

Phases with Non-Invertible Symmetries in (1+1)d: from Categories to Cold Atoms

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**KITP Conference - Generalized Symmetries:
High-Energy, Condensed Matter and Mathematics**

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- 2310.03786 and 2310.03784: **Categorical Landau Paradigm and Gapped Phases with Non-Invertible Symmetries: $(1+1)d$** , by *LB, LEB, DP, SSN*
- 2312.17322: **The Club Sandwich: Gapless Phases and Phase Transitions with Non-Invertible Symmetries**, by *LB, LEB, DP, SSN*
- 2403.00905: **Hasse Diagrams for Gapless SPT and SSB Phases with Non-Invertible Symmetries**, by *LB, DP, SSN, AW*
- 2405.05964 and 2405.05302: **Lattice Models for Phases and Transitions with Non-Invertible Symmetries** by *LB, LEB, SSN, AT*
- 2412.15024: **Categorical Symmetries in Spin Models with Atom Arrays** by **AW**, *Fan Yang, AT, Hannes Pichler, SSN*

- **SymTFT:** [F. Apruzzi, F. Bonetti, I.G. Etxebarria, S.S. Hosseini, S.S chafer-Nameki '21], [D. Freed, G. Moore, C. Teleman, '22], [A. Chatterjee, W. Ji, X.-G. Wen, '22], [J. Kaidi, K. Ohmori, Y. Zheng, '22], [J. Kaidi, E. Nardoni, G. Zafrir, Y. Zheng, '23], [M. Del Zotto, S. N. Meynet, R. Moscrop, '24]
- **Gapped phases:** [J. Fröhlich, J. Fuchs, I. Runkel, C. Schweigert, '06], [L. Bhardwaj, Y. Tachikawa, '17], [R. Thorngren, Y. Wang, '19-'21], [T.-C. Huang, Y.-H. Lin, S. Seifnashri, '21], [C. Zhang, C. Córdova, '23], [Y. Choi, B. Rayhaun, Y. Sanghavi, S.-H. Shao, '23], [S.-J. Huang, M. Cheng, '23]
- **Gapless phases:** [R. Thorngren, A. Vishwanath, R. Verresen, '20], [A. Chatterjee, X-G.Wen, '22], [L.Li, M. Oshikawa, Y. Zheng, '23], [R. Wen, A. C. Potter, '23]
- **Lattice models:** [D. Aasen, R. Mong, P. Fendley, '20], [L. Lootens, C. Delcamp, G. Ortiz, F. Verstraete, '21], [L. Eck, P. Fendley, '23], [C. Feuchis, N. Tantivasadakarn, V. V. Albert, '23], [N. Seiberg, S. Seifnashri, S.-H. Shao, '24], [S. Seifnashri, S.-H. Shao, '24], [L. Lootens, C. Delcamp, F. Verstraete, '24], [A. Chatterjee, Ö.M. Aksoy, X.-G. Wen, '24], [R. Vanhove, V. Ravindran, D. T. Stephen, X.-G. Wen, X. Chen, '24], [S.D. Pace, H.T.Lam, Ö.M.Aksoy, '24], [L.E. Bottini, S. Schäfer-Nameki, '24], [S.-J.Huang, Y.Chen, '25], [M. Davydova et al., '25]

Outline

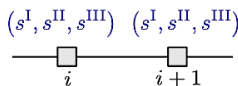
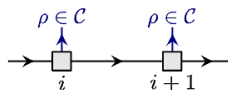
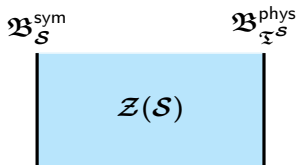
- **What:** study **gapped** and **gapless phases** in $(1+1)d$ with fusion category symmetry \mathcal{S} : $a \otimes b = \sum_c N_{ab}^c c$ and F -symbols (associators)
- **Why:** to understand novel gapped and gapless quantum phases of matter \Rightarrow **Categorical Landau paradigm**

- **How:**

- using the **SymTFT**: a $(2+1)d$ TFT $\mathcal{Z}(\mathcal{S})$ with a **symmetry** and **physical boundary** on which **anyons** can condense

- with $(1+1)d$ **lattice models**: **general anyon chain** construction and

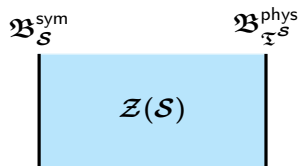
- **simple spin-chains** for specific models suitable for near-future **quantum simulators**



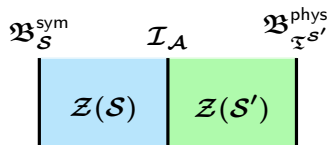
The SymTFT (club) sandwich

- **Quantum Phases with any Categorical Symmetry \mathcal{S}** \leftrightarrow **Anyon condensation on the SymTFT boundaries**
- **Symmetry \mathcal{S}** is fixed by a maximal condensation on $\mathfrak{B}^{\text{sym}}$ of the SymTFT:
 - Generators of \mathcal{S} are topological defects with Neumann b.c. on $\mathfrak{B}^{\text{sym}}$
 - Generalized charges of operators follow from linking in the SymTFT bulk

- Maximal condensation of anyons on $\mathfrak{B}^{\text{phys}}$ (SymTFT sandwich) \Rightarrow **gapped phases**

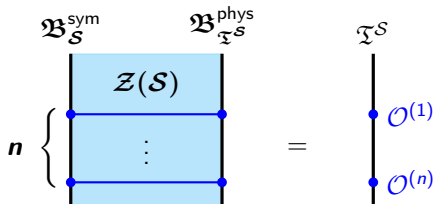


- Non-maximal condensation of anyons: interface \mathcal{I}_A (SymTFT club sandwich) \Rightarrow **gapless phases**

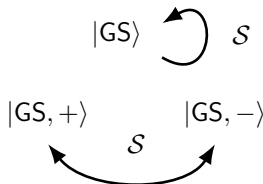


Symmetric gapped phases

- $\mathfrak{B}^{\text{phys}}$ maximal (Lagrangian) algebra \Rightarrow gapped phase
- n = number of anyons ending on $\mathfrak{B}_S^{\text{sym}}$ and $\mathfrak{B}_{\mathcal{I}^S}^{\text{phys}}$



- **SPT**: symmetry protected topological phase
 $n = 1$ symmetric ground state
- **SSB**: spontaneous symmetry
breaking phase $n > 1$ ground states related by \mathcal{S}

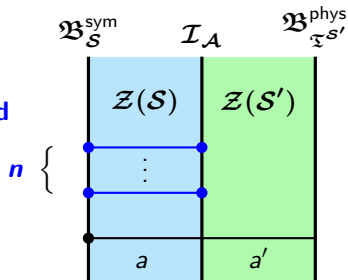


- This classification is valid for **any categorical symmetry \mathcal{S}**
 \Rightarrow **Categorical Landau paradigm**

Symmetric gapless phases

- **Gapless** phases: **non-maximal** SymTFT anyon condensation on \mathcal{I}_A
 $\mathcal{Z}(\mathcal{S})/\mathcal{A} = \mathcal{Z}(\mathcal{S}')$ for a reduced symmetry \mathcal{S}'

anyons $\left\{ \begin{array}{l} \rightarrow \text{end on } \mathfrak{B}_S^{\text{sym}} \text{ and } \mathcal{I}_A \Rightarrow \text{condensed} \\ \rightarrow \text{non-local with } \mathcal{A} \Rightarrow \text{confined} \\ \rightarrow \text{local with } \mathcal{A} \Rightarrow \text{de-confined} \end{array} \right.$



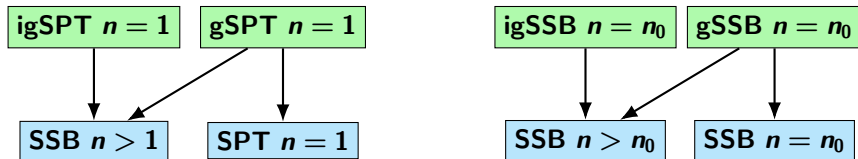
- New phase transitions from old:

$$\mathfrak{T}_B^{S'} \xleftarrow{-\mathcal{O}'} \mathfrak{T}_{CFT}^{S'} \xrightarrow{+\mathcal{O}'} \mathfrak{T}_A^{S'}$$

map: $\mathcal{Z}(\mathcal{S}') \rightarrow \mathcal{Z}(\mathcal{S})$ of topological defects

$$\begin{array}{c} \Downarrow \\ \mathfrak{T}_B^S \xleftarrow{-\mathcal{O}} \mathfrak{T}_{CFT}^S \xrightarrow{+\mathcal{O}} \mathfrak{T}_A^S \end{array}$$

- **(g)SPT**: (gapless) symmetry preserving phase $n = 1$
- **(g)SSB**: (gapless) spontaneous symmetry breaking phase $n > 1$
- **Intrinsically gapless** (igSPT or igSSB) phases: can only be deformed to gapped phases by spontaneously breaking symmetry



Example: Non-invertible $\text{Rep}(D_8)$ symmetry

- D_8 = group of symmetries of a square

$$D_8 : \quad \langle \mathbf{a}, \mathbf{b}, \mathbf{c} \mid \underbrace{\mathbf{a}^2 = \mathbf{b}^2 = \mathbf{c}^2 = \mathbf{1}}_{\mathcal{H} = \otimes (\mathbb{C}^2)^3 \text{ on the lattice}}, \underbrace{\mathbf{cac} = \mathbf{b}}_{\text{non-abelian}} \rangle$$

$$\text{Rep}(D_8) : \quad \mathbf{1}, \mathbf{1}_a, \mathbf{1}_c, \mathbf{1}_a\mathbf{1}_c, \mathbf{E}$$

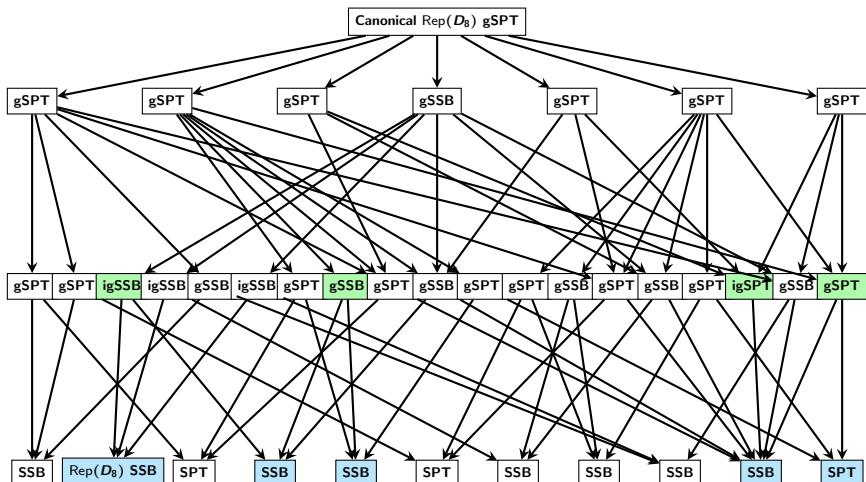
$$\mathbf{E} \otimes \mathbf{E} = \mathbf{1} \oplus \mathbf{1}_a \oplus \mathbf{1}_c \oplus \mathbf{1}_a\mathbf{1}_c \quad \leftarrow \text{non-invertible fusion}$$

- Anyons in the SymTFT are labelled by $([\mathbf{g}], \mathbf{R})$.
- The symmetry Lagrangian algebra for $\text{Rep}(D_8)$ is:

$$\mathcal{A}_{\text{Rep}(D_8)}^{\text{sym}} = ([\mathbf{1}], \mathbf{1}) \oplus ([\mathbf{ab}], \mathbf{1}) \oplus ([\mathbf{a}], \mathbf{1}) \oplus ([\mathbf{c}], \mathbf{1}) \oplus ([\mathbf{ca}], \mathbf{1})$$

- We computed from the SymTFT all possible condensations of anyons for $\mathcal{S} = \text{Rep}(D_8)$ categorical symmetry. There are 38 phases.

Full Rep(D_8) phase diagram



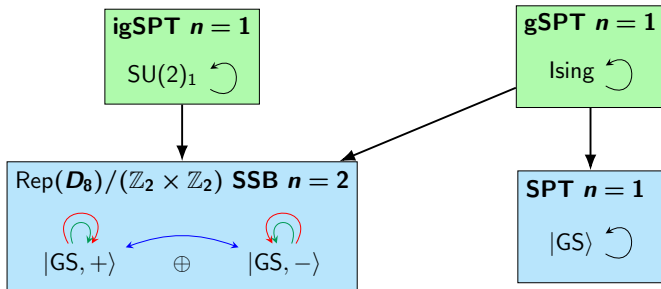
Gapless phases: igSPT vs. gSPT for $\text{Rep}(D_8)$

- The SymTFT gives the map of generalized charges

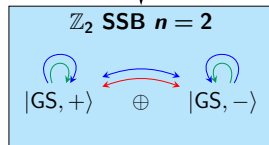
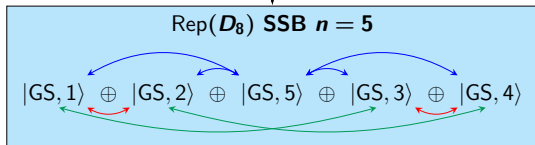
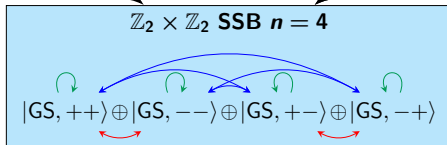
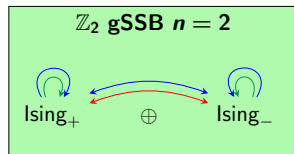
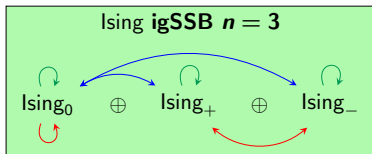
$\mathcal{A}_{\text{Rep}(D_8)}^{\text{sym}}$	$\mathcal{A}_{\text{igSPT}}$
$\mathcal{Z}(\text{Rep}(D_8))$	$\mathcal{Z}(\text{Vec}_{\mathbb{Z}_2}^\omega)$
$[ab]$	$s\bar{s}$

$\mathcal{A}_{\text{Rep}(D_8)}^{\text{sym}}$	$\mathcal{A}_{\text{gSPT}}$
$\mathcal{Z}(\text{Rep}(D_8))$	$\mathcal{Z}(\text{Vec}_{\mathbb{Z}_2})$
$[ab]$	e

- For \mathbb{Z}_2^ω input $\text{SU}(2)_1$, for \mathbb{Z}_2 the Ising CFT \Rightarrow get $\text{Rep}(D_8)$ gapless phases



Gapless phases: igSSB vs. gSSB for $\text{Rep}(D_8)$



- The Categorical Landau paradigm predicts novel phases and transitions
- Can we substantiate the SymTFT predictions by constructing concrete $(1+1)d$ Hamiltonian lattice models exhibiting these phase transitions?
- Can we provide experimental proposals for some models, e.g. in cold atom quantum simulators?

- The Categorical Landau paradigm predicts novel phases and transitions
- Can we substantiate the SymTFT predictions by constructing concrete $(1+1)d$ Hamiltonian lattice models exhibiting these phase transitions?
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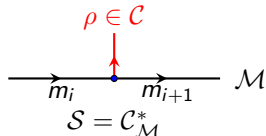
YES

(1+1)d Lattice models: the anyon chain

- Many works on lattice models with categorical symmetry (see slides 1-2)
- An **anyon chain** with any \mathcal{S} is a (1+1)d Hamiltonian lattice model defined by:

$$\left\{ \begin{array}{l} \text{An input fusion category } \mathcal{C}, \\ \text{A } \mathcal{C}\text{-module category } \mathcal{M}. \text{ The symmetry category is } \mathcal{S} = \mathcal{C}_{\mathcal{M}}^* \\ \text{A (generically non-simple) object } \rho \in \mathcal{C} \text{ and } h \in \rho \otimes \rho \rightarrow \rho \otimes \rho. \end{array} \right.$$

- **Hamiltonians** act by changing the basis state “from above”, whereas **symmetry operators** act “from below” \Rightarrow the model is \mathcal{S} -symmetric

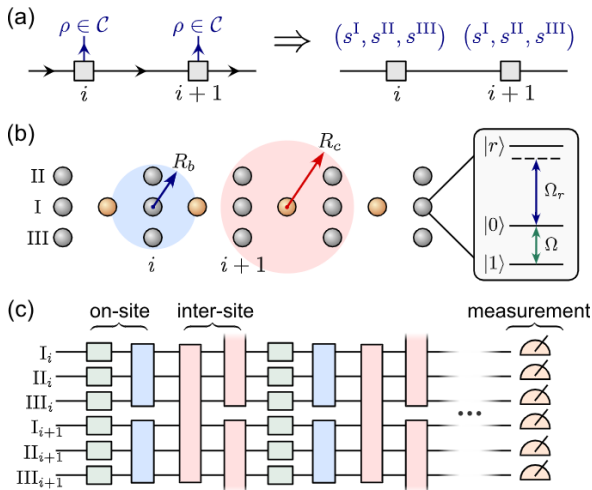


- For certain categories, the anyon chain becomes a **simple spin-chain** on a **tensor-product Hilbert space** acted on by **generalized Pauli X and Z**, e.g. $\mathcal{S} = \text{Rep}(D_8)$

Rep(D_8)-symmetric Spin Model with Rydberg Atom Arrays

simple spin-chain model with $\mathcal{S} = \text{Rep}(D_8)$ encompassing all gapped phases and phase transitions, from $D_8 = \langle \mathbf{a}, \mathbf{b}, \mathbf{c} \mid \underbrace{\mathbf{c}^2 = \mathbf{a}^2 = \mathbf{b}^2 = \mathbf{1}}_{\text{Cyclic}}, \mathbf{cac} = \mathbf{b} \rangle$

$$\mathcal{H} = \otimes (\mathbb{C}^2)^3 \text{ on the lattice}$$



- Multiplication operators for generators of D_8 :

$$L^a = X^{\text{II}}, \quad R^a = \frac{1}{2}(\mathbb{I} + Z^{\text{I}}) X^{\text{II}} + \frac{1}{2}(\mathbb{I} - Z^{\text{I}}) X^{\text{III}},$$

$$L^b = X^{\text{III}}, \quad R^b = \frac{1}{2}(\mathbb{I} + Z^{\text{I}}) X^{\text{III}} + \frac{1}{2}(\mathbb{I} - Z^{\text{I}}) X^{\text{II}},$$

$$L^c = X^{\text{I}} (\text{Swap})^{\text{II,III}} = \frac{1}{2}(\mathbb{I} + Z^{\text{II}} Z^{\text{III}}) X^{\text{I}} + \frac{1}{2}(\mathbb{I} - Z^{\text{II}} Z^{\text{III}}) X^{\text{I}} X^{\text{II}} X^{\text{III}},$$

$$R^c = X^{\text{I}}$$

- Hamiltonians: $H_{(F,\beta)} = -\frac{1}{|F|} \sum_i \sum_{f \in F} (R_\beta^{f-1})_i (L_\beta^f)_{i+1} - \sum_i P_i^{(F)}$,

where $F \subseteq D_8$, $\beta \in H^2(F, U(1))$

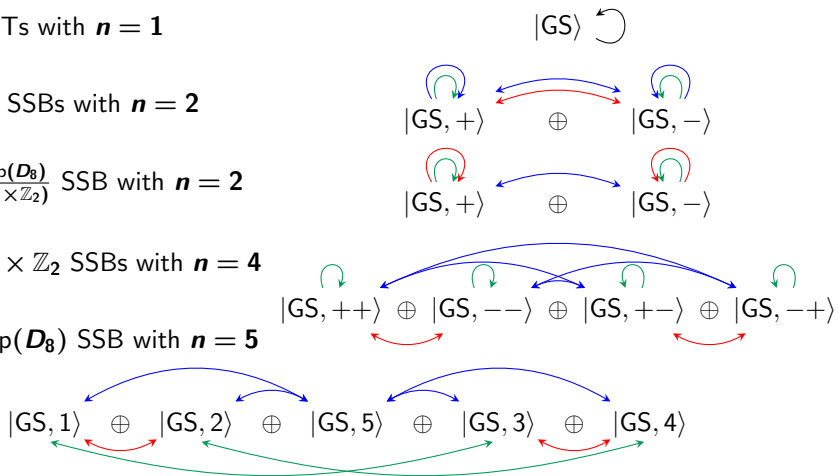
- Symmetry operators:

$$\mathcal{S}_{1_a} = \prod_i (Z^{\text{I}})_i, \quad \mathcal{S}_{1_c} = \prod_i (Z^{\text{II}} Z^{\text{III}})_i, \quad \mathcal{S}_{1_{ca}} = \prod_i (Z^{\text{I}} Z^{\text{II}} Z^{\text{III}})_i$$

$$\mathcal{S}_E = Z_{E,\text{prod}}^{1,1} + Z_{E,\text{prod}}^{2,2}, \quad Z_E = \frac{1}{2} \begin{pmatrix} Q^{1_{ca},+} (S^{\text{I}})^\dagger Z^{\text{III}} & Q^{1_{ca},-} (S^{\text{II}})^\dagger S^{\text{III}} (CZ)^{\text{II,III}} \\ Q^{1_{ca},-} S^{\text{II}} (S^{\text{III}})^\dagger (CZ)^{\text{II,III}} & Q^{1_{ca},+} S^{\text{I}} Z^{\text{III}} \end{pmatrix}$$

Gapped Rep(D_8) phases

- 3 SPTs with $n = 1$
- 3 \mathbb{Z}_2 SSBs with $n = 2$
- 1 $\frac{\text{Rep}(D_8)}{(\mathbb{Z}_2 \times \mathbb{Z}_2)}$ SSB with $n = 2$
- 3 $\mathbb{Z}_2 \times \mathbb{Z}_2$ SSBs with $n = 4$
- 1 Rep(D_8) SSB with $n = 5$



- $H_1 = -\sum_i [\mathbb{I} + \frac{1}{8}(\mathbb{I} + Z^I)(\mathbb{I} + Z^{II})(\mathbb{I} + Z^{III})]_i$

unique Rep(D_8)-symmetric tensor-product ground state

$$|\text{GS}\rangle_{\text{Triv}} = \bigotimes_i |000\rangle_i \Rightarrow \text{Trivial Rep}(D_8)\text{-SPT}$$

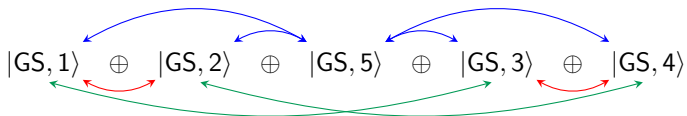
- $H_{(\mathbb{Z}_2^a \times \mathbb{Z}_2^b)^-} \approx -\frac{1}{2} \sum_i [X_i^{II}(X^{II}Z^{III})_{i+1} + (Z^{II}X^{III})_i X_{i+1}^{III} + (\mathbb{I}_i + Z_i^I)]$

unique Rep(D_8)-symmetric entangled ground state

$$|\text{GS}\rangle_{\text{SPT}} = \prod_i \frac{1}{\sqrt{2}} [\mathbb{I}_i \mathbb{I}_{i+1} + (Z^{II}X^{III})_i X_{i+1}^{III}] \bigotimes_i |0, +, 0\rangle_i$$

Non-trivial Rep(D_8)-SPT detected by string order parameters, e.g. $X_{i_0}^{II} (\prod_{k=1}^{N-1} Z_{i_0+k}^{III}) Z_{i_0+N}^{III} X_{i_0+N}^{II}$, that have unit vev

- The ground state of $H_{(\mathbb{Z}_2^c \times \mathbb{Z}_2^{ab})^-}$ is similarly a non-trivial Rep(D_8)-SPT



- One can write the Rep(D_8) SSB ground states as follows:

$$|\text{GS}, 1\rangle = \bigotimes_i \sum_{g \in D_8} \frac{1}{\sqrt{8}} |g\rangle_i,$$

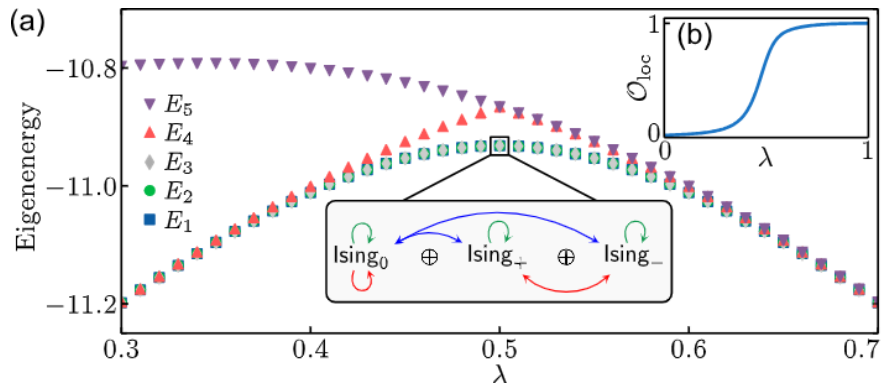
$$|\text{GS}, 2\rangle = \mathcal{S}_{1_c} |\text{GS}, 1\rangle, \quad |\text{GS}, 3\rangle = \mathcal{S}_{1_a} |\text{GS}, 1\rangle,$$

$$|\text{GS}, 4\rangle = \mathcal{S}_{1_{ca}} |\text{GS}, 1\rangle, \quad |\text{GS}, 5\rangle = \mathcal{S}_E |\text{GS}, 1\rangle$$

- $\mathcal{S}_E |\text{GS}, 5\rangle = |\text{GS}, 1\rangle + |\text{GS}, 2\rangle + |\text{GS}, 3\rangle + |\text{GS}, 4\rangle$ ← Non-invertible action
- $|\text{GS}, p\rangle$ for $p = 1, 2, 3, 4$ are tensor-product states, whereas $|\text{GS}, 5\rangle$ has non-zero entanglement

Indeed, for the 2-dim irrep E , the character of the product of group elements along the spin-chain is not the product of characters.

$H(\lambda)$ is the linear interpolation between a $\mathbb{Z}_2 \times \mathbb{Z}_2$ SSB and the $\text{Rep}(D_8)$ SSB



The critical point is an Ising igSSB:

3 copies of the Ising CFT related by spontaneously broken Ising category

- Categorical Landau paradigm for phases with any fusion category symmetry \mathcal{S}
- The SymTFT gives:
 - ground state degeneracy and symmetry-breaking properties
 - local and string order parameters
 - new gapless phases and phase transitions
 - Hasse phase diagram: all possible symmetry-preserving deformations
⇒ identify intrinsically gapless phases
- Lattice models can be constructed to explicitly verify SymTFT predictions, e.g. spin-chain with $\mathcal{S} = \text{Rep}(D_8)$ for quantum simulators with cold atoms
- The SymTFT is similarly powerful in $(2+1)d$:
[2408.05266, 2502.20440, 2503.12699, by L. Bhardwaj, ± Y. Gai, ± S.-J. Huang, ± K. Inamura, ± D. Pajer, S. Schäfer-Nameki, Apoorv Tiwari, **A.W.**]
(Apoorv Tiwari's talk and related works, also on the lattice)