# Almost Injectivity

### Shari S. Levine

#### 15<sup>th</sup> Galway Topology Colloquium, University of Oxford

11 July, 2012

Can you identify the person in the following picture?

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### Can you identify the person in the following picture?









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# Why am I showing you this...?

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Think of continuous injective functions as cameras with really, really good resolution.

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#### Theorem

If X is compact, Y is Hausdorff, and  $f : X \to Y$  is continuous and injective, then f embeds X into Y.

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#### Theorem

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Can we weaken the injectivity of f so that the theorem remains true?

## Other attempts

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My entire thesis

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- Oleavability

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- 2 Cleavability

### Definition

Let X and Y be topological spaces. We say X is **cleavable over** Y if for every  $A \subseteq X$  there exists a continuous function  $f_A : X \to Y$  such that  $f_A(A) \cap f_A(X \setminus A) = \emptyset$ .

# Cleavability

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 "On Cleavability of Topological Spaces over *R*, *R<sup>n</sup>*, and *R<sup>ω</sup>*": every compact Hausdorff space X cleavable over *R* (resp. *R<sup>ω</sup>*) is homeomorphic to a subspace of *R* (resp. *R<sup>ω</sup>*).

- "On Cleavability of Topological Spaces over *R*, *R<sup>n</sup>*, and *R<sup>ω</sup>*": every compact Hausdorff space X cleavable over *R* (resp. *R<sup>ω</sup>*) is homeomorphic to a subspace of *R* (resp. *R<sup>ω</sup>*).
- "On Cleavability of Continua over LOTS": any continuum cleavable over a LOTS *L* is embeddable into *L*.

- "On Cleavability of Topological Spaces over *R*, *R<sup>n</sup>*, and *R<sup>ω</sup>*": every compact Hausdorff space X cleavable over *R* (resp. *R<sup>ω</sup>*) is homeomorphic to a subspace of *R* (resp. *R<sup>ω</sup>*).
- "On Cleavability of Continua over LOTS": any continuum cleavable over a LOTS *L* is embeddable into *L*.
- "Cleavability of compacta over the two arrows": any compactum cleavable over the Double Arrow Space is homeomorphic to a subspace of the Double Arrow Space.

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- "On Cleavability of Continua over LOTS": any continuum cleavable over a LOTS *L* is embeddable into *L*.
- "Cleavability of compacta over the two arrows": any compactum cleavable over the Double Arrow Space is homeomorphic to a subspace of the Double Arrow Space.
- "Cleavability and scattered sets of non-trivial fibers": if X is a compactum cleavable over a separable LOTS Y such that there exists a function f : X → Y with a scattered set of non-trivial fibers, then X us a LOTS

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### Definition

If X and Y are spaces, and  $f: X \to Y$  is a function, then  $M_f$  denotes the set  $\{x \in X : |f^{-1}(f(x))| > 1\}$ .

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#### Question

If X is an infinite  $T_2$  compactum, Y a LOTS, and there exists  $f \in \mathscr{C}(X, Y)$  such that  $|M_f| \leq \aleph_0$ , then is X a LOTS?

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If X is an infinite  $T_2$  compactum, Y a LOTS, and there exists  $f \in \mathscr{C}(X, Y)$  such that  $|M_f| \leq \aleph_0$ , then is X a LOTS?

#### Question

If X is an infinite  $T_2$  compactum, Y a LOTS with property P, and there exists  $f \in \mathscr{C}(X, Y)$  such that  $|M_f| \leq \aleph_0$ , must X have property P?

## Two notes

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### • We must always assume X is $T_2$ .

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#### Example

Let  $X = \{x_1, x_2\}$  with the trivial topology, and let  $Y = \{y_1, y_2\}$  with the discrete topology. Then there exists an almost-injective function from X to Y (in particular, the constant function onto  $y_1$ ) but even though Y is  $T_2$ , X is not.

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Let  $X = \{x_1, x_2\}$  with the trivial topology, and let  $Y = \{y_1, y_2\}$  with the discrete topology. Then there exists an almost-injective function from X to Y (in particular, the constant function onto  $y_1$ ) but even though Y is  $T_2$ , X is not.

We consider any constant function from a countable space X to a LOTS Y to be an almost-injective function.

## Two notes proof

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### Theorem

If X is compact and  $T_2$ , Y is a topological space, and  $f : X \to Y$  is a constant map, then X is a LOTS.

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#### Theorem

If X is compact and  $T_2$ , Y is a topological space, and  $f : X \to Y$  is a constant map, then X is a LOTS.

#### Proof.

X must be countable as  $X = M_f$ . Since X is compact, countable, and metrizable, by a theorem of Mazurkiewicz & Sierpinski, X must be homeomorphic to a countable ordinal, and is therefore a LOTS.

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#### Theorem

If X is compact  $T_2$ , Y is scattered  $T_2$ , and there exists an almost-injective  $f \in \mathscr{C}(X, Y)$ , then X is scattered.

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#### Theorem

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### Proof.

Assume for a contradiction that X contains a dense-in-itself subset A. Let A be closed. Consider f(A).

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### Proof.

Assume for a contradiction that X contains a dense-in-itself subset A. Let A be closed. Consider f(A). Since Y is scattered, f(A) contains an isolated point, y.

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#### Proof.

Assume for a contradiction that X contains a dense-in-itself subset A. Let A be closed. Consider f(A). Since Y is scattered, f(A) contains an isolated point, y. We know since  $M_f$  is countable, that  $f^{-1}(y)$  must be countable, and as f is continuous, must be closed and compact as well. Therefore by a theorem of Mazurkiewicz & Sierpinski,  $f^{-1}(y)$  is homeomorphic to a countable ordinal. (Note that  $f^{-1}(y)$  may be a single point.)

# An Example

#### Theorem

If X is compact  $T_2$ , Y is scattered  $T_2$ , and there exists an almost-injective  $f \in \mathcal{C}(X, Y)$ , then X is scattered.

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# An Example

#### Theorem

If X is compact  $T_2$ , Y is scattered  $T_2$ , and there exists an almost-injective  $f \in \mathcal{C}(X, Y)$ , then X is scattered.

### Proof.

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### Theorem

If X is compact  $T_2$ , Y is a first-countable  $T_2$  space, and there exists an almost-injective  $f \in \mathscr{C}(X, Y)$ , then X is first-countable.

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#### Theorem

If X is compact  $T_2$ , Y is a metric space, and there exists an almost-injective  $f \in \mathscr{C}(X, Y)$ , then X is metrizable.

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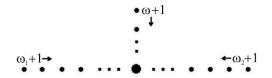
If X is compact  $T_2$ , Y is  $T_2$ , and there exists an almost-injective  $f \in \mathscr{C}(X, Y)$ , then  $|X| \leq |Y|$ .

# First Counter-Example

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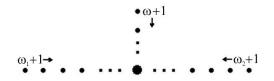


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# First Counter-Example



#### Example

Let X be the one-point compactification of the disjoint union of  $\omega_1$ ,  $\omega_2$ , and  $\omega$ , and Y be the one-point compactification of the disjoint union of  $\omega_1$  and  $\omega_2$ . Then there exists an almost-injection from X to Y, but X is not a LOTS.

# Second Counter-Example

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### Example

Let X be the one-point compactification of the disjoint union of  $\omega_1$ ,  $\omega_2$ , and  $\omega$ , and let Y be the one-point compactification of  $\omega_1$ and  $\omega_2$ . Let  $\hat{X}$  be the one-point compactification of  $\omega$ -many copies of X, and let  $\hat{Y}$  be the one-point compactification of  $\omega$ -many copies of Y. Then there exists an almost-injection  $f \in \mathscr{C}(\hat{X}, \hat{Y})$ , but X is not a LOTS.

# Picking up the pieces

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If X is an infinite  $T_2$  compactum, Y a LOTS, and there exists  $f \in \mathscr{C}(X, Y)$  such that  $|M_f| \leq \aleph_0$ , then must X be the union of a LOTS and a scattered set?

If X is an infinite  $T_2$  compactum, Y a LOTS, and there exists  $f \in \mathscr{C}(X, Y)$  such that  $|M_f| \leq \aleph_0$ , then must X be the union of a LOTS and a scattered set?

Despite these counter-examples, we feel answering whether the existence of an almost-injective function implies linear orderability of a space is still a worthwhile question to ask.

If X is an infinite  $T_2$  compactum, Y a LOTS, and there exists  $f \in \mathscr{C}(X, Y)$  such that  $|M_f| \leq \aleph_0$ , then must X be the union of a LOTS and a scattered set?

Despite these counter-examples, we feel answering whether the existence of an almost-injective function implies linear orderability of a space is still a worthwhile question to ask.

Thus, we begin by looking at almost-injective functions from infinite  $T_2$  compacts to the ordinals.

# The basics

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If X is an infinite  $T_2$  compactum, Y is an ordinal, and there exists an almost-injective  $f \in \mathcal{C}(X, Y)$ , we know:

- If X is an infinite  $T_2$  compactum, Y is an ordinal, and there exists an almost-injective  $f \in \mathscr{C}(X, Y)$ , we know:
  - X is scattered

- If X is an infinite  $T_2$  compactum, Y is an ordinal, and there exists an almost-injective  $f \in \mathcal{C}(X, Y)$ , we know:
  - X is scattered
  - Interview 2 ... That's about it

### Definition

For ordinal numbers  $\alpha$ , the  $\alpha$ -th **Cantor-Bendixson derivative** of a topological space X is defined by transfinite induction as follows:

•  $X^0 = X$ 

• 
$$X^{\alpha+1} = (X^{\alpha})'$$

• 
$$X^{\lambda} = \bigcap_{\alpha < \lambda} X^{\alpha}$$
 for limit ordinals  $\lambda$ .

The smallest ordinal  $\alpha$  such that  $X^{\alpha+1} = X^{\alpha}$  is called the **Cantor-Bendixson rank** of X, written as CB(X).

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The smallest ordinal  $\alpha$  such that  $X^{\alpha+1} = X^{\alpha}$  is called the **Cantor-Bendixson rank** of X, written as CB(X).

### Definition

Let X be a scattered topological space, and  $x \in X$ . We use the notation rank(x) to mean the least ordinal  $\alpha$  such that  $x \notin X^{\alpha}$ .

#### Theorem

If X is an infinite  $T_2$  compactum, Y is an ordinal, and there exists an almost-injective  $f \in \mathcal{C}(X, Y)$ , then X is a LOTS.

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### Proof.

The idea is to consider  $CB(f(M_f))$ .

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### Proof.

The idea is to consider  $CB(f(M_f))$ . Since  $M_f$  is countable,  $f(M_f)$  must be countable, and therefore  $CB(f(M_f))$  must be countable.

#### Theorem

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#### Proof.

The idea is to consider  $CB(f(M_f))$ . Since  $M_f$  is countable,  $f(M_f)$  must be countable, and therefore  $CB(f(M_f))$  must be countable. The case where  $CB(f(M_f)) = \alpha + 1$  for  $\alpha \neq 0$  is easy. Enumerate the elements of  $f(M_f)^{\alpha}$  as  $y_n$ ; since Y is an ordinal, and rank $(y_n) = \alpha + 1$ , it must be the case that  $cf((0, y_n))$  is countable.

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If X is an infinite  $T_2$  compactum, Y is an ordinal, and there exists an almost-injective  $f \in \mathcal{C}(X, Y)$ , then X is a LOTS.

### Proof.

The idea is to consider  $CB(f(M_f))$ . Since  $M_f$  is countable,  $f(M_f)$  must be countable, and therefore  $CB(f(M_f))$  must be countable. The case where  $CB(f(M_f)) = \alpha + 1$  for  $\alpha \neq 0$  is easy. Enumerate the elements of  $f(M_f)^{\alpha}$  as  $y_n$ ; since Y is an ordinal, and  $rank(y_n) = \alpha + 1$ , it must be the case that  $cf((0, y_n))$  is countable. Therefore we can split up Y into easy to manage intervals, use the inductive hypothesis, and presto - we get a LOTS.

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### Proof.

The idea is to consider  $CB(f(M_f))$ . Since  $M_f$  is countable,  $f(M_f)$ must be countable, and therefore  $CB(f(M_f))$  must be countable. The case where  $CB(f(M_f)) = \alpha + 1$  for  $\alpha \neq 0$  is easy. Enumerate the elements of  $f(M_f)^{\alpha}$  as  $y_n$ ; since Y is an ordinal, and  $\operatorname{rank}(y_n) = \alpha + 1$ , it must be the case that  $cf((0, y_n))$  is countable. Therefore we can split up Y into easy to manage intervals, use the inductive hypothesis, and presto - we get a LOTS. The case where  $CB(f(M_f)) = \lambda$  for some limit ordinal  $\lambda$  is a bit trickier, but has the same principle. We just consider  $(f(M_f))^{\lambda}$ instead of  $f(M_f)^{\lambda}$ .



### cont'd.

The case where  $CB(f(M_f)) = 1$ , however, is the trickiest, and involves three technical and mechanical proofs.

### cont'd.

The case where  $CB(f(M_f)) = 1$ , however, is the trickiest, and involves three technical and mechanical proofs. In any case, however, we still get a LOTS!

# **Open Questions**

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#### Question

If X is an infinite  $T_2$  compactum, Y is a LOTS, and there exists an almost-injective  $f \in \mathcal{C}(X, Y)$ , must X be the union of a LOTS and a scattered set?

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