

2nd Oxford Post-Quantum Cryptography Summit 2023

QR-UOV

(Quotient Ring Unbalanced Oil and Vinegar)

Hiroki Furue

The University of Tokyo, Japan

Yasuhiko Ikematsu, Fumitaka Hoshino, Tsuyoshi Takagi,
Kan Yasuda, Toshiyuki Miyazawa, Tsunekazu Saito, Akira Nagai

Outline

- **UOV**
- QR-UOV: Construction
- QR-UOV: Security
- QR-UOV: Parameter
- Conclusion

UOV

$n, m \in \mathbb{N}$ ($n > m$)

n : the number of variables

m : the number of equations

x_1, \dots, x_v : **vinegar** variables

x_{v+1}, \dots, x_n : **oil** variables

$$\times n - v = m$$

① Central map

$$\mathcal{F} = (f_1, \dots, f_m): \mathbb{F}_q^n \rightarrow \mathbb{F}_q^m \quad \text{[invertible quadratic map]}$$

$$f_k = \sum_{i=1}^n \sum_{j=1}^v \alpha_{ij}^{(k)} x_i x_j \quad (v = n - m)$$

$$\text{② } \mathcal{S}: \mathbb{F}_q^n \rightarrow \mathbb{F}_q^n \quad \text{[linear map]}$$

$$\text{③ } \mathcal{P} = \mathcal{F} \circ \mathcal{S} \quad \text{[quadratic map]}$$

Public Key: \mathcal{P} , **Secret Key:** $(\mathcal{F}, \mathcal{S})$

UOV

Computing \mathcal{F}^{-1}

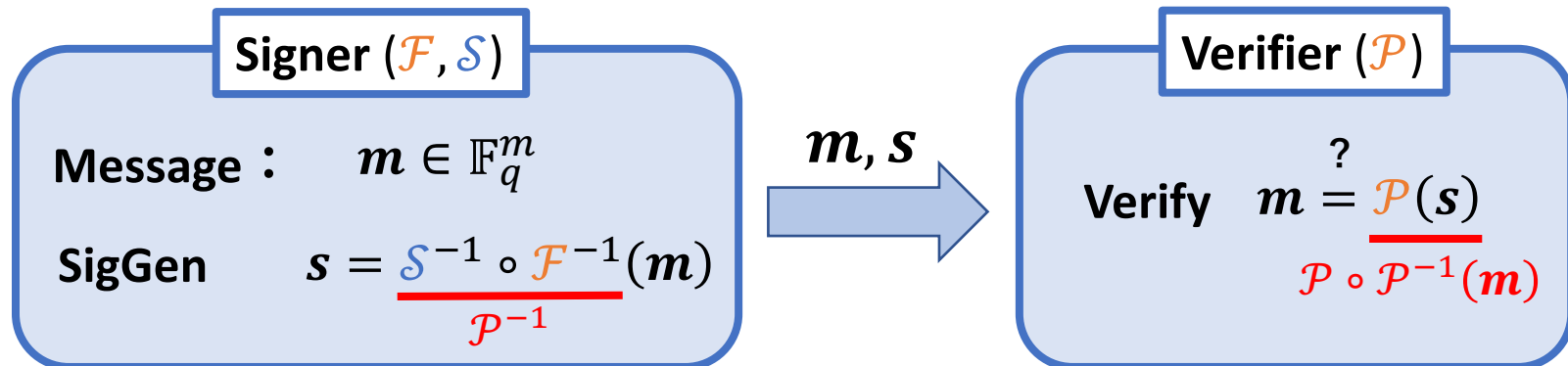
- ① Fix variables x_1, \dots, x_v (vinegar variables) randomly.

$$f_k = \sum_{i=1}^v \sum_{j=1}^v \alpha_{ij}^{(k)} x_i x_j + \sum_{i=v+1}^n \sum_{j=1}^v \alpha_{ij}^{(k)} x_i x_j$$

- ② Solve a linear polynomial in x_{v+1}, \dots, x_n (oil variables).

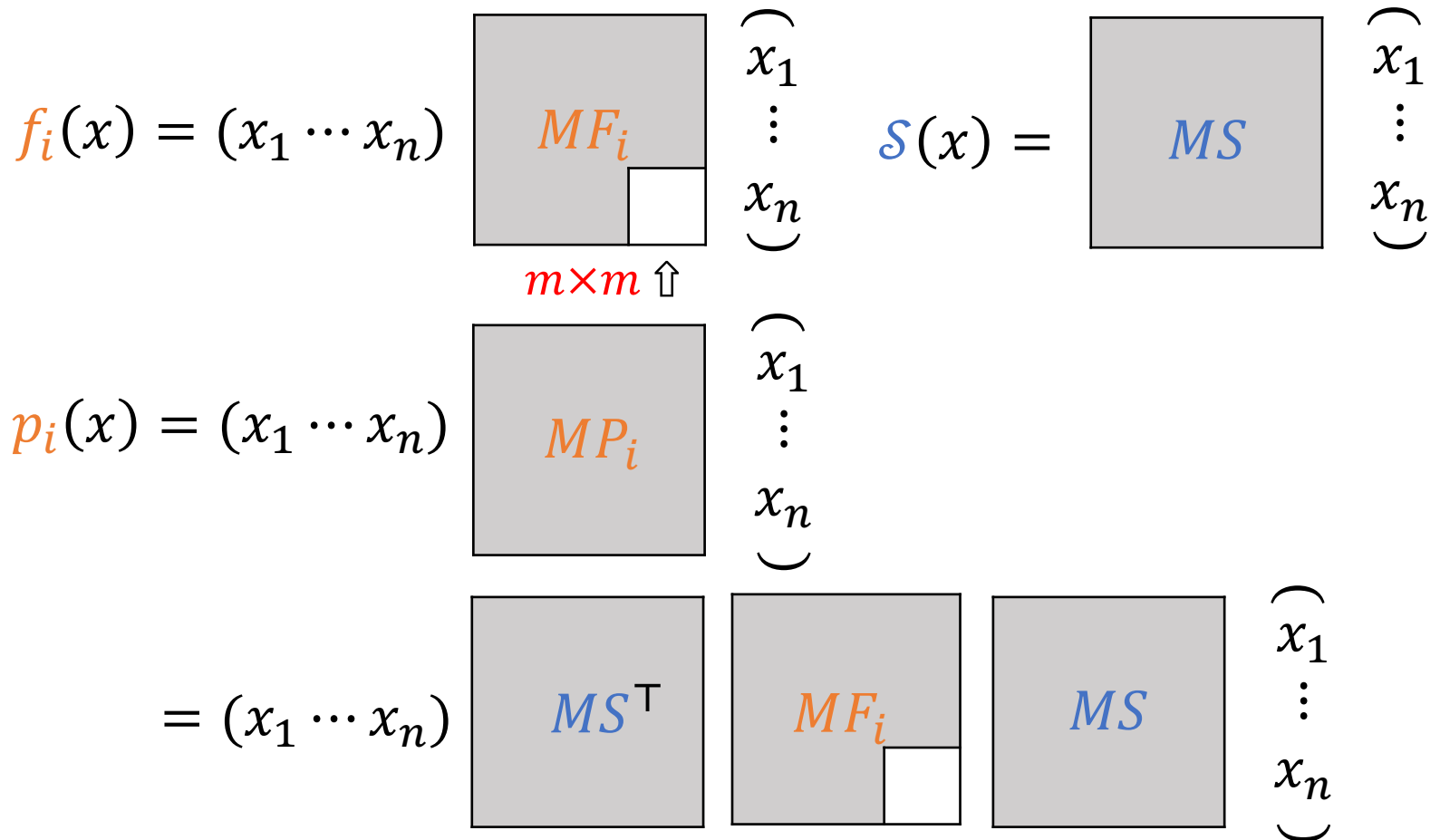
(m equations, m variables)

✂ If there does not exist a solution, return to ①.



Representation Matrices

• $(p_1, \dots, p_m) = (f_1, \dots, f_m) \circ \mathcal{S}$



Outline

- UOV
- **QR-UOV: Construction**
- QR-UOV: Security
- QR-UOV: Parameter
- Conclusion

Matrices of Quotient Ring

[Def] Polynomial Matrix Φ_g^f

$$\ell \in \mathbb{N}, f \in \mathbb{F}_q[t] \text{ (deg } f = \ell)$$

$$\forall g \in \mathbb{F}_q[t]/(f), \Phi_g^f \in \mathbb{F}_q^{\ell \times \ell}:$$

$$(1, t, \dots, t^{\ell-1}) \Phi_g^f = (g, tg, \dots, t^{\ell-1}g)$$

Ex) $q = 2, f = t^3 + t + 1, g = at^2 + bt + c \text{ (} a, b, c \in \mathbb{F}_2)$

$$\Rightarrow \Phi_g^f = \begin{pmatrix} c & a & b \\ b & a+c & a+b \\ a & b & a+c \end{pmatrix}$$

This 3×3 matrix can be represented by only 3 elements.



If we can apply this Φ_g^f to the public key MP_i , then we can reduce the public key size.

Matrices of Quotient Ring

$$\{\Phi_g^f \mid g \in \mathbb{F}_q[t]/(f)\} \cong \mathbb{F}_q[t]/(f)$$

$$\cdot \Phi_{g_1}^f + \Phi_{g_2}^f = \Phi_{g_1+g_2}^f$$

$$\cdot \Phi_{g_1}^f \cdot \Phi_{g_2}^f = \Phi_{g_1 \cdot g_2}^f$$

$$MS, MF_i (i = 1, \dots, m) : \text{block } \Phi_g^f \text{ matrices} \begin{pmatrix} \Phi_{g_{11}}^f & \Phi_{g_{12}}^f & \Phi_{g_{13}}^f \\ \Phi_{g_{21}}^f & \Phi_{g_{22}}^f & \Phi_{g_{23}}^f \\ \Phi_{g_{31}}^f & \Phi_{g_{32}}^f & \Phi_{g_{33}}^f \end{pmatrix}$$

$$\Rightarrow MP_i = MS^T \cdot MF_i \cdot MS \quad (i = 1, \dots, m) : \text{block } \Phi_g^f \text{ matrices?}$$

MS^T is not always block Φ_g^f

Matrices of Quotient Ring

$W \in \mathbb{F}_q^{\ell \times \ell}$ s.t. $\forall g \in \mathbb{F}_q[t]/(f)$, $W\Phi_g^f$: **symmetric**

- **MF_i**: block $W\Phi_g^f$ matrices ($i = 1, \dots, m$)
- **MS** : block Φ_g^f matrix

$$\begin{aligned}(\Phi_{g_2}^f)^\top (W\Phi_{g_1}^f)\Phi_{g_2}^f &= (\Phi_{g_2}^f)^\top W^\top \Phi_{g_1}^f \Phi_{g_2}^f \quad [W \text{ is symmetric since } \Phi_1^f = I_\ell.] \\ &= (W\Phi_{g_2}^f)^\top \Phi_{g_1}^f \Phi_{g_2}^f \\ &= (W\Phi_{g_2}^f)\Phi_{g_1}^f \Phi_{g_2}^f = W\Phi_{g_2 g_1 g_2}^f\end{aligned}$$

MP_i : block $W\Phi_g^f$ matrices

Matrices of Quotient Ring

Lemma

For any $\ell \in \mathbb{N}$ and $f \in \mathbb{F}_q[t]$ ($\deg f = \ell$),
there exist an invertible $\ell \times \ell$ matrix W such that
 $W\Phi_g^f$ is **symmetric** for any $g \in \mathbb{F}_q[t]/(f)$.

Ex) $q = 2$, $f = t^3 + t + 1$, $g = at^2 + bt + c$ ($a, b, c \in \mathbb{F}_2$)

$$\Phi_g^f = \begin{pmatrix} c & a & b \\ b & a+c & a+b \\ a & b & a+c \end{pmatrix} \Rightarrow W\Phi_g^f = \begin{pmatrix} c & a & b \\ a & b & a+c \\ b & a+c & a+b \end{pmatrix}$$

$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$

Quotient Ring UOV (QR-UOV)

Key Generation

- ① **Irreducible** polynomial: $f \in \mathbb{F}_q[t]$ ($\deg f = \ell$)
 $W \in \mathbb{F}_q^{\ell \times \ell}$ s.t. $\forall g \in \mathbb{F}_q[t]/(f)$, $W\Phi_g^f$: symmetric
- ② MF_i : block $W\Phi_g^f$ matrices ($i = 1, \dots, m$)
 MS : block Φ_g^f matrix
- ③ $MP_i = MS^\top \cdot MF_i \cdot MS$ ($i = 1, \dots, m$)
 $\Rightarrow MP_i$: block $W\Phi_g^f$ matrices



Reduce the public key size

Outline

- UOV
- QR-UOV: Construction
- **QR-UOV: Security**
- QR-UOV: Parameter
- Conclusion

Security Proof

From the result of Kosuge and Xagawa [Kosuge, Xagawa, ePrint 2022], the EUF-CMA security of our QR-UOV is reduced to the difficulty of

- **UOV problem**

distinguish a randomized quadratic map and a public key map of **the plain UOV**

- **QR-MQ problem**

solve the MQ problem constructed from block $W\Phi_g^f$ matrices

in the quantum random oracle model (QROM).

QR-MQ Problem (Direct attacks)

- We have no theoretical security proof for the difficulty of the QR-MQ problem.
- We experimentally confirmed that the **solving degree** of the public key system is the same as that of the random system.

- Wiedemann XL [Yang et al., FSE 2007]
- polynomial XL [Furue, Kudo, ePrint 2021]
- Thomae-Wolf method [Thomae, Wolf, PKC 2012]
- Hashimoto's method [Hashimoto, ePrint 2021]

UOV Problem (Key Recovery Attacks)

$$N = n/\ell$$

$$MP_k = \sum_{i=0}^{\ell-1} \bar{P}_k^{(i)} \otimes W \Phi_{t^i}^f \left(\bar{P}_k^{(i)} \in \mathbb{F}_q^{N \times N} \right), \overline{MP}_k = \sum_{i=0}^{\ell-1} t^i \bar{P}_k^{(i)} \in \mathbb{F}_{q^\ell}^{N \times N}$$

$$MF_k = \sum_{i=0}^{\ell-1} \bar{F}_k^{(i)} \otimes W \Phi_{t^i}^f \left(\bar{F}_k^{(i)} \in \mathbb{F}_q^{N \times N} \right), \overline{MF}_k = \sum_{i=0}^{\ell-1} t^i \bar{F}_k^{(i)} \in \mathbb{F}_{q^\ell}^{N \times N}$$

$$MS = \sum_{i=0}^{\ell-1} \bar{S}^{(i)} \otimes \Phi_{t^i}^f \left(\bar{S}^{(i)} \in \mathbb{F}_q^{N \times N} \right), \overline{MS} = \sum_{i=0}^{\ell-1} t^i \bar{S}^{(i)} \in \mathbb{F}_{q^\ell}^{N \times N}$$

$$\Rightarrow \overline{MP}_k = \overline{MS}^T \cdot \overline{MF}_k \cdot \overline{MS}$$

We can apply key recovery attacks on $\mathbf{UOV}(q^\ell, v/\ell, m/\ell, m)$.

- | | | |
|---|------------------------------|-------------------------------|
| { | • Kipnis-Shamir attack | [Kipnis, Shamir, CRYPTO 1998] |
| | • reconciliation attack | [Ding et al., ACNS 2008] |
| | • intersection attack | [Beullens, EUROCRYPT 2021] |
| | • rectangular MinRank attack | [Beullens, EUROCRYPT 2021] |

Outline

- UOV
- QR-UOV: Construction
- QR-UOV: Security
- **QR-UOV: Parameter**
- Conclusion

Public Key and Signature Size

Security Level 1

✂ We applied some techniques which reduce the public key size.

[Czypek et al., CHES 2012], [Petzoldt, PQCrypto 2020]

parameter	public key (B)	signature (B)
$(q, v, m, \ell) = (7,740,100,10)$	20,657	331
$(q, v, m, \ell) = (31,165,60,3)$	23,657	157
$(q, v, m, \ell) = (31,600,70,10)$	12,282	435
$(q, v, m, \ell) = (127,156,54,3)$	24,271	200

- The size of the secret key is 256 bits.

Performance

64-bit environments (in C)

Processor: AMD EPYC 7763.

Clock Speed: Boost Clock : Up to 3.5GHz, Base Clock: 2.45GHz.

Memory: 128GB (32GB RDIMM, 3200MT/s, Dual Rank, 8Gb base x4)

Operating System: Linux 5.19.0-41-generic, gcc version 11.3.0.

Measurement Software: supercop-20221122.

parameter	keygen (Mcycles)	sign (Mcycles)	verify (Mcycles)
$(q, v, m, \ell) = (7,740,100,10)$	177.911	167.711	99.755
$(q, v, m, \ell) = (31,165,60,3)$	20.223	15.813	11.614
$(q, v, m, \ell) = (31,600,70,10)$	93.984	92.480	73.814
$(q, v, m, \ell) = (127,156,54,3)$	16.700	13.419	10.575

Outline

- UOV
- QR-UOV: Construction
- QR-UOV: Security
- QR-UOV: Parameter
- **Conclusion**

Conclusion

- We proposed a new variant of UOV (QR-UOV) using quotient ring to reduce the public key size.
- **Public key size:** Our proposed parameters reduce the public key size by approximately **50%** compared with **the plain UOV** without significantly increasing the signature size.
- **Simplicity:** Our QR-UOV is a **natural extension of UOV** utilizing the quotient rings structure, like an extension from LWE to MLWE.