

Asymptotic behavior of plasmas in Euclidean space

Jonathan Ben-Artzi (Cardiff University)

Joint works with:

S. Calogero, B. Morisse, S. Pankavich

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Phase-space evolution governed by the Vlasov equation

$$\partial_t f + v(p) \cdot \nabla_x f + F[f] \cdot \nabla_p f = 0$$

where $v = \text{velocity}$ $v(p) = p$ unless otherwise stated
 $F = \text{forcing term (electric field/Lorentz force)}$

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 $F =$ forcing term (electric field/Lorentz force)

Main goal: quantitative large-time asymptotics. In particular, behavior of

$$\rho(t, x) = \int_{\mathbb{R}^d} f(t, x, p) dp$$

What's known? Vlasov–Poisson (VP): $F = E$

Existence and uniqueness: Main ingredient is *a priori* estimates for the vector field $p \cdot \nabla_x + E \cdot \nabla_p$ (mid-late 1980s)

- Bardos-Degond (small data)
- Pfaffelmoser, Schaeffer (compact support in (x, p))
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Long-time behavior:

- Bernstein-Greene-Kruskal (1957): explicit inhomogeneous traveling wave solutions (BGK waves)
- On \mathbb{T}^d : Decay to homogeneous equilibrium ('damping'): Landau (1946), Glassey, Guo, Schaeffer, Strauss (1990s), Mouhot-Villani (2010), Lin-Zeng (2010s)
- On \mathbb{R}^d : dispersion to 'infinity': Horst, Rein, Pankavich, Ionescu-Pausader-Wang-Widmayer

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One would expect even better decay in the plasma (repulsive!!) case

$$\partial_t f + p \cdot \nabla_x f + \boxed{F \cdot \nabla_p f} = 0$$

but that question is still **largely open!**

Important Known Results for VP ($d = 3$)

Theorem (Bardos-Degond, 1985)

Any *small data* solution of (VP) satisfies

$$\|\rho(t)\|_{\infty} \lesssim t^{-3}, \quad \|E(t)\|_{\infty} \lesssim t^{-2}.$$

Theorem (Horst, 1990)

Any *spherically symmetric* solution of (VP) satisfies

$$\|\rho(t)\|_{\infty} \lesssim t^{-3}, \quad \|E(t)\|_{\infty} \lesssim t^{-2}.$$

Theorem (Yang, 2016)

Any solution of (VP) satisfies

$$\|E(t)\|_{\infty} \lesssim t^{-\frac{1}{6}+}.$$

Plan of the Talk

- 1 The Good: Decay for Two-Dimensional Symmetric Plasmas
- 2 The Bad: Arbitrarily Large Solutions Three-Dimensions
- 3 The Ugly: The Vlasov–Maxwell System

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Two-dimensional radially-symmetric plasmas

Radial-symmetry allows us to replace coordinates $(\mathbf{x}, \mathbf{p}) \in \mathbb{R}^2 \times \mathbb{R}^2$ by

$$r = |\mathbf{x}|, \quad w = \frac{\mathbf{x} \cdot \mathbf{p}}{r}, \quad \ell = |\mathbf{x} \times \mathbf{p}|^2,$$

(3 deg of freedom instead of 4) and Vlasov–Poisson equations reduce to

$$\partial_t f + w \partial_r f + \left(\frac{\ell}{r^3} + \frac{m(t, r)}{2\pi r} \right) \partial_w f = 0,$$

where

$$m(t, r) = 2\pi \int_0^r \rho(t, q) q \, dq$$

and

$$\rho(t, r) = \frac{1}{r} \int_0^\infty \int_{-\infty}^\infty f(t, r, w, \ell) \ell^{-1/2} \, dw \, d\ell.$$

Characteristics:

$$\begin{cases} \dot{\mathcal{R}}(s) = \mathcal{W}(s) \\ \dot{\mathcal{W}}(s) = \frac{\mathcal{L}(s)}{\mathcal{R}(s)^3} + \frac{m(s, \mathcal{R}(s))}{2\pi\mathcal{R}(s)} \\ \dot{\mathcal{L}}(s) = 0 \end{cases}$$

Define

$$\mathfrak{R}(t) = \sup_{(r, w, \ell) \in \text{supp}(f_0)} \mathcal{R}(t, 0, r, w, \ell) = \text{“farthest particle”}$$

$$\mathfrak{W}(t) = \sup_{(r, w, \ell) \in \text{supp}(f_0)} |\mathcal{W}(t, 0, r, w, \ell)| = \text{“fastest particle”}$$

and

$$\mathcal{U}(t, r) = -\Delta^{-1} \rho(t, r) = \text{electric potential}$$

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 \Rightarrow always a transfer of potential energy to kinetic energy.

For characteristics satisfying $m(t, \mathcal{R}(t)) \gtrsim 1$, we have

$$|\mathcal{W}(t, \tau, r, w, \ell) - w| = \int_{\tau}^t \left(\frac{m(s, \mathcal{R}(s))}{\mathcal{R}(s)} + \frac{\ell}{\mathcal{R}(s)^3} \right) ds$$

(this will follow from the theorem)

$$\geq C \int_{\tau}^t \left(s \sqrt{\log(s)} \right)^{-1} ds$$
$$\gtrsim \sqrt{\log(t)}$$

and

$$|\mathcal{R}(t, \tau, r, w, \ell) - (r + wt)| \gtrsim t \sqrt{\log(t)}.$$

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So we cannot hope to converge to the free-streaming solution in any sense.

Theorem (JBA-Morisse-Pankavich, *arXiv:2202.03717*)

Let $f_0 \in C_0^1(\mathbb{R}^4)$ be radially-symmetric and let $p \in (2, +\infty]$. Assume that $\inf\{\ell : (r, w, \ell) \in \text{supp}(f_0)\} > 0$. Then we have

$$\mathfrak{W}(t) \sim \sqrt{\log(t)}, \quad \mathfrak{R}(t) \sim t\sqrt{\log(t)}, \quad \|\mathcal{U}(t)\|_\infty \sim \log(t),$$

as well as the field and density estimates

$$\begin{aligned} \left(t\sqrt{\log(t)}\right)^{-1+\frac{2}{p}} &\lesssim \|E(t)\|_p \lesssim t^{-1+\frac{2}{p}}, \\ \left(t^2 \log(t)\right)^{-1} &\lesssim \|\rho(t)\|_\infty \lesssim t^{-1}, \end{aligned}$$

and for $(r, w, \ell) \in \text{supp}(f_0)$ the pointwise estimates

$$\begin{aligned} 0 &\lesssim \mathcal{W}(t, 0, r, w, \ell) \lesssim \sqrt{\log(t)}, \\ t &\lesssim \mathcal{R}(t, 0, r, w, \ell) \lesssim t\sqrt{\log(t)}. \end{aligned}$$

Sketch of Proof

1. Characteristics Lower Bound: $\mathcal{R}(t)^2 \geq lr^{-2}t^2$ and $\exists T \geq 0$ s.t. $\mathcal{W}(t) > 0 \forall t > T$.

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These come from writing out $m(t, r)$ and $m(t, \mathfrak{R}(t))$ and using the lower bound on \mathcal{R} .

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4. The Electric Potential: $-\mathcal{U}(t, \mathcal{R}(t)) \sim \log(t)$ and $\|\mathcal{U}(t)\|_\infty \sim \log(t)$.

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This comes from looking at the change in total energy along characteristics: $\frac{d}{dt} \left(\frac{1}{2} (\mathcal{W}(t)^2 + \ell \mathcal{R}(t)^{-2}) + \mathcal{U}(t, \mathcal{R}(t)) \right)$

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This uses ideas of Horst to use backwards characteristics to estimate the size of the w support of $f(t, r, w, \ell)$ for fixed $r, \ell > 0$.

$$\text{The problem: } \mathcal{R} \sim r + wt + \iint E \sim r + wt + t \Rightarrow w \sim 1$$

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6. Particle Density: $\|\rho(t)\|_\infty \lesssim t^{-1}$ and trivially $\|\rho(t)\|_\infty \gtrsim \mathfrak{R}(t)^{-2}$.

Plan of the Talk

- 1 The Good: Decay for Two-Dimensional Symmetric Plasmas
- 2 The Bad: Arbitrarily Large Solutions Three-Dimensions
- 3 The Ugly: The Vlasov–Maxwell System

Now we consider Vlasov–Poisson in three-dimensions.

$$\begin{cases} \partial_t f + v(\rho) \cdot \nabla_x f + E \cdot \nabla_\rho f = 0, \\ \nabla \cdot E = \rho, \end{cases}$$

where $\rho(t, x) = \int_{\mathbb{R}^3} f(t, x, \rho) \, d\rho$.

Theorem (JBA-Calogero-Pankavich, *SIMA* 2018)

1) For any constants $C_1, C_2 > 0$ there exists a smooth, spherically-symmetric solution of (VP) such that

$$\|\rho(0)\|_\infty, \quad \|E(0)\|_\infty \leq C_1$$

but for some time $T > 0$,

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2) For any constants $C_1, C_2 > 0$ and any $T > 0$ there exists a smooth, spherically-symmetric solution of (VP) such that

$$M = \iint_{\mathbb{R}^6} f_0(x, p) dp dx = C_1$$

and

$$\|\rho(T)\|_\infty, \|E(T)\|_\infty \geq C_2.$$

Reminder:

Theorem (Horst, 1990)

Any spherically symmetric solution of (VP) satisfies

$$\|\rho(t)\|_{\infty} \leq \frac{C}{t^3}, \quad \|E(t)\|_{\infty} \leq \frac{C}{t^2}.$$

Reminder:

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Our results show that in the **intermediate regime** these quantities can become **arbitrarily large** and that this may take **arbitrarily long**.

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- 1) Design initial data supported on spherical shell in x variable, while p variable supported around $-Cx$ with $C > 0$ to be chosen.
- 2) Write ODEs for particle trajectories in coordinates adapted to spherical symmetry.
- 3) Obtain lower & upper bounds for $\mathcal{R}(t)$ uniform in time.
- 4) Find time T when spherical shell is so small, that density is necessarily very large.

Three-dimensional radially-symmetric plasmas

Spherical-symmetry allows us to replace coordinates $(\mathbf{x}, \mathbf{p}) \in \mathbb{R}^3 \times \mathbb{R}^3$ by

$$r = |\mathbf{x}|, \quad w = \frac{\mathbf{x} \cdot \mathbf{p}}{r}, \quad \ell = |\mathbf{x} \times \mathbf{p}|^2,$$

(3 deg of freedom instead of 6) and Vlasov–Poisson equations reduce to

$$\partial_t f + w \partial_r f + \left(\frac{\ell}{r^3} + \frac{m(t, r)}{r^2} \right) \partial_w f = 0,$$

where

$$m(t, r) = 4\pi \int_0^r \rho(t, q) q^2 dq$$

and

$$\rho(t, r) = \frac{\pi}{r^2} \int_0^\infty \int_{-\infty}^\infty f(t, r, w, \ell) dw d\ell.$$

Characteristics

Particles obey the ODEs:

$$\begin{cases} \dot{\mathcal{R}}(s) = \mathcal{W}(s), \\ \dot{\mathcal{W}}(s) = \frac{\mathcal{L}(s)}{\mathcal{R}(s)^3} + \frac{m(s, \mathcal{R}(s))}{\mathcal{R}(s)^2}, \\ \dot{\mathcal{L}}(s) = 0, \end{cases}$$

with

$$\mathcal{R}(0) = r, \quad \mathcal{W}(0) = w, \quad \mathcal{L}(0) = \ell.$$

Trajectories

A lemma about behavior of characteristics, and in particular the **time T_0** when each particle is closest to origin:

Lemma

Let $L > 0$, $P \geq 0$, $y_0 > 0$ and $y_1 < 0$ be given. Assume y satisfies

$$0 \leq \ddot{y}(t) - Ly(t)^{-3} \leq Py(t)^{-2}, \quad y(0) = y_0, \quad \dot{y}(0) = y_1.$$

① $\exists!$ minimum $T_0 > 0$.

② $y(T_0) \leq y_*$ and $T_0 \geq \frac{y_0 - y_*}{|y_1|}$, where $y_* = y_0 \sqrt{\frac{L + Py_0}{y_0^2 y_1^2 + L + Py_0}}$.

③ $y(t)^2 \leq (y_0 + y_1 t)^2 + (Ly_0^{-2} + Py_0^{-1})t^2, \quad \forall t \in [0, T_0]$.

Initial Data

Require $r \approx a_0$, $w \approx a_1$ (large and negative) and ℓ small:

$$\left(r + \frac{a_0}{|a_1|} w\right)^2 + \frac{\ell}{r^2} \left(\frac{a_0}{a_1}\right)^2 < \frac{\epsilon^2}{a_1^2}$$

and $r \in (a_0 - \delta_r, a_0 + \delta_r)$ with $\delta_r = \epsilon^3$ (ϵ defined appropriately)

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Furthermore, require

$$\rho_0(r) \leq \frac{3}{4\pi a_0^3} \leq C_1, \quad \forall r > 0,$$

and

$$\rho_0(r) = \frac{3}{4\pi a_0^3}, \quad \text{for } r \in \left[a_0 - \frac{1}{2}\delta_r, a_0 + \frac{1}{2}\delta_r \right].$$

At Time $t = 0$

Previous conditions imply

$$\frac{3\epsilon^3}{a_0} \leq M \leq \frac{8\epsilon^3}{a_0}$$

and

$$\|\rho(0)\|_\infty, \|E(0)\|_\infty \leq C_1.$$

At Time $T > 0$

From Lemma: minimum of each trajectory satisfies

$T_0 = T_0(r, w, \ell) \geq \frac{y_0 - y^*}{|y_1|}$, allows to find some

$$T \in \left(0, \inf_{(r, w, \ell) \in \text{supp}(f_0)} T_0 \right],$$

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so that, from Lemma:

$$\mathcal{R}(T)^2 \leq (r + wT)^2 + (\ell r^{-2} + 8a_0^{-1} r^{-1}) T^2 \leq \dots \leq 10000\epsilon^4$$

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which leads to

$$\|\rho(T)\|_\infty \geq \frac{3M}{4\pi(100\epsilon^2)^3} \geq \frac{1}{200^3 a_0 \epsilon^3} \geq C_2$$

and

$$\|E(T)\|_\infty \geq \frac{M}{(100\epsilon^2)^2} \geq \frac{3}{100^2 a_0 \epsilon} \geq C_2.$$

Our theorem was later improved by Zhang to handle C^k norms:

Theorem (Zhang, 2019)

For any constants $C_1, C_2 > 0$ there exists a smooth, spherically-symmetric solution of (VP) such that

$$\|\rho(0)\|_{C^k}, \quad \|E(0)\|_{C^k} \leq C_1$$

but for some time $T > 0$,

$$\|\rho(T)\|_{\infty}, \quad \|E(T)\|_{\infty} \geq C_2.$$

There is also some characterization of how T depends on the initial data.

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- 3 The Ugly: The Vlasov–Maxwell System

The Vlasov–Maxwell system is

$$\begin{cases} \partial_t f + v(p) \cdot \nabla_x f + (E + v(p) \times B) \cdot \nabla_p f = 0, \\ \partial_t E - \nabla \times B = -j, & \nabla \cdot E = \rho, \\ \partial_t B + \nabla \times E = 0, & \nabla \cdot B = 0, \end{cases}$$

where $v(p) = \frac{p}{\sqrt{1+|p|^2}}$ and

$$\rho(t, x) = \int f(t, x, p) dp \quad \text{and} \quad j(t, x) = \int v(p) f(t, x, p) dp.$$

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Maxwell's equations are **hyperbolic**, unlike Poisson which is **elliptic**
 \Rightarrow existence theory is much harder.

Important Existence and Uniqueness Results

Theorem (Glassey-Strauss, 1986)

If *momenta* are known to be *uniformly bounded for all particles* on $[0, T]$, then the solution can be continued to $[T, T + h)$ for some $h > 0$.

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If $\|f_0\|_{C^1} + \|E_0\|_{C^2} + \|B_0\|_{C^2} < \epsilon$ then there is a *global-in-time* classical solution and (inside the light cone)

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Theorem (Glassey-Schaeffer, multiple results 1990s)

Global-in-time existence results in various lower dimensional settings.

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Theorem (Klainerman-Staffilani, 2002; Bouchut-Golse-Pallard, 2003)

Reproving the 1986 Glassey-Strauss result by other methods.

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Theorem (Luk-Strain, 2014)

Some improvements of the 1986 Glassey-Strauss result (weaker assumptions).

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Important Existence and Uniqueness Results

We work within the framework of this theorem, to obtain quantitative asymptotic results. One of the main difficulties: **we need** $f(t, \cdot, \cdot) \in C^2$ and $E(t, \cdot), B(t, \cdot) \in C^3$.

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We want improved asymptotic quantitative results for the fields, which require solving equations like $\square E^i = -\partial_{x_i} \rho - \partial_t j^i$, and we need these in C^1 .

Non-neutral / *Neutral*

- Every particle has a limiting velocity, achieved at rate $t^{-1} t^{-2}$
- $\int f(t, x, p) dx$ has a limit, convergence at rate $t^{-1} \log^4(t) t^{-2}$
- There exists $\rho_\infty(p)$ such that

$$\sup_{(x,p)} \left| t^3 \rho(t, x + v(p)t) - \rho_\infty(p) \right| \lesssim t^{-1} \log^7(t)$$

$$\|\rho(t)\|_\infty \lesssim t^{-4}$$

- Similarly for j and for the derivatives of ρ, j .
- There exists $E_\infty(p)$ such that inside the light cone (similarly B_∞)

$$\sup_{(x,p)} \left| t^2 E(t, x + v(p)t) - E_\infty(p) \right| \lesssim t^{-1} \log^7(t)$$

$$\sup_{(x,p)} |E(t, x + v(p)t)| \lesssim t^{-3}$$

The rates in the **neutral** case are **faster than free-streaming** which suggests that there is some **damping mechanism**.

Main Idea of the Proof

Particle distribution $f(t, x, p)$ satisfies Vlasov:

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We want to integrate in time to establish behavior of trajectories.

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Replace f with $g(t, x, p) = f(t, x + v(p)t, p)$, which satisfies:

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 $h(t, x, p) = g(t, x - \log(t)\Delta(p)K_\infty(p), p)$, Vlasov becomes:

$$\partial_t h = t^{-1} \Delta(p) \underbrace{(t^2 K - K_\infty)}_{\lesssim t^{-\epsilon}} \cdot \nabla_x g + \underbrace{K}_{\lesssim t^{-2}} \cdot \underbrace{\nabla_p g}_{\lesssim \log^2(t)}.$$

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So $\partial_t h$ is integrable in time.

1. Characteristics

$$\begin{cases} \dot{\mathcal{X}}(s) = v(\mathcal{P}(s)), \\ \dot{\mathcal{P}}(s) = E(s, \mathcal{X}(s)) + v(\mathcal{P}(s)) \times B(s, \mathcal{X}(s)) =: K(s, \mathcal{X}(s), \mathcal{P}(s)) \end{cases}$$

Since we know that the fields decay $\lesssim t^{-2}$ we easily find that

$$\begin{aligned} \mathcal{P}_\infty(\tau, x, p) &= \lim_{t \rightarrow +\infty} \mathcal{P}(t, \tau, x, p) \\ &= p + \int_\tau^\infty K(s, \mathcal{X}(s), \mathcal{P}(s)) ds \end{aligned}$$

exists, and $\mathcal{P} \rightarrow \mathcal{P}_\infty$ at rate $\lesssim t^{-1}$.

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$$\mathcal{R}(t) = \sup \left\{ |\mathcal{Y}(t, 0, x, p)| : (x, p) \in \text{supp}(g(0)) \right\} = \text{“farthest particle”}.$$

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Lemma

We have the estimates

$$|\mathcal{Y}(t)| \lesssim \log(t) \quad \text{and} \quad \mathcal{R}(t) \lesssim \log(t)$$

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Lemma

Derivatives of g grow slower than derivatives of f :

$$\|\nabla_p g(t)\|_\infty \lesssim \log^2(t)$$

(for f it is $\|\nabla_p f(t)\|_\infty \lesssim t$)

3. Decay of the Fields

The fields have decay rates

$$\|E(t)\|_{\infty} \lesssim t^{-2}$$

$$\|\nabla_x E(t)\|_{\infty} \lesssim t^{-3} \log(t)$$

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and similarly for B .

Lemma

As a consequence we have

$$\|\nabla_p^2 g(t)\|_{\infty} \lesssim \log^4(t)$$

The proof of this lemma involves a lengthy Grönwall argument.

4. Spatial Average

Lemma

Let $F(t, p) = \int f(t, x, p) dx$. Then $F(t, p)$ converges uniformly as $t \rightarrow +\infty$ to some $F_\infty(p) \in C_0^2(\mathbb{R}^3)$. Moreover,

$$\begin{aligned}\|F(t) - F_\infty\|_\infty &\lesssim t^{-1} \log^5(t) \\ \|\nabla_p F(t) - \nabla_p F_\infty\|_\infty &\lesssim t^{-1} \log^7(t).\end{aligned}$$

5. Convergence of Macroscopic Densities

Let $\mathcal{D}(p) = |\det(\nabla v(p))|^{-1} = (1 + |p|^2)^{5/2}$
and $\mathbb{B}(q) = \nabla v^{-1}(q)$, and define

$$\begin{aligned}\rho_\infty(p) &= \mathcal{D}(p)F_\infty(p) \\ j_\infty(p) &= \mathcal{D}(p)v(p)F_\infty(p)\end{aligned}$$

Lemma

We have

$$\begin{aligned}\sup_p \left| t^3 \rho(t, x + v(p)t) - \rho_\infty(p) \right| &\lesssim t^{-1} \log^6(t) \\ \sup_p \left| t^4 \partial_{x_i} \rho(t, x + v(p)t) - \mathbb{B}_{ik}(v(p)) \partial_{p_k} \rho_\infty(p) \right| &\lesssim t^{-1} \log^7(t)\end{aligned}$$

and similarly for j (there's also a result for $\partial_t j$).

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Lemma

Let $\square\psi = \eta$. Assume $\exists \eta_\infty$ s.t. $|t^4\eta(t, x + v(p)t) - \eta_\infty(p)| \lesssim t^{-1} \log^7(t)$.
Then $\exists \psi_\infty$ s.t. $|t^2\psi(t, x + v(p)t) - \psi_\infty(p)| \lesssim t^{-1} \log^7(t)$.

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From this it then follows that $\exists E_\infty(p)$ s.t.

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and similarly for B . This was the crucial estimate we needed!

7. Modified Scattering

Let $\mathbb{A}(p) = \nabla v(p)$ and $K_\infty(p) = E_\infty(p) + v(p) \times B_\infty(p)$ and define

$$\begin{aligned}h(t, x, p) &= g(t, x - \log(t)\mathbb{A}(p)K_\infty(p), p) \\ &= f(t, x + v(p)t - \log(t)\mathbb{A}(p)K_\infty(p), p)\end{aligned}$$

Lemma

Then $h(t, x, p)$ converges uniformly as $t \rightarrow +\infty$ to some $f_\infty(x, p) \in C(\mathbb{R}^6)$. Moreover,

$$\|h(t) - f_\infty\|_\infty \lesssim t^{-1} \log^7(t)$$

The proof uses the convergence of the fields to integrate $\partial_t h$ in time.

Thank you for your attention!