



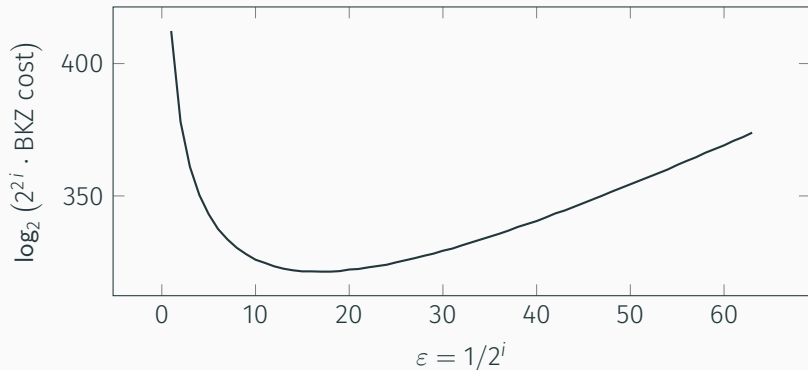
$$m = \sqrt{\frac{n \log q}{\log \delta_0}}$$

## CHOOSING $\varepsilon$



## CHOOSING $\epsilon$

Repeat experiment  $\approx 1/\epsilon^2$  times for majority vote to achieve constant advantage



# AMORTISING COSTS

Producing  $1/\varepsilon^2$  short vectors is cheaper than  $1/\varepsilon^2$  calls to BKZ in block size  $\beta$ .

Two options:

- Use that sieving outputs  $2^{0.2075 \cdot \beta}$  vectors.<sup>7</sup>
- Perform strong lattice reduction once, use light rerandomisation and cheaper lattice reduction for subsequent vectors.<sup>8</sup>

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<sup>7</sup>Erdem Alkim, Léo Ducas, Thomas Pöppelmann, and Peter Schwabe. [Post-quantum key exchange - a new hope](http://eprint.iacr.org/2015/1092). Cryptology ePrint Archive, Report 2015/1092. <http://eprint.iacr.org/2015/1092>. 2015.

<sup>8</sup>Martin R. Albrecht. [On dual lattice attacks against small-secret LWE and parameter choices in HELib and SEAL](http://eprint.iacr.org/2017/047). Cryptology ePrint Archive, Report 2017/047. <http://eprint.iacr.org/2017/047>. 2017.

# LWE NORMAL FORM

**Problem:** most schemes give only  $n$  samples  $\Rightarrow$  left kernel is trivial

But instances are in LWE normal form:  $\mathbf{s}_i \leftarrow \chi$

## LWE Normal Form

Given samples  $(\mathbf{a}, c) = (\mathbf{a}, \langle \mathbf{a}, \mathbf{s} \rangle + e) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$  with  $\mathbf{a} \leftarrow \mathcal{U}(\mathbb{Z}_q^n)$ ,  $e \leftarrow \chi$  and  $\mathbf{s} \in \mathbb{Z}_q^n$ , we can construct samples

$$(\mathbf{a}, c) = (\mathbf{a}, \langle \mathbf{a}, \mathbf{e} \rangle + e) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$$

with  $\mathbf{a} \leftarrow \mathcal{U}(\mathbb{Z}_q^n)$ ,  $e \leftarrow \chi$  and  $\mathbf{e}$  such that all components

$$e_i \leftarrow \chi$$

in polynomial time.<sup>9</sup>

<sup>9</sup>Benny Applebaum, David Cash, Chris Peikert, and Amit Sahai. [Fast Cryptographic Primitives and Circular-Secure Encryption Based on Hard Learning Problems](#). In: *CRYPTO 2009*. Ed. by Shai Halevi. Vol. 5677. LNCS. Springer, Heidelberg, Aug. 2009, pp. 595–618.

# LWE NORMAL FORM

- Construct basis for

$$\Lambda = \{(\mathbf{y}, \mathbf{x}) \in \mathbb{Z}^m \times \mathbb{Z}^n : \mathbf{y} \cdot \mathbf{A} \equiv \mathbf{x} \pmod{q}\}.$$

- Given a short vector in  $(\mathbf{w}, \mathbf{v}) \in \Lambda$ , we have

$$\mathbf{w} \cdot \mathbf{c} = \mathbf{w} \cdot (\mathbf{A} \cdot \mathbf{s} + \mathbf{e}) = \langle \mathbf{v}, \mathbf{s} \rangle + \langle \mathbf{w}, \mathbf{e} \rangle.$$

- Analysis proceeds as before with  $d \leq 2n$ .

## SMALL SECRET

Assume  $\|\mathbf{s}\| \ll \|\mathbf{e}\|$ , e.g.  $\mathbf{s}_i \leftarrow \{-1, 0, 1\}$ .

- Aim is to balance  $\|\langle \mathbf{v}, \mathbf{s} \rangle\| \approx \|\langle \mathbf{w}, \mathbf{e} \rangle\|$ .
- Consider the scaled dual attack lattice

$$\Lambda(\mathbf{L}) = \{(\mathbf{x}, \mathbf{y}/c) \in \mathbb{Z}^m \times (1/c \cdot \mathbb{Z})^n : \mathbf{x} \cdot \mathbf{A} \equiv \mathbf{y} \pmod{q}\}$$

for some constant  $c$ .

- Lattice reduction produces a vector  $(\mathbf{v}', \mathbf{w}')$  with

$$\|(\mathbf{v}', \mathbf{w}')\| \approx \delta_0^{(m+n)} \cdot (q/c)^{n/(m+n)}.$$

- The final error we aim to distinguish from uniform is

$$e = \mathbf{v}' \cdot \mathbf{A} \cdot \mathbf{s} + \langle \mathbf{v}', \mathbf{e} \rangle = \langle c \cdot \mathbf{w}', \mathbf{s} \rangle + \langle \mathbf{v}', \mathbf{e} \rangle.$$

# HONOURABLE MENTION: BKW

Assume  $(\mathbf{a}_{21}, \mathbf{a}_{22}) = (0, 1)$ , then:

$$\begin{aligned} & \left( \begin{array}{cc|ccc|c} \mathbf{a}_{11} & \mathbf{a}_{12} & \mathbf{a}_{13} & \cdots & \mathbf{a}_{1n} & C_1 \\ \mathbf{a}_{21} & \mathbf{a}_{22} & \mathbf{a}_{23} & \cdots & \mathbf{a}_{2n} & C_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \\ \mathbf{a}_{m1} & \mathbf{a}_{m2} & \mathbf{a}_{m3} & \cdots & \mathbf{a}_{mn} & C_m \end{array} \right) \\ - & \left[ \begin{array}{cc|ccc|c} 0 & 0 & \mathbf{t}_{13} & \cdots & \mathbf{t}_{1n} & C_{t,1} \\ 0 & 1 & \mathbf{t}_{23} & \cdots & \mathbf{t}_{2n} & C_{t,2} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \\ q-1 & q-1 & \mathbf{t}_{q^2 3} & \cdots & \mathbf{t}_{q^2 n} & C_{t,q^2} \end{array} \right] \\ \Rightarrow & \left( \begin{array}{cc|ccc|c} \mathbf{a}_{11} & \mathbf{a}_{12} & \mathbf{a}_{13} & \cdots & \mathbf{a}_{1n} & \tilde{C}_1 \\ 0 & 0 & \mathbf{a}_{23} & \cdots & \mathbf{a}_{2n} & \tilde{C}_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \\ \mathbf{a}_{m1} & \mathbf{a}_{m2} & \mathbf{a}_{m3} & \cdots & \mathbf{a}_{mn} & C_m \end{array} \right) \end{aligned}$$

Oded Regev. **On lattices, learning with errors, random linear codes, and cryptography.** In: *Journal of the ACM* 56.6 (Sept. 2009), 34:1–34:40. ISSN: 0004-5411 (print), 1557-735X (electronic). DOI: <http://doi.acm.org/10.1145/1568318.1568324>

Martin R. Albrecht et al. **On the Complexity of the BKW Algorithm on LWE.** *Cryptology ePrint Archive*, Report 2012/636.

<http://eprint.iacr.org/2012/636>. 2012

Qian Guo, Thomas Johansson, and Paul Stankovski. **Coded-BKW: Solving LWE Using Lattice Codes.** *Cryptology ePrint Archive*, Report 2016/310.

<http://eprint.iacr.org/2016/310>. 2016



## HERE'S A PICTURE OF A KITTEN



# PRIMAL LATTICE ATTACK (USVP VERSION)

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## BOUNDED DISTANCE DECODING AND UNIQUE SVP

Given  $\mathbf{A}, \mathbf{c}$  with  $\mathbf{c} = \mathbf{A} \cdot \mathbf{s} + \mathbf{e}$ , we know that for some  $\mathbf{w}$  we have that  $\mathbf{A} \cdot \mathbf{w} - \mathbf{c} \pmod{q}$  is rather small.

In other words, we know there is an unusually short vector in the  $q$ -ary lattice

$$\mathbf{B} = \begin{pmatrix} \mathbf{A}^T & 0 \\ \mathbf{c}^T & t \end{pmatrix} \in \mathbb{Z}_q^{(n+1) \times (m+1)}$$

since

$$(\mathbf{s} \mid -1) \cdot \mathbf{B} = (\mathbf{e} \mid -t) \pmod{q}.$$

Let's find it.

## CONSTRUCTING A BASIS

- Compute reduced row echelon form  $[\mathbf{I}_{n \times n} \mid \mathbf{A}']$  of  $\mathbf{A}^T \in \mathbb{Z}_q^{n \times m}$  with  $m > n$ .
- Stack on top of  $[\mathbf{0}_{(m-n) \times n} \mid q \mathbf{I}_{(m-n) \times (m-n)}]$  to handle modular reductions
- Stack on top of  $[\mathbf{c}^T \mid t]$
- Obtain

$$\mathbf{B} = \begin{pmatrix} \mathbf{I}_{n \times n} & \mathbf{A}' & 0 \\ \mathbf{0}_{(m-n) \times n} & q \mathbf{I}_{(m-n) \times (m-n)} & 0 \\ \mathbf{c}^T & & t \end{pmatrix} \in \mathbb{Z}^{(m+1) \times (m+1)}$$

- In practice, we always pick  $t = 1$

- Any algorithm which can solve  $\kappa$ -HSVP, such as a lattice reduction algorithm, can be used linearly many times to solve  $\gamma$ -uSVP with approximation factor  $\gamma = \kappa^2$ .<sup>10</sup>
- Whenever  $\kappa > \sqrt{d}$  then any algorithm solving  $\kappa$ -HSVP can be used to solve  $\gamma$ -uSVP for  $\gamma \approx \sqrt{d}\kappa$ .<sup>11</sup>

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<sup>10</sup>László Lovász. *An algorithmic theory of numbers, graphs and convexity*. CBMS-NSF regional conference series in applied mathematics. Philadelphia, Pa. Society for Industrial and Applied Mathematics, 1986. ISBN: 0-89871-203-3. URL: <http://opac.inria.fr/record=b1086067>.

<sup>11</sup>Cong Ling, Shuiyin Liu, Laura Luzzi, and Damien Stehlé. *Decoding by embedding: Correct decoding radius and DMT optimality*. In: *2011 IEEE International Symposium on Information Theory Proceedings, ISIT*. ed. by Alexander Kuleshov, Vladimir Blinovsky, and Anthony Ephremides. IEEE, 2011, pp. 1106–1110. DOI: 10.1109/ISIT.2011.6033703.

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## In practice

Algorithms behave better.

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<sup>10</sup>László Lovász. *An algorithmic theory of numbers, graphs and convexity*. CBMS-NSF regional conference series in applied mathematics. Philadelphia, Pa. Society for Industrial and Applied Mathematics, 1986. ISBN: 0-89871-203-3. URL: <http://opac.inria.fr/record=b1086067>.

<sup>11</sup>Cong Ling, Shuiyin Liu, Laura Luzzi, and Damien Stehlé. *Decoding by embedding: Correct decoding radius and DMT optimality*. In: *2011 IEEE International Symposium on Information Theory Proceedings, ISIT*. ed. by Alexander Kuleshov, Vladimir Blinovskiy, and Anthony Ephremides. IEEE, 2011, pp. 1106–1110. DOI: 10.1109/ISIT.2011.6033703.

## SUCCESS CONDITION (2008)

Lattice reduction is expected/observed<sup>12</sup> to succeed if

$$\lambda_2/\lambda_1 \geq \tau \cdot \delta_0^d$$

where  $\tau \approx 0.3$  is a constant that depends on the algorithm.

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<sup>12</sup>Nicolas Gama and Phong Q. Nguyen. [Predicting Lattice Reduction](#). In: *EUROCRYPT 2008*. Ed. by Nigel P. Smart. Vol. 4965. LNCS. Springer, Heidelberg, Apr. 2008, pp. 31–51.

# SUCCESS CONDITION (2013, 2016)

- We can predict the length of the unusually short vector:

$$\lambda_1(\mathbf{B}) \approx \sqrt{m} \cdot \sigma.$$

- In general, we expect no other unusually short vectors, so we may assume<sup>13</sup>

$$\lambda_2(\mathbf{B}) \approx \sqrt{\frac{d}{2\pi, e}} \cdot \text{Vol}(\mathbf{B})^{1/d}.$$

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<sup>13</sup>Martin R. Albrecht, Robert Fitzpatrick, and Florian Gopfert. [On the Efficacy of Solving LWE by Reduction to Unique-SVP](http://eprint.iacr.org/2013/602). Cryptology ePrint Archive, Report 2013/602. <http://eprint.iacr.org/2013/602>. 2013; Florian Göpfer. [Securely Instantiating Cryptographic Schemes Based on the Learning with Errors Assumption](http://tuprints.ulb.tu-darmstadt.de/5850/). <http://tuprints.ulb.tu-darmstadt.de/5850/>. PhD thesis. Technische Universität Darmstadt, 2016.



# SUCCESS CONDITION (2015)

## Lemma<sup>14</sup>

Given an LWE instance characterised by  $n, \alpha, q$ . Any lattice reduction algorithm achieving log root-Hermite factor

$$\log \delta_0 = \frac{\log^2 (\varepsilon' \tau \alpha \sqrt{2e})}{4n \log q}$$

solves LWE with success probability greater than

$\varepsilon_\tau \cdot \left(1 - \left(\varepsilon' \cdot \exp\left(\frac{1-\varepsilon'^2}{2}\right)\right)^m\right)$  for some  $\varepsilon' > 1$  and some fixed  $\tau \leq 1$ , and  $0 < \varepsilon_\tau < 1$  as a function of  $\tau$ .

This lemma assumes  $m = \sqrt{\frac{n \log q}{\log \delta_0}}$  which maximises the gap.

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<sup>14</sup>Martin R. Albrecht, Rachel Player, and Sam Scott. [On The Concrete Hardness Of Learning With Errors](http://eprint.iacr.org/2015/046). Cryptology ePrint Archive, Report 2015/046. <http://eprint.iacr.org/2015/046>. 2015.

## SUCCESS CONDITION (2016)

- Let  $\mathbf{e}_{d-b}^*$  be the projection of  $\mathbf{e}$  orthogonally onto the first  $d - b$  vectors of the Gram-Schmidt basis  $\mathbf{B}^*$
- BKZ-like algorithms will call an SVP oracle on the last block of dimension  $b$ .
- If  $\mathbf{e}_{d-b}^*$  is a shortest vector in that block, it will be found
- If  $\mathbf{e}_i^*$  is a shortest vector for all projections up to  $d - b$  it will “travel to the front”.

## SUCCESS CONDITION (2016)

- Assume  $\|\mathbf{e}_{d-b}^*\| \approx \sigma \cdot \sqrt{b}$ .
- Applying the GSA, we expect the shortest vector to be found in the last block to have norm

$$\begin{aligned}\|\mathbf{b}_{d-b+1}^*\| &= \alpha^{d-b} \cdot \delta_0^d \cdot \text{Vol}(\mathbf{B})^{1/d} \\ &= \delta_0^{-2(d-b)} \cdot \delta_0^d \cdot \text{Vol}(\mathbf{B})^{1/d} \\ &= \delta_0^{2b-d} \cdot \text{Vol}(\mathbf{B})^{1/d}.\end{aligned}$$

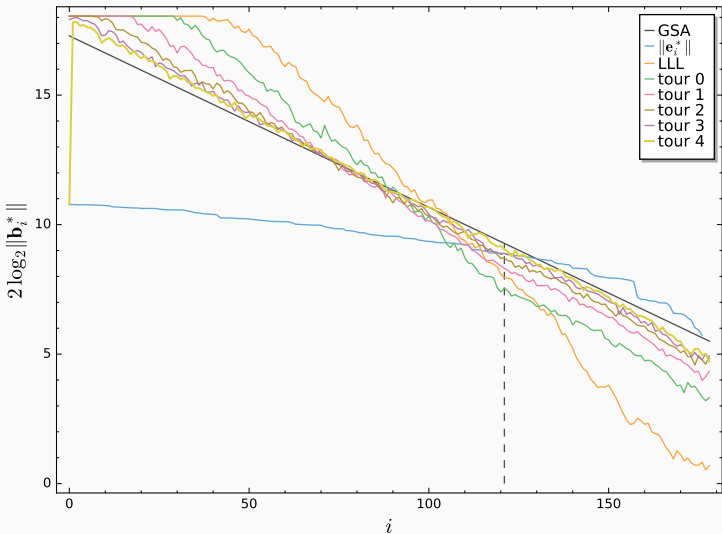
- Thus<sup>15</sup> we expect success if

$$\sigma \cdot \sqrt{b} \leq \delta_0^{2b-d} \cdot \text{Vol}(\mathbf{B})^{1/d}$$

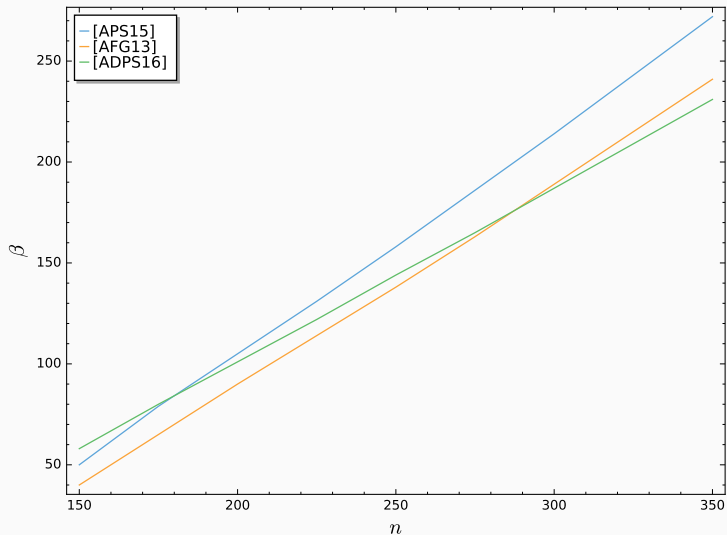
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<sup>15</sup>Erdem Alkim, Léo Ducas, Thomas Pöppelmann, and Peter Schwabe. [Post-quantum key exchange - a new hope](http://eprint.iacr.org/2015/1092). Cryptology ePrint Archive, Report 2015/1092. <http://eprint.iacr.org/2015/1092>. 2015.

# SUCCESS CONDITION (2016)



# COMPARISON FOR $q = 2^{15}, \sigma = 3.2$



# LWE NORMAL FORM

- Consider the lattice

$$\Lambda = \{\mathbf{v} \in \mathbb{Z}^{n+m+1} \mid (\mathbf{A} \mid \mathbf{I}_m \mid \mathbf{c}) \cdot \mathbf{v} \equiv 0 \pmod{q}\}$$

- It contains an unusually short vector  $(\mathbf{s} \mid \mathbf{e} \mid -1)$  since

$$(\mathbf{A} \mid \mathbf{I}_m \mid \mathbf{c}) \cdot (\mathbf{s} \mid \mathbf{e} \mid -1) \equiv \mathbf{A} \cdot \mathbf{s} + \mathbf{e} - \mathbf{c} \equiv 0 \pmod{q}$$

- Analysis proceeds as before with  $d = n + m + 1$ .

- Let  $\sigma$  be the standard deviation of the components of  $\mathbf{e}$ .
- When  $\|\mathbf{s}\| \ll \|\mathbf{e}\|$ , the vector  $(\mathbf{s}||\mathbf{e})$  is uneven in length.
- Rescale the first part to have the same norm as the second.<sup>16</sup>
  - When  $\mathbf{s}_i \leftarrow_s \{-1, 0, 1\}$ , the volume of the lattice is scaled by  $\sigma^n$ .
  - When  $\mathbf{s} \leftarrow_s \{0, 1\}$  the volume of the lattice is scaled by  $(2\sigma)^n$  because we can scale by  $2\sigma$  and then rebalance.

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<sup>16</sup>Shi Bai and Steven D. Galbraith. [Lattice Decoding Attacks on Binary LWE](#). In: *ACISP 14*. Ed. by Willy Susilo and Yi Mu. Vol. 8544. LNCS. Springer, Heidelberg, July 2014, pp. 322–337. doi: 10.1007/978-3-319-08344-5\_21.

FIN

THANK YOU

